

AIMS Mathematics, 2(4): 580-585 DOI:10.3934/Math.2017.4.580 Received: 14 September 2017 Accepted: 23 October 2017 Published: 1 November 2017

http://www.aimspress.com/journal/Math

## Research article

# A note on derivations and Jordan ideals of prime rings

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**Abstract:** Let  $F : R \to R$  be a generalized derivation of a 2-torsion free prime ring *R* together with a derivation *d*. In this paper, we show that a nonzero Jordan ideal *J* of *R* contains a nonzero ideal of *R*. Further, we use this result to prove that if  $F([x, y]) \in Z(R)$  for all  $x, y \in J$ , then *R* is commutative. Consequently, it extends a result of Oukhtite, Mamouni and Ashraf.

**Keywords:** Prime rings; Jordan ideals; Generalized derivations; Martindale ring of quotients; Generalized polynomial identities (GPIs) **Mathematics Subject Classification:** 16W25, 16N60, 16U80

## 1. Introduction

In everything that follows, R denotes an associative ring with center Z(R). Let Q and  $Q_{mr}$  stands for the two-sided Martindale quotient ring and right Utumi quotient ring (also known as maximal right ring of quotients) of R respectively. The center of  $Q_{mr}$  is called extended centroid of R and is denoted by C (i.e.  $C = Z(Q_{mr})$ ). For the basic idea of these objects we refer the reader to [12]. For any  $a, b \in R$ , a ring *R* is called prime ring if aRb = (0) implies a = 0 or b = 0 and is called semi-prime ring if aRa = (0)implies a = 0. An additive mapping  $d : R \to R$  is said to be a derivation of R if d(xy) = d(x)y + xd(y)for all  $x, y \in R$ . For some fixed  $a \in R$ , the mapping  $I_a : R \to R$  such that  $x \mapsto [a, x]$  for all  $x \in R$ , is a well-known example of a derivation. Specifically,  $I_a$  is called the inner derivation of R induced by the element a. In 1991, Brešar [13] introduced a generalized notion of a derivation, called generalized derivation. A generalized derivation of a ring R is an additive mapping  $F : R \to R$  uniquely determined by a derivation d of R such that F(xy) = F(x)y + xd(y) for any  $x, y \in R$ . Clearly, every derivation is a generalized derivation but the converse is not always true. For any  $a, b \in R$ , F(x) = ax + xb and F(x) = ax are the most natural examples of a generalized derivation of R associated with  $d = I_b$  and d = 0 respectively. In [14], Lee extended the concept of a generalized derivation. Accordingly, let I be a dense right ideal of R and  $\delta: I \to Q_{mr}$  be a derivation. A generalized derivation is an additive mapping  $F: I \to Q_{mr}$  such that  $F(xy) = F(x)y + x\delta(y)$  holds for all  $x, y \in I$ . Further, in this paper Lee also showed that *F* can be uniquely extended to a generalized derivation of  $Q_{mr}$  and defined as  $F(x) = ax + \delta(x)$  for some  $a \in Q_{mr}$  (see [14], Theorem 3.)

Recall that, a nonempty set *J*, which is an additive subgroup of *R* is said to be a Jordan ideal of *R* if  $J \circ R \subseteq J$ . The following are some well known facts about Jordan ideals: if *J* be a nonzero Jordan ideal of a ring and  $u \in J$ , then

I.  $2J[R, R] \subseteq J, 2[R, R]J \subseteq J$  ([1], Lemma 2.4)

- II.  $4u^2R \subseteq J, 4Ru^2 \subseteq J$  and  $2[u^2, R] \subseteq J$  ([15], proof of Lemma 3)
- III.  $4uRu \subseteq J$  ([15], proof of Theorem 3)
- IV.  $4[u, R]u \subseteq J$  and  $4u[u, R] \subseteq J$

A classical result of Herstein [16] states that if a prime ring R with char $(R) \neq 2$  admits a derivation d such that d(x)d(y) = d(y)d(x) for all  $x, y \in R$ , then R is commutative. Motivated by this situation, Bell and Daif [17] without any restriction on the char (R), obtained the same conclusion from the identity d(xy) = d(yx) (i.e. d([x, y]) = 0) where x, y varies over a nonzero ideal of R. In an addition to this, recently Oukhtite et al. [3] proved the following theorem: Let R be a 2-torsion free prime ring and J be a nonzero Jordan ideal of R. If R admits a nonzero derivation d such that  $d([x, y]) \in Z(R)$  for all  $x, y \in J$ , then R is commutative. In this paper, we intend to prove this result for generalized derivations.

### 2. Main Results

**Lemma 2.1.** Let *R* be a ring and *J* be a Jordan ideal of *R*. Then  $[[J, J], R] \subseteq J$ .

*Proof.* For any  $r \in R$  and  $x \in J$ , we have  $x \circ r \in J$ . That is,  $xr + rx \in J$ . For any  $y \in J$ , we have  $xyr + yrx + yxr - yxr = [x, y]r + y(x \circ r) \in J$ . Again we have  $ryx + xry - rxy + rxy = -r[x, y] + (x \circ r)y \in J$ . On combining these two expressions, we obtain  $[[x, y], r] + y \circ (x \circ r) \in J$  for any  $x, y \in J$  and  $r \in R$ . Clearly,  $y \circ (x \circ r) \in J$ . Therefore, we have  $[[x, y], r] \in J$  for all  $x, y \in J$  and  $r \in R$ .

**Lemma 2.2.** Let *R* be a 2-torsion free semi-prime ring and  $J \nsubseteq Z(R)$  be a Jordan ideal of *R*. Then *J* contains a nonzero ideal of *R*.

*Proof.* By Lemma 2.1, we have  $[[x, y], r] \in J$  for any  $x, y \in J$  and  $r \in R$ . For some  $z \in J$ , we find  $[x, y]zr - zr[x, y] = [x, y]zr - z[x, y]r + z[x, y]r - zr[x, y] = [[x, y], z]r + z[[x, y], r] = [[x, y], z]r + z[xy, r] - z[yx, r] \in J$ . By Lemma 2.4 in [1], we have  $2z[xy, r] \in J$  and  $2z[yx, r] \in J$  for all  $x, y, z \in J$  and  $r \in R$ . On combining these expressions, we obtain  $2[[x, y], z]r \in J$  for all  $x, y, z \in J$  and  $r \in R$ . Again, it gives  $2[[x, y], z]rs + 2s[[x, y], z]r \in J$ , where  $x, y, z \in J$  and  $r, s \in R$ . It implies that  $2R[[J, J], J]R \subseteq J$ . Further, if 2R[[J, J], J]R = (0) i.e. R[[J, J], J]R = (0) it forces that  $(R[[J, J], J])^2 = (0)$ , which contradicts the semi-primeness of R. Hence, J contains a nonzero ideal of R.

The following lemma is may be of independent interest.

### **Lemma 2.3.** Let *R* be a ring and *J* be a Jordan ideal of *R*. Then $2R[J^2, J]R \subseteq J$ .

*Proof.* It is well known that  $2[x^2, r] \in J$  for any  $x \in J$  and  $r \in R$ . For some  $y \in J$ , we replace r by yr and get  $2(x^2yr - yrx^2) \in J$ . That means,  $2(x^2y - yx^2)r + 2y(x^2r - rx^2) \in J$ , where  $x, y \in J$  and  $r \in R$ . Since  $2y[x^2, r] \in J$ , we must have  $2(x^2y - yx^2)r \in J$ . Therefore,  $2((x^2y - yx^2)r)s + 2s(x^2y - yx^2)r \in J$  for any  $x, y \in J$  and  $r, s \in R$ . Hence, we obtain  $R[2J^2, J]R \subseteq J$ .

**Lemma 2.4.** Let *R* be a 2-torsion prime ring. Let  $J \not\subseteq Z(R)$  be a Jordan ideal of *R* and  $d : R \to R$  be a derivation of *R*. If  $x^2d(x^2) = 0$  for all  $x \in J$ , then d = 0.

*Proof.* By hypothesis, we have  $x^2(d(x) \circ x) = 0$  for any  $x \in J$ . By Lemma 2.2, J contains a nonzero ideal I of R i.e.  $I \subseteq J$ , where 2R[[J, J], J]R = I. That gives,  $x^2(d(x) \circ x) = 0$  for all  $x \in I$ . By Kharchenko's theory [6] of differential identities, we have the following two cases:

Case 1: If d is a Q-outer derivation, then I satisfies the polynomial identity

$$x^2(y \circ x) = 0,$$

for all  $x, y \in I$ . On replacing y by 2x, we have  $(2x^2)^2 = 0$  for all  $x \in I$ . Which is a contradiction by Xu [11].

<u>Case 2</u>: Suppose *d* is a *Q*-inner derivation induced by some  $q \in Q$  i.e d(r) = [q, r] for all  $r \in R$ . For any  $x \in I$ , we have

$$x^2([q, x] \circ x) = 0.$$

In view of Theorem 1 in [7], Q and I satisfy same GPIs. Therefore, we have

$$u^2([q,u]\circ u)=0,$$

for all  $u \in Q$ . By Theorem 2.5 and 3.5 in [8], Q and  $Q \bigotimes_C \overline{C}$  both are prime and centrally closed. So, we may replace R by Q or  $Q \bigotimes_C \overline{C}$  according as C is finite or infinite. In case, Q has infinite center C, we have  $u^2([q, u] \circ u) = 0$  for any  $u \in Q \bigotimes_C \overline{C}$ , where  $\overline{C}$  stands for algebraic closure of extended centroid C. Thus, we may assume that R is centrally closed over C (i.e. RC = R) which is either finite or algebraically closed and

$$u^{2}([q,u] \circ u) = 0, \tag{1}$$

for all  $u \in R$ . By Theorem 3 of Martindale [9], *RC* (and so *R*) is a primitive ring having nonzero socle  $\Im$  with associated division ring *D*. Now, by a result of Jacobson [[10], pg. 75], *R* is isomorphic to a dense ring of linear transformations of some vector space  $\mathcal{V}$  over *D* and  $\Im$  contains the linear transformation of *R* with finite rank. If  $\mathcal{V}$  is finite dimensional over *D*, the density of *R* on  $\mathcal{V}$  implies that  $R \cong M_h(D)$ , where  $h = \dim_D(\mathcal{V})$ . Let us suppose that  $\dim_D(\mathcal{V}) \ge 2$ , otherwise we are done.

Next, for any  $v \in \mathcal{V}$ , we claim that  $\{v, qv\}$  is a linearly *D*-dependent set. If qv = 0, then there is nothing to prove. Let  $qv \neq 0$ . If possible, we assume that *v* and *qv* are linearly independent over *D*. By the density of *R*, we can find some  $x \in R$  such that

$$xv = 0, \qquad xqv = qv$$

The equation (1) forces that

$$0 = (u^2([q, u] \circ u))v = -qv,$$

which is a contradiction. Thus,  $\{v, qv\}$  must be linearly dependent over *D* for all  $v \in \mathcal{V}$ . That means, we can find some  $\beta \in D$  such that  $qv = v\beta$ . Next, we shall show that  $\beta$  is independent of the choice of *v*. Let us choose linearly independent *u* and *v* in  $\mathcal{V}$ . By above process, we can find  $\beta_u, \beta_v$  and  $\beta_{u+v}$  in *D* such that

$$qu = u\beta_u, qv = v\beta_v$$
 and  $q(u + v) = \beta_{u+v}$ .

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Further,  $u\beta_u + v\beta_v = (u + v)\beta_{u+v}$ . It implies  $u(\beta_u - \beta_{u+v}) + v(\beta_v - \beta_{u+v}) = 0$ . Hence,  $\beta_u = \beta_v = \beta_{u+v}$ , as *u* and *v* are chosen to be linearly independent.

Now, for any  $r \in R$  and  $v \in V$ , we have  $qv = v\beta$ ,  $r(qv) = r(v\beta)$  and  $q(rv) = rv\beta$ . It implies that [q, r]v = 0 for all  $v \in V$ . But V is left faithful irreducible *R*-module, hence [q, r] = 0 i.e.  $q \in Z(R)$  i.e. d = 0.

**Theorem 2.5.** Let R be a 2-torsion free prime ring. Let J be a nonzero Jordan ideal of R and  $F : R \to R$  be a generalized derivation of R associated with a nonzero derivation d. If  $F([J, J]) \in Z(R)$ , then R is commutative.

*Proof.* We divide the proof into the following two cases:

Case 1: If  $J \subseteq Z(R)$ . With the aid of Lemma 3 of [2], *R* is commutative.

<u>Case 2</u>: If  $J \notin Z(R)$ . Firstly, we claim that  $Z(R) \cap J \neq (0)$ . Let us assume that  $Z(R) \cap J = (0)$ . By our hypothesis,  $F([u, v]) \in Z(R)$  for all  $u, v \in J$ . We replace u and v by  $2u^2$  and  $2vu^2$  respectively in order to get  $4F([u^2, vu^2]) \in Z(R)$ . It is easy to see that  $4F([u^2, vu^2]) = 4F([u^2, v])u^2 + 4[u^2, v](d(u) \circ u) \in J$ . Therefore, we find  $4F([u^2, v]u^2) = 0$  for all  $u, v \in J$ . That gives

$$F([u^2, v])u^2 + [u^2, v]d(u^2) = 0$$
(2)

On replacing v by  $2vu^2$  in (2), we get

$$F[u^{2}, v]u^{4} + [u^{2}, v]d(u^{2})u^{2} + [u^{2}, v]u^{2}d(u^{2}) = 0$$
(3)

On combining Eq. (2) and Eq. (3), we get  $[u^2, v]u^2d(u^2) = 0$ . Substitute v = 2[r, s]v, we get  $[u^2, [r, s]]Ju^2d(u^2) = (0)$ . Primeness of *J* implies that either  $[u^2, [r, s]] = 0$  or  $u^2d(u^2) = 0$ . Let us assume that  $u^2d(u^2) = 0$  for all  $u \in J$ . It leads to a contradiction with the aid of Lemma 2.4. In the latter case, we have  $[u^2, [r, s]] = 0$  for any  $u \in J$  and  $r, s \in R$ . Putting r = sr, we get  $[u^2, s][r, s] = 0$ . It implies that  $[u^2, s]R[r, s] = (0)$ . It forces that  $u^2 \in Z(R)$ . From the proof of Lemma 5 in [3],  $J \subseteq Z(R)$ , again a contradiction.

Therefore, we must have  $0 \neq w \in Z(R) \cap J$ . By our hypothesis, we have  $F([u, v]) \in Z(R)$  for all  $u, v \in J$ . Replace v by  $2v^2w$ , we get  $F([u, 2v^2])w + [u, 2v^2]d(w) \in Z(R)$ . Since  $F([u, 2v^2])$  and ware in Z(R), so we find  $[[u, 2v^2], r]d(w) = 0$  for all  $u, v \in J$ . It implies that  $[[u, 2v^2], r]Rd(w) = (0)$ . Therefore, either  $[[u, 2v^2], r] = 0$  or d(w) = 0. Let us consider  $[[u, 2v^2], r] = 0$ . Put v = v + w, we get [[u, 2vw], r] = 0, since  $w \in Z(R)$ . It implies that  $[[x, y], r]w = 0 \Rightarrow [[x, y], r]Rw = (0)$ . But  $w \neq 0$ , so only possibility is [[x, y], r] = 0, where  $x, y \in J$  and  $r \in R$ . That is  $[J, J] \subseteq Z(R)$ . Hence,  $J \subseteq Z(R)$  by Lemma 3 of [4], which is not possible.

On other side if d(w) = 0. For some  $r \in R$ , we substitute 2rw in the place of u in the equation  $F([u, v]) \in Z(R)$ , we get  $F([r, v])w \in Z(R)$ . It implies that  $F([r, v]) \in Z(R)$ . Replacing y by 2sw, by the same reasons we get  $F([r, s]) \in Z(R)$  for all  $r, s \in R$ . Let  $\zeta(r, s) = rs - sr$ , a multilinear polynomial in R. Then we have  $F(\zeta(r, s)) \in Z(R)$  i.e.  $[F(\zeta(r, s)), \zeta(r, s)] = 0$ . By Theorem 2 in [5], either  $\zeta(r, s)$  is central valued or  $F(x) = \lambda x$  for all  $x \in R$  and for some  $\lambda \in C$ . In case,  $F(x) = \lambda x$ , our hypothesis yields that  $\lambda[r, s] \in Z(R)$ . Since  $F \neq 0$  so  $\lambda \neq 0$  and hence  $[r, s] \in Z(R)$ . It implies that R is commutative.  $\Box$ 

It is trivial that, if *F* is a generalized derivation of *R* associated with a derivation *d*, then so is  $F \pm I$ , where *I* is the identity map on *R*.

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**Corollary 2.1.** Let *R* be a 2-torsion free prime ring. Let *J* be a nonzero Jordan ideal of *R* and  $F : R \rightarrow R$  be a generalized derivation of *R* associated with a nonzero derivation *d*. If any one of the following:

1.  $F([x, y]) + [x, y] \in Z(R)$ 

2.  $F([x, y]) - [x, y] \in Z(R)$ 

holds on J, then R is commutative.

We conclude with the following remark, which shows that our main result can't be extended to the class of semiprime rings.

*Remark* 2.6. Let  $R^1$  be any noncommutative semiprime ring and  $S^1$  be any commutative integral domain. Evidently,  $R = S^1 \times R^1$  is a semiprime ring and  $J = S^1 \times \{0\}$  is a nonzero Jordan ideal of R. Let  $F : R^1 \to R^1$  be a generalized derivation of  $R^1$  associated with a derivation d. We define a mapping  $\mathcal{F} : R \to R$  as  $(s, r) \mapsto (0, F(r))$  and a mapping  $\delta : R \to R$  as  $(s, r) \mapsto (0, d(r))$ . Note that,  $\mathcal{F}$  is a generalized derivation of R associated with derivation  $\delta$ . Now, it is easy to check that  $\mathcal{F}([J, J]) \in Z(R)$ , but R is not commutative.

### Acknowledgments

The authors are greatly indebted to the anonymous referee for his/her valuable comments. They are also thankful to Dr. Basudeb Dhara for helpful discussions.

### **Conflict of Interest**

No potential conflict of interest was reported by the authors.

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