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# **Research article**

# Permutational behavior of reversed Dickson polynomials over finite fields

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**Abstract:** In this paper, we develop the method presented previously by Hong, Qin and Zhao to obtain several results on the permutational behavior of the reversed Dickson polynomial  $D_{n,k}(1, x)$  of the (k + 1)-th kind over the finite field  $\mathbb{F}_q$ . Particularly, we present the explicit evaluation of the first moment  $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ . Our results extend the results of Hong, Qin and Zhao to the general  $k \ge 0$  case.

**Keywords:** Permutation polynomial; Reversed Dickson polynomial of the (k + 1)-th kind; Finite field; Generating function

## 1. Introduction

Permutation polynomials and Dickson polynomials are two of the most important topics in the area of finite fields. Let  $\mathbb{F}_q$  be the finite field of characteristic p with q elements. Let  $\mathbb{F}_q[x]$  be the ring of polynomials over  $\mathbb{F}_q$  in the indeterminate x. If the polynomial  $f(x) \in \mathbb{F}_q[x]$  induces a bijective map from  $\mathbb{F}_q$  to itself, then  $f(x) \in \mathbb{F}_q[x]$  is called a *permutation polynomial* of  $\mathbb{F}_q$ . Properties, constructions and applications of permutation polynomials may be found in [4], [5] and [6]. Associated to any integer  $n \ge 0$  and a parameter  $a \in \mathbb{F}_q$ , the *n*-th *Dickson polynomials of the first kind and of the second kind*, denoted by  $D_n(x, a)$  and  $E_n(x, a)$ , are defined for  $n \ge 1$  by

$$D_n(x,a) := \sum_{i=0}^{\left\lfloor \frac{n}{2} \right\rfloor} \frac{n}{n-i} \binom{n-i}{i} (-a)^i x^{n-2i}$$

and

$$E_n(x,a) := \sum_{i=0}^{\left[\frac{n}{2}\right]} {\binom{n-i}{i}} (-a)^i x^{n-2i},$$

respectively, and  $D_0(x, a) := 2$ ,  $E_0(x, a) := 1$ . It is well known that  $D_n(x, 0)$  is a permutation polynomial of  $\mathbb{F}_q$  if and only if gcd(n, q - 1) = 1, and if  $a \neq 0$ , then  $D_n(x, a)$  induces a permutation of  $\mathbb{F}_q$  if and

only if  $gcd(n, q^2 - 1) = 1$ . There are lots of published results on permutational properties of Dickson polynomial  $E_n(x, a)$  of the second kind (see, for example, [1]).

The reversed Dickson polynomial of the first kind, denoted by  $D_n(a, x)$ , was introduced in [3] and defined as follows

$$D_n(a,x) := \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n}{n-i} \binom{n-i}{i} (-x)^i a^{n-2i}$$

if  $n \ge 1$  and  $D_0(a, x) = 2$ , where  $[\frac{n}{2}]$  means the largest integer no more than  $\frac{n}{2}$ . Wang and Yucas [7] extended this concept to that of *the n-th reversed Dickson polynomial of* (k + 1)*-th kind*  $D_{n,k}(a, x) \in \mathbb{F}_a[x]$ , which is defined for  $n \ge 1$  by

$$D_{n,k}(a,x) := \sum_{i=0}^{\left\lfloor \frac{n}{2} \right\rfloor} \frac{n-ki}{n-i} \binom{n-i}{i} (-x)^i a^{n-2i}$$
(1.1)

and  $D_{0,k}(a, x) = 2 - k$ . Some families of permutation polynomials from the revered Dickson polynomials of the first kind were obtained in [3]. Hong, Qin and Zhao [2] studied the revered Dickson polynomial  $E_n(a, x)$  of the second kind that is defined for  $n \ge 1$  by

$$E_n(a, x) := \sum_{i=0}^{\left[\frac{n}{2}\right]} {\binom{n-i}{i}} (-x)^i a^{n-2i}$$

and  $E_0(a, x) = 1$ . In fact, they gave some necessary conditions for the revered Dickson polynomial  $E_n(1, x)$  of the second kind to be a permutation polynomial of  $\mathbb{F}_q$ . Regarding the revered Dickson polynomial  $D_{n,2}(a, x) \in \mathbb{F}_q[x]$  of the third kind, from its definition one can derive that

$$D_{n,2}(a,x) = aE_{n-1}(a,x)$$
(1.2)

for each  $x \in \mathbb{F}_q$ . Using (1.2), one can deduce immediately from [2] the similar results on the permutational behavior of the reversed Dickson polynomial  $D_{n,2}(a, x)$  of the third kind.

In this paper, our main goal is to develop the method presented by Hong, Qin and Zhao in [2] to investigate the reversed Dickson polynomial  $D_{n,k}(a, x)$  of the (k + 1)-th kind which is defined by (1.1) if  $n \ge 1$  and  $D_{0,k}(a, x) := 2 - k$ . For  $a \ne 0$ , we write x = y(a - y) with an indeterminate  $y \ne \frac{a}{2}$ . Then one can rewrite  $D_{n,k}(a, x)$  as

$$D_{n,k}(a,x) = \frac{((k-1)a - (k-2)y)y^n - (a + (k-2)y)(a-y)^n}{2y - a}.$$
(1.3)

We have

$$D_{n,k}\left(a,\frac{a^2}{4}\right) = \frac{(kn-k+2)a^n}{2^n}.$$
(1.4)

In fact, (1.3) and (1.4) follow from Theorem 2.2 (i) and Theorem 2.4 (i) below. It is easy to see that if  $\operatorname{char}(\mathbb{F}_q) = 2$ , then  $D_{n,k}(a, x) = E_n(a, x)$  if k is odd and  $D_{n,k}(a, x) = D_n(a, x)$  if k is even. We also find that  $D_{n,k}(a, x) = D_{n,k+p}(a, x)$ , so we can restrict p > k. Thus we always assume  $p = \operatorname{char}(\mathbb{F}_q) \ge 3$  in what follows.

The paper is organized as follows. First in section 2, we study the properties of the reversed Dickson polynomial  $D_{n,k}(a, x)$  of the (k + 1)-th kind. Subsequently, in Section 3, we prove a necessary condition

AIMS Mathematics

for the reversed Dickson polynomial  $D_{n,k}(1, x)$  of the (k + 1)-th kind to be a permutation polynomial of  $\mathbb{F}_q$  and then introduce an auxiliary polynomial to present a characterization for  $D_{n,k}(1, x)$  to be a permutation of  $\mathbb{F}_q$ . From the Hermite criterion [4] one knows that a function  $f : \mathbb{F}_q \to \mathbb{F}_q$  is a permutation polynomial of  $\mathbb{F}_q$  if and only if the *i*-th moment

$$\sum_{a \in \mathbb{F}_q} f(a)^i = \begin{cases} 0, & \text{if } 0 \le i \le q - 2, \\ -1, & \text{if } i = q - 1. \end{cases}$$

Thus to understand well the permutational behavior of the reversed Dickson polynomial  $D_{n,k}(1, x)$  of the (k + 1)-th kind, we would like to know if the *i*-th moment  $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)^i$  is computable. We are able to treat with this sum when i = 1. The final section is devoted to the computation of the first moment  $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ .

#### **2.** Reversed Dickson polynomials of the (k + 1)-th kind

In this section, we study the properties of the reversed Dickson polynomials  $D_{n,k}(a, x)$  of the (k + 1)-th kind. Clearly, if a = 0, then

$$D_{n,k}(0,x) = \begin{cases} 0, & \text{if } n \text{ is odd,} \\ (-1)^{\frac{n}{2}+1}(k-2)x^{\frac{n}{2}}, & \text{if } n \text{ is even.} \end{cases}$$

Therefore,  $D_{n,k}(0, x)$  is a PP (permutation polynomial) of  $\mathbb{F}_q$  if and only if *n* is an even integer with  $gcd(\frac{n}{2}, q-1) = 1$ . In what follows, we always let  $a \in \mathbb{F}_q^*$ . First, we give a basic fact as follows.

**Lemma 2.1.** [4] Let  $f(x) \in \mathbb{F}_q[x]$ . Then f(x) is a PP of  $\mathbb{F}_q$  if and only if cf(dx) is a PP of  $\mathbb{F}_q$  for any given  $c, d \in \mathbb{F}_q^*$ .

Then we can deduce the following result.

**Theorem 2.2.** Let  $a, b \in \mathbb{F}_{a}^{*}$ . Then the following are true.

- (i). One has  $D_{n,k}(a, x) = \frac{a^n}{b^n} D_{n,k}(b, \frac{b^2}{a^2}x)$ .
- (ii). We have that  $D_{n,k}(a, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$ .

*Proof.* (i). By the definition of  $D_{n,k}(a, x)$ , we have

$$\frac{a^{n}}{b^{n}}D_{n,k}\left(b,\frac{b^{2}}{a^{2}}x\right) = \frac{a^{n}}{b^{n}}\sum_{i=0}^{\left\lfloor\frac{n}{2}\right\rfloor}\frac{n-ki}{n-i}\binom{n-i}{i}(-1)^{i}b^{n-2i}\frac{b^{2i}}{a^{2i}}x^{i}$$
$$=\sum_{i=0}^{\left\lfloor\frac{n}{2}\right\rfloor}\frac{n-ki}{n-i}\binom{n-i}{i}(-1)^{i}a^{n-2i}x^{i}$$
$$=D_{n,k}(a,x)$$

as required. Part (i) is proved.

(ii). Taking b = 1 in part (i), we have

$$D_{n,k}(a,x) = a^n D_{n,k}\left(1,\frac{x}{a^2}\right).$$

It then follows from Lemma 2.1 that  $D_{n,k}(a, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$ . This completes the proof of part (ii). So Theorem 2.2 is proved.

AIMS Mathematics

Theorem 2.2 tells us that to study the permutational behavior of  $D_{n,k}(a, x)$  over  $\mathbb{F}_q$ , one only needs to consider that of  $D_{n,k}(1, x)$ . In the following, we supply several basic properties on the reversed Dickson polynomial  $D_{n,k}(1, x)$  of the (k + 1)-th kind. The following result is given in [2].

**Lemma 2.3.** [2] Let  $n \ge 0$  be an integer. Then

$$D_n(1, x(1-x)) = x^n + (1-x)^n$$

and

$$E_n(1, x(1-x)) = \frac{x^{n+1} - (1-x)^{n+1}}{2x - 1}$$

if  $x \neq \frac{1}{2}$ .

Theorem 2.4. Each of the following is true.

(i). For any integer  $n \ge 0$ , we have

$$D_{n,k}(1,\frac{1}{4}) = \frac{kn-k+2}{2^n}$$

and

$$D_{n,k}(1,x) = \frac{(k-1-(k-2)y)y^n - (1+(k-2)y)(1-y)^n}{2y-1}$$

*if*  $x = y(1 - y) \neq \frac{1}{4}$ .

(ii). If  $n_1$  and  $n_2$  are positive integers such that  $n_1 \equiv n_2 \pmod{q^2 - 1}$ , then one has  $D_{n_1,k}(1, x_0) = D_{n_2,k}(1, x_0)$  for any  $x_0 \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ .

*Proof.* (i). First of all, it is easy to see that  $D_{0,k}(1, \frac{1}{4}) = 2 - k = \frac{k \times 0 - k + 2}{2^0}$  and  $D_{1,k}(1, \frac{1}{4}) = 1 = \frac{k \times 1 - k + 2}{2^1}$ . the first identity is true for the cases that n = 0 and 1. Now let  $n \ge 2$ . Then one has

$$D_{n,k}(1, \frac{1}{4}) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n - ki}{n - i} \binom{n - i}{i} (-\frac{1}{4})^{i}$$
  
$$= \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n - (k - 1)i}{n - i} \binom{n - i}{i} (-\frac{1}{4})^{i} + \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \frac{-i}{n - i} \binom{n - i}{i} (-\frac{1}{4})^{i}$$
  
$$= D_{n,k-1}(1, \frac{1}{4}) + \frac{1}{4} \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor - 1} \binom{n - 2 - i}{i} (-\frac{1}{4})^{i}$$
  
$$= D_{n,k-1}(1, \frac{1}{4}) + \frac{1}{4} E_{n-2}(1, \frac{1}{4}),$$

which follows from Theorem 2.2 (1) in [2] that

$$D_{n,k}\left(1,\frac{1}{4}\right) = D_{n,1}\left(1,\frac{1}{4}\right) + (k-1)\frac{1}{4}E_{n-2}\left(1,\frac{1}{4}\right)$$
$$= \frac{n+1}{2^n} + \frac{(k-1)n - (k-1)}{2^n}$$
$$= \frac{kn-k+2}{2^n}$$

AIMS Mathematics

as desired. So the first identity is proved.

Now we turn our attention to the second identity. Let  $x \neq \frac{1}{4}$ , then there exists  $y \in \mathbb{F}_{q^2} \setminus \{\frac{1}{2}\}$  such that x = y(1 - y). So by the definition of the *n*-th reversed Dickson polynomial of the (k + 1)-th kind, one has

$$D_{n,k}(1, y(1-y)) = \sum_{i=0}^{\left\lfloor \frac{n}{2} \right\rfloor} \frac{n-ki}{n-i} {n-i \choose i} (-y(1-y))^i$$
  

$$= \sum_{i=0}^{\left\lfloor \frac{n}{2} \right\rfloor} \frac{k(n-i)-kn}{n-i} {n-i \choose i} (-y(1-y))^i$$
  

$$= k \sum_{i=0}^{\left\lfloor \frac{n}{2} \right\rfloor} {n-i \choose i} (-y(1-y))^i - (k-1) \sum_{i=0}^{\left\lfloor \frac{n}{2} \right\rfloor} \frac{n}{n-i} {n-i \choose i} (-y(1-y))^i$$
  

$$= k E_n (1, y(1-y)) - (k-1) D_n (1, y(1-y)).$$
(2.1)

But Lemma 2.3 gives us that

$$D_n(1, y(1-y)) = y^n + (1-y)^n$$
(2.2)

and

$$E_n(1, y(1-y)) = \sum_{i=0}^n y^{n-i}(1-y)^i = \frac{y^{n+1} - (1-y)^{n+1}}{2y-1}.$$
(2.3)

Thus it follows from (2.1) to (2.3) that

$$D_{n,k}(1, x) = D_{n,k}(1, y(1 - y))$$
  
=  $kE_n(1, y(1 - y)) - (k - 1)D_n(1, y(1 - y))$   
=  $\frac{ky^{n+1} - k(1 - y)^{n+1}}{2y - 1} - (k - 1)(y^n + (1 - y)^n)$   
=  $\frac{(k - 1 - (k - 2)y)y^n - (1 + (k - 2)y)(1 - y)^n}{2y - 1}$ 

as required. So the second identity holds. Part (i) is proved.

(ii). For each  $x_0 \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ , one can choose an element  $y_0 \in \mathbb{F}_{q^2} \setminus \{\frac{1}{2}\}$  such that  $x_0 = y_0(1 - y_0)$ . Since  $n_1 \equiv n_2 \pmod{q^2 - 1}$ , one has  $y_0^{n_1} = y_0^{n_2}$  and  $(1 - y_0)^{n_1} = (1 - y_0)^{n_2}$ . It then follows from part (i) that

$$D_{n_1,k}(1, x_0) = D_{n_1,k}(1, y_0(1 - y_0))$$
  
=  $\frac{(k - 1 - (k - 2)y_0)y_0^{n_1} - (1 + (k - 2)y_0)(1 - y_0)^{n_1}}{2y_0 - 1}$   
=  $\frac{(k - 1 - (k - 2)y_0)y_0^{n_2} - (1 + (k - 2)y_0)(1 - y_0)^{n_2}}{2y_0 - 1}$   
=  $D_{n_2,k}(1, x_0)$ 

as desired. This ends the proof of Theorem 2.4.

AIMS Mathematics

Volume 2, Issue 2, 244-259

Evidently, by Theorem 2.2 (i) and Theorem 2.4 (i) one can derive that (1.3) and (1.4) are true.

**Proposition 2.5.** Let  $n \ge 2$  be an integer. Then the recursion

$$D_{n,k}(1,x) = D_{n-1,k}(1,x) - xD_{n-2,k}(1,x)$$

*holds for any*  $x \in \mathbb{F}_q$ *.* 

Proof. We consider the following two cases.

CASE 1.  $x \neq \frac{1}{4}$ . For this case, one may let x = y(1 - y) with  $y \in \mathbb{F}_{q^2} \setminus \{\frac{1}{2}\}$ . Then by Theorem 2.4 (i), we have

$$\begin{aligned} D_{n-1,k}(1,x) - xD_{n-2,k}(1,x) &= D_{n-1,k}(1,y(1-y)) - y(1-y)D_{n-2,k}(1,y(1-y)) \\ &= \frac{(k-1-(k-2)y)y^{n-1} - (1+(k-2)y)(1-y)^{n-1}}{2y-1} \\ &- y(1-y)\frac{(k-1-(k-2)y)y^{n-2} - (1+(k-2)y)(1-y)^{n-2}}{2y-1} \\ &= \frac{(k-1-(k-2)y)y^n - (1+(k-2)y)(1-y)^n}{2y-1} \\ &= D_{n,k}(1,x) \end{aligned}$$

as required.

CASE 2.  $x = \frac{1}{4}$ . Then by Theorem 2.4 (i), we have

$$D_{n-1,k}\left(1,\frac{1}{4}\right) - \frac{1}{4}D_{n-2,k}\left(1,\frac{1}{4}\right) = \frac{k(n-1)-k+2}{2^{n-1}} - \frac{1}{4}\frac{k(n-2)-k+2}{2^{n-2}}$$
$$= \frac{kn-k+2}{2^n}$$
$$= D_{n,k}\left(1,\frac{1}{4}\right).$$

This concludes the proof of Proposition 2.5.

By Proposition 2.5, we can obtain the generating function of the reversed Dickson polynomial  $D_{n,k}(1, x)$  of the (k + 1)-th kind as follows.

**Proposition 2.6.** *The generating function of*  $D_{n,k}(1, x)$  *is given by* 

$$\sum_{n=0}^{\infty} D_{n,k}(1,x)t^n = \frac{(k-1)t - k + 2}{1 - t + xt^2}.$$

*Proof.* By the recursion presented in Proposition 2.5, we have

$$(1 - t + xt^2) \sum_{n=0}^{\infty} D_{n,k}(1, x)t^n = \sum_{n=0}^{\infty} D_{n,k}(1, x)t^n - \sum_{n=0}^{\infty} D_{n,k}(1, x)t^{n+1} + x \sum_{n=0}^{\infty} D_{n,k}(1, x)t^{n+2}$$
$$= (k - 1)t - k + 2 + \sum_{n=0}^{\infty} (D_{n+2,k}(1, x) - D_{n+1,k}(1, x) + xD_{n,k}(1, x))t^{n+2}$$
$$= (k - 1)t - k + 2.$$

Thus the desired result follows immediately.

AIMS Mathematics

Volume 2, Issue 2, 244-259

Let V be defined by

$$V := \{ x \in \mathbb{F}_{q^2} : x^q = 1 - x \}.$$

Clearly,  $\mathbb{F}_q \cap V = \{\frac{1}{2}\}$ . Then we obtain a characterization for  $D_{n,k}(1, x)$  to be a PP of  $\mathbb{F}_q$  as follows.

**Theorem 2.8.** Let  $q = p^e$  with p > 3 being a prime and e being a positive integer. Let

$$f: y \mapsto \frac{(k-1-(k-2)y)y^n - (1+(k-2)y)(1-y)^n}{2y-1}$$

be a mapping on  $(\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ . Then  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if f is 2-to-1 and  $f(y) \neq \frac{kn-k+2}{2^n}$  for any  $y \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ .

*Proof.* First, we show the sufficiency part. Let f be 2-to-1 and  $f(y) \neq \frac{kn-k+2}{2^n}$  for any  $y \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ . Let  $D_{n,k}(1, x_1) = D_{n,k}(1, x_2)$  for  $x_1, x_2 \in \mathbb{F}_q$ . To show that  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$ , it suffices to show that  $x_1 = x_2$ , which will be done in what follows.

First of all, one can find  $y_1, y_2 \in \mathbb{F}_{q^2}$  satisfying  $x_1 = y_1(1 - y_1)$  and  $x_2 = y_2(1 - y_2)$ . By Lemma 2.7, we know that  $y_1, y_2 \in \mathbb{F}_q \cup V$ . We divide the proof into the following two cases.

CASE 1. At least one of  $x_1$  and  $x_2$  is equal to  $\frac{1}{4}$ . Without loss of any generality, we may let  $x_1 = \frac{1}{4}$ . So by Theorem 2.4 (i), one derives that

$$D_{n,k}(1,x_2) = D_{n,k}(1,x_1) = D_{n,k}\left(1,\frac{1}{4}\right) = \frac{kn-k+2}{2^n}.$$
(2.4)

We claim that  $x_2 = \frac{1}{4}$ . Assume that  $x_2 \neq \frac{1}{4}$ . Then  $y_2 \neq \frac{1}{2}$ . Since  $f(y) \neq \frac{kn-k+2}{2^n}$  for any  $y \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ , by Theorem 2.4 (i), we get that

$$D_{n,k}(1,x_2) = \frac{(k-1-(k-2)y_2)y_2^n - (1+(k-2)y_2)(1-y_2)^n}{2y_2 - 1} = f(y_2) \neq \frac{kn-k+2}{2^n},$$

which contradicts to (2.4). Hence the claim is true, and so we have  $x_1 = x_2$  as required.

CASE 2. Both of  $x_1$  and  $x_2$  are not equal to  $\frac{1}{4}$ . Then  $y_1 \neq \frac{1}{2}$  and  $y_2 \neq \frac{1}{2}$ . Since  $D_{n,k}(1, x_1) = D_{n,k}(1, x_2)$ , by Theorem 2.4 (i), one has

$$\frac{(k-1-(k-2)y_1)y_1^n - (1+(k-2)y_1)(1-y_1)^n}{2y_1 - 1} = \frac{(k-1-(k-2)y_2)y_2^n - (1+(k-2)y_2)(1-y_2)^n}{2y_2 - 1},$$

which is equivalent to  $f(y_1) = f(y_2)$ . However, f is a 2-to-1 mapping on  $(\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ , and  $f(y_2) = f(1 - y_2)$  by the definition of f. It then follows that  $y_1 = y_2$  or  $y_1 = 1 - y_2$ . Thus  $x_1 = x_2$  as desired. Hence the sufficiency part is proved.

Now we prove the necessity part. Let  $D_{n,k}(1, x)$  be a PP of  $\mathbb{F}_q$ . Choose two elements  $y_1, y_2 \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$  such that  $f(y_1) = f(y_2)$ , that is,

$$\frac{(k-1-(k-2)y_1)y_1^n - (1+(k-2)y_1)(1-y_1)^n}{2y_1 - 1} = \frac{(k-1-(k-2)y_2)y_2^n - (1+(k-2)y_2)(1-y_2)^n}{2y_2 - 1}.$$
(2.5)

AIMS Mathematics

Since  $y_1, y_2 \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ , it follows from Lemma 2.7 that  $y_1(1 - y_1) \in \mathbb{F}_q$  and  $y_2(1 - y_2) \in \mathbb{F}_q$ . So by Theorem 2.4 (i), (2.5) implies that

$$D_{n,k}(1, y_1(1 - y_1)) = D_{n,k}(1, y_2(1 - y_2)).$$

Thus  $y_1(1-y_1) = y_2(1-y_2)$  since  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$ , which infers that  $y_1 = y_2$  or  $y_1 = 1 - y_2$ . Since  $y_2 \neq \frac{1}{2}$ , one has  $y_2 \neq 1 - y_2$ . Therefore f is a 2-to-1 mapping on  $(\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ .

Now take  $y' \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ . Then from Lemma 2.7 it follows that  $y'(1 - y') \in \mathbb{F}_q$  and

$$y'(1-y') \neq \frac{1}{2}(1-\frac{1}{2}).$$

Notice that  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$ . Hence one has

$$D_{n,k}(1, y'(1-y')) \neq D_{n,k}\left(1, \frac{1}{2}\left(1-\frac{1}{2}\right)\right).$$

But Theorem 2.4 (i) tells us that

$$D_{n,k}\left(1,\frac{1}{2}\left(1-\frac{1}{2}\right)\right) = \frac{kn-k-2}{2^n}$$

Then by Theorem 2.4 (i) and noting that  $y' \neq \frac{1}{2}$ , we have

$$\frac{(k-1-(k-2)y')y'^n-(1+(k-2)y')(1-y')^n}{2y'-1},$$

which infers that  $f(y') \neq \frac{kn-k-2}{2^n}$  for any  $y' \in (\mathbb{F}_q \cup V) \setminus \{\frac{1}{2}\}$ . So the necessity part is proved.

The proof of Theorem 2.8 is complete.

Now we can use Theorem 2.4 to present an explicit formula for  $D_{n,k}(1, x)$  when *n* is a power of the characteristic *p*. Then we derive the detailed characterization for  $D_{n,k}(1, x)$  being a PP of  $\mathbb{F}_q$  in this case.

**Proposition 2.9.** Let  $p = char(\mathbb{F}_q) \ge 3$  and  $s \ge 0$  be an integer. Then

$$2D_{p^s,k}(1,x) + k - 2 = k(1 - 4x)^{\frac{p^s-1}{2}}.$$

*Proof.* We consider the following two cases.

CASE 1.  $x \neq \frac{1}{4}$ . For this case, putting x = y(1 - y) in Theorem 2.4 (i) gives us that

$$D_{p^{s},k}(1,x) = D_{p^{s},k}(1,y(1-y))$$

$$= \frac{(k-1-(k-2)y)y^{p^{s}} - (1+(k-2)y)(1-y)^{p^{s}}}{2y-1}$$

$$= \frac{\frac{k+(2-k)u}{2}(\frac{u+1}{2})^{p^{s}} - \frac{k+(k-2)u}{2}(\frac{1-u}{2})^{p^{s}}}{u}$$

$$= \frac{1}{2^{p^{s}+1}u} \Big( (k+(2-k)u)(u+1)^{p^{s}} - (k+(k-2)u)(1-u)^{p^{s}} \Big)$$

**AIMS Mathematics** 

Volume 2, Issue 2, 244-259

 $=\frac{1}{2}(ku^{p^{s}-1}-k+2),$ 

where u = 2y - 1. So we obtain that

$$2D_{p^{s},k}(1,x) = k(u^{2})^{\frac{p^{s}-1}{2}} - k + 2 = k((2y-1)^{2})^{\frac{p^{s}-1}{2}} - k + 2,$$

which infers that

$$2D_{p^s,k}(1,x) + k - 2 = k(1-4x)^{\frac{p^s-1}{2}}$$

as desired.

CASE 2.  $x = \frac{1}{4}$ . By Theorem 2.4 (i), one has

$$2D_{p^s,k}(1,\frac{1}{4})+k-2=2\times\frac{kp^s-k+2}{2^{p^s}}+k-2=0=k(1-4\times\frac{1}{4})^{\frac{p^s-1}{2}}$$

as required. So Proposition 2.9 is proved.

It is well known that every linear polynomial over  $\mathbb{F}_q$  is a PP of  $\mathbb{F}_q$  and that the monomial  $x^n$  is a PP of  $\mathbb{F}_q$  if and only if gcd(n, q - 1) = 1. Then by Proposition 2.9, we have the following result.

**Corollary 2.10.** Let  $p \ge 3$  be a prime,  $q = p^e$  with  $e \ge 1$  and  $s \ge 0$  be an integer. Then  $D_{p^s,k}(1, x)$  is a *PP of*  $\mathbb{F}_q$  if and only if  $k \ge 1$ , p = 3, s is odd and gcd(s, e) = 1.

*Proof.* First assume that  $D_{p^s,k}(1,x)$  is a PP of  $\mathbb{F}_{p^e}$ . It then follows from Proposition 2.9 that  $D_{p^s,k}(1,x)$  is a PP of  $\mathbb{F}_{p^e}$  if and only if

$$k(1-4x)^{\frac{p^s-1}{2}} \tag{2.6}$$

is a PP of  $\mathbb{F}_{p^e}$ . Clearly,  $k \ge 1$  and s > 0 in this case. Suppose p > 3, then (2.6) is a PP of  $\mathbb{F}_{p^e}$  if and only if

$$\gcd\left(\frac{p^s-1}{2}, p^e-1\right) = 1.$$

This is impossible since  $\frac{p-1}{2}|\gcd(\frac{p^s-1}{2}, q-1)$  implies that

$$\gcd\left(\frac{p^s-1}{2}, q-1\right) \ge \frac{p-1}{2} > 1.$$

So  $p = 3, k \ge 1$  and s > 0 in what following. Now Suppose s > 0 is even, then it is easy to see that  $2|\gcd(\frac{3^s-1}{2}, 3^e - 1)$  which is a contradiction. This means that *s* must be an odd integer and then so is  $\frac{3^s-1}{2}$ . Thus we have that (2.6) is a PP of  $\mathbb{F}_{p^e}$  if and only if

$$\operatorname{gcd}\left(\frac{3^{s}-1}{2}, 3^{e}-1\right) = \frac{1}{2}\operatorname{gcd}\left(3^{s}-1, 3^{e}-1\right) = \frac{1}{2}(3^{\operatorname{gcd}(s,e)}-1) = 1,$$

which is equivalent to that s is odd and gcd(s, e) = 1. So Corollary 2.10 is proved.

**AIMS Mathematics** 

Volume 2, Issue 2, 244-259

252

#### **3.** A necessary condition for $D_{n,k}(1, x)$ to be permutational and an auxiliary polynomial

In this section, we study a necessary condition on *n* for  $D_{n,k}(1, x)$  to be a PP of  $\mathbb{F}_q$ . On one hand, it is easy to check that

$$D_{0,k}(1,0) = 2 - k, D_{n,k}(1,0) = 1$$

for any  $n \ge 1$  and  $D_{0,k}(1,1) = 2 - k$ ,  $D_{1,k}(1,1) = 1$ . On the other hand, Proposition 2.5 tells us that

$$D_{n+2,k}(1,1) = D_{n+1,k}(1,1) - D_{n,k}(1,1)$$

for  $n \ge 0$ . Then one can easily show that the sequence  $\{D_{n,k}(1,1)|n \in \mathbb{N}\}\$  is periodic with the smallest positive periods 6. In fact, one has

$$D_{n,k}(1,1) = \begin{cases} 2-k, & \text{if } n \equiv 0 \pmod{6}, \\ 1, & \text{if } n \equiv 1 \pmod{6}, \\ k-1, & \text{if } n \equiv 2 \pmod{6}, \\ k-2, & \text{if } n \equiv 3 \pmod{6}, \\ -1, & \text{if } n \equiv 4 \pmod{6}, \\ 1-k, & \text{if } n \equiv 5 \pmod{6} \end{cases}$$

So we have the following result.

**Theorem 3.1.** Assume that  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  with  $q = p^e$  and p > 3. Then  $n \not\equiv 1 \pmod{6}$ .

*Proof.* Let  $D_{n,k}(1, x)$  be a PP of  $\mathbb{F}_q$ . Then  $D_{n,k}(1, 0)$  and  $D_{n,k}(1, 1)$  are distinct. Then by the above results, the desired result  $n \neq 1 \pmod{6}$  follows immediately.

Let *n*, *k* be nonnegative integers. We define the following auxiliary polynomial  $p_{n,k}(x) \in \mathbb{Z}[x]$  by

$$p_{n,k}(x) := k \sum_{j \ge 0} \binom{n}{2j+1} x^j - (k-2) \sum_{j \ge 0} \binom{n}{2j} x^j$$

for  $n \ge 1$ , and

$$p_{0,k}(x) := 2^n (2-k).$$

Then we have the following relation between  $D_{n,k}(1, x)$  and  $p_{n,k}(x)$ .

**Theorem 3.2.** Let p > 3 be a prime and  $n \ge 0$  be an integer. Then each of the following is true. (i). One has

$$D_{n,k}(1,x) = \frac{1}{2^n} p_{n,k}(1-4x).$$
(3.1)

(ii). We have that  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $p_{n,k}(x)$  is a PP of  $\mathbb{F}_q$ .

*Proof.* (i). Clearly, (3.1) follows from the definitions of  $p_{0,k}(x)$  and  $D_{0,k}(1, x)$  if n = 0. Then we assume that  $n \ge 1$  in what follows.

First, let  $x \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ . Then there exists  $y \in \mathbb{F}_{q^2} \setminus \{\frac{1}{2}\}$  such that x = y(1 - y). Let u = 2y - 1. It then follows from Theorem 2.4 (i) that

$$\begin{split} D_{n,k}(1,x) &= D_{n,k}(1,y(1-y)) \\ &= \frac{(k-1-(k-2)y)y^n - (1+(k-2)y)(1-y)^n}{2y-1} \\ &= \frac{1}{u} \Big( \frac{-(k-2)u+k}{2} (\frac{u+1}{2})^n - \frac{(k-2)u+k}{2} (\frac{1-u}{2})^n \Big) \\ &= \frac{1}{2^{n+1}u} \Big( k((u+1)^n - (1-u)^n) - (k-2)u((u+1)^n + (1-u)^n) \Big) \\ &= \frac{1}{2^n} \Big( k \sum_{j \ge 0} \binom{n}{2j+1} x^j - (k-2) \sum_{j \ge 0} \binom{n}{2j} u^{2j} \Big) \\ &= \frac{1}{2^n} p_{n,k}(u^2) \\ &= \frac{1}{2^n} p_{n,k}(1-4y(1-y)) \\ &= \frac{1}{2^n} p_{n,k}(1-4x) \end{split}$$

as desired. So (3.1) holds in this case.

Consequently, we let  $x = \frac{1}{4}$ . Then by Theorem 2.4 (i), we have

$$D_{n,k}(1,\frac{1}{4}) = \frac{kn-k+2}{2^n}$$

On the other hand, we can easily check that

$$p_{n,k}(0) = kn - k + 2.$$

Therefore

$$D_{n,k}\left(1,\frac{1}{4}\right) = \frac{1}{2^n}p_{n,k}(0) = \frac{1}{2^n}p_{n,k}\left(1-4\times\frac{1}{4}\right)$$

as one desires. So (3.1) is proved.

(ii). Notice that  $\frac{1}{2^n} \in \mathbb{F}_q^*$  and 1 - 4x is linear. So  $D_{n,k}(1, x)$  is a PP of  $\mathbb{F}_q$  if and only if  $p_{n,k}(x)$  is a PP of  $\mathbb{F}_q$ . This ends the proof of Theorem 3.2.

# 4. The first moment $\sum_{a \in \mathbb{F}_q} D_{n,k}(1,a)$

In this section, we compute the first moment  $\sum_{a \in \mathbb{F}_q} D_{n,k}(1, a)$ . By Proposition 2.6, one has

$$\sum_{n=0}^{\infty} D_{n,k}(1,x)t^n = \frac{(k-1)t-k+2}{1-t+xt^2} = \frac{(k-1)t-k+2}{1-t} \frac{1}{1-\frac{t^2}{t-1}x}$$
$$= \frac{(k-1)t-k+2}{1-t} \left(1 + \sum_{m=1}^{q-1} \sum_{\ell=0}^{\infty} \left(\frac{t^2}{t-1}\right)^{m+\ell(q-1)} x^{m+\ell(q-1)}\right)$$
$$\equiv \frac{2t-1}{1-t} \left(1 + \sum_{m=1}^{q-1} \sum_{\ell=0}^{\infty} \left(\frac{t^2}{t-1}\right)^{m+\ell(q-1)} x^m\right) \pmod{x^q-x}$$

**AIMS Mathematics** 

$$= \frac{(k-1)t - k + 2}{1 - t} \left( 1 + \sum_{m=1}^{q-1} \frac{\left(\frac{t^2}{t-1}\right)^m}{1 - \left(\frac{t^2}{t-1}\right)^{q-1}} x^m \right)$$
  
$$= \frac{(k-1)t - k + 2}{1 - t} \left( 1 + \sum_{m=1}^{q-1} \frac{(t-1)^{q-1-m} t^{2m}}{(t-1)^{q-1} - t^{2(q-1)}} x^m \right).$$
(4.1)

Moreover, by Theorem 2.4 (ii), it follows that for any  $x \in \mathbb{F}_q \setminus \{\frac{1}{4}\}$ , one has

$$D_{n_1,k}(1,x) = D_{n_2,k}(1,x)$$

when  $n_1 \equiv n_2 \pmod{q^2 - 1}$ . Thus if  $x \neq \frac{1}{4}$ , one has

$$\sum_{n=0}^{\infty} D_{n,k}(1,x)t^n = 1 + \sum_{n=1}^{q^2-1} \sum_{\ell=0}^{\infty} D_{n+\ell(q^2-1),k}(1,x)t^{n+\ell(q^2-1)}$$
$$= 1 + \sum_{n=1}^{q^2-1} D_{n,k}(1,x) \sum_{\ell=0}^{\infty} t^{n+\ell(q^2-1)}$$
$$= 1 + \frac{1}{1 - t^{q^2-1}} \sum_{n=1}^{q^2-1} D_{n,k}(1,x)t^n.$$
(4.2)

Then (4.1) together with (4.2) gives that for any  $x \neq \frac{1}{4}$ , we have

$$\sum_{n=1}^{q^{2}-1} D_{n,k}(1,x)t^{n} = \Big(\sum_{n=0}^{\infty} D_{n,k}(1,x)t^{n} - 1\Big)(1 - t^{q^{2}-1})$$

$$\equiv \Big(\frac{(k-1)t - k + 2}{1 - t} - 1\Big)(1 - t^{q^{2}-1}) + \frac{(1 - t^{q^{2}-1})((k-1)t - k + 2)}{1 - t}\sum_{m=1}^{q^{-1}} \frac{(t-1)^{q-1-m}t^{2m}}{(t-1)^{q-1} - t^{2(q-1)}}x^{m} \pmod{x^{q} - x}$$

$$= \frac{(kt + 1 - k)(1 - t^{q^{2}-1})}{1 - t} + h(t)\sum_{m=1}^{q^{-1}} (t-1)^{q-1-m}t^{2m}x^{m}, \qquad (4.3)$$

where

$$h(t) := \frac{(t^{q^2-1}-1)((k-1)t-k+2)}{(t-1)^q - (t-1)t^{2(q-1)}}.$$

**Lemma 4.1.** [4] Let  $u_0, u_1, \dots, u_{q-1}$  be the list of the all elements of  $\mathbb{F}_q$ . Then

$$\sum_{i=0}^{q-1} u_i^k = \begin{cases} 0, & \text{if } 0 \le k \le q-2, \\ -1, & \text{if } k = q-1. \end{cases}$$

Now by Theorem 2.4 (i), Lemma 4.1 and (4.3), we derive that

$$\sum_{n=1}^{q^2-1} \sum_{a \in \mathbb{F}_q} D_{n,k}(1,a) t^n = \sum_{n=1}^{q^2-1} D_{n,k}\left(1,\frac{1}{4}\right) t^n + \sum_{n=1}^{q^2-1} \sum_{a \in \mathbb{F}_q \setminus \{\frac{1}{4}\}} D_{n,k}(1,a) t^n$$

AIMS Mathematics

$$=\sum_{n=1}^{q^{2}-1} \frac{kn-k+2}{2^{n}} t^{n} + \sum_{a \in \mathbb{F}_{q} \setminus \{\frac{1}{4}\}} \frac{(kt+1-k)(1-t^{q^{2}-1})}{1-t} + h(t) \sum_{m=1}^{q^{-1}} (t-1)^{q^{-1-m}} t^{2m} \sum_{a \in \mathbb{F}_{q} \setminus \{\frac{1}{4}\}} a^{m}$$

$$=\sum_{n=1}^{q^{2}-1} \frac{kn-k+2}{2^{n}} t^{n} + (q-1) \frac{(kt+1-k)(1-t^{q^{2}-1})}{1-t} + h(t) \sum_{m=1}^{q^{-1}} (t-1)^{q^{-1-m}} t^{2m} \sum_{a \in \mathbb{F}_{q}} a^{m}$$

$$-h(t) \sum_{m=1}^{q^{-1}} (t-1)^{q^{-1-m}} t^{2m} (\frac{1}{4})^{m}$$

$$=\sum_{n=1}^{q^{2}-1} \frac{kn-k+2}{2^{n}} t^{n} - \frac{(kt+1-k)(1-t^{q^{2}-1})}{1-t} - h(t)t^{2(q-1)} - h(t) \sum_{m=1}^{q^{-1}} (t-1)^{q^{-1-m}} t^{2m} (\frac{1}{4})^{m}.$$
(4.4)

Since  $(t-1)^q = t^q - 1$  and q is odd, one has

$$h(t) = \frac{(t^{q^2-1}-1)(2t-1)}{(t-1)^q - (t-1)t^{2(q-1)}}$$
  

$$= \frac{(t^{q^2-1}-1)(2t-1)}{(1-t^{q-1})(t^q - t^{q-1} - 1)}$$
  

$$= \frac{(t^{q^2}-t)(2t-1)}{(t-t^q)(t^q - t^{q-1} - 1)}$$
  

$$= \frac{(t^q - t)^q + t^q - t}{t-t^q} \cdot \frac{2t-1}{t^q - t^{q-1} - 1}$$
  

$$= \frac{(-1 - (t-t^q)^{q-1})(2t-1)}{t^q - t^{q-1} - 1}$$
  

$$= \frac{(2t-1)\sum_{i=0}^{q^2-q} b_i t^i}{t^q - t^{q-1} - 1},$$
(4.5)

where

$$\sum_{i=0}^{q^2-q} b_i t^i := -1 - (t - t^q)^{q-1}.$$

Then by the binomial theorem applied to  $(t - t^q)^{q-1}$ , we can derive the following expression for the coefficient  $b_i$ .

**Proposition 4.2.** For each integer *i* with  $0 \le i \le q^2 - q$ , write  $i = \alpha + \beta q$  with  $\alpha$  and  $\beta$  being integers such that  $0 \le \alpha, \beta \le q - 1$ . Then

$$b_{i} = \begin{cases} (-1)^{\beta+1} {q-1 \choose \beta}, & \text{if } \alpha + \beta = q-1, \\ -1, & \text{if } \alpha = \beta = 0, \\ 0, & \text{otherwise.} \end{cases}$$

For convenience, let

$$a_n := \sum_{a \in \mathbb{F}_q} D_{n,k}(1,a).$$

**AIMS Mathematics** 

Then by (4.4) and (4.5), we arrive at

$$\sum_{n=1}^{q^2-1} \left( a_n - \frac{kn-k+2}{2^n} \right) t^n = -\frac{(kt+1-k)(1-t^{q^2-1})}{1-t} - \frac{(2t-1)\sum_{i=0}^{q^2-q} b_i t^i}{t^q - t^{q-1} - 1} \left( t^{2(q-1)} + \sum_{m=1}^{q-1} (t-1)^{q-1-m} t^{2m} \left( \frac{1}{4} \right)^m \right),$$

which implies that

$$(t^{q} - t^{q-1} - 1) \sum_{n=1}^{q^{2}-1} \left(a_{n} - \frac{kn - k + 2}{2^{n}}\right) t^{n}$$
  
=  $-(t^{q} - t^{q-1} - 1)(kt + 1 - k) \sum_{i=0}^{q^{2}-2} t^{i} - (2t - 1)\left(t^{2(q-1)} + \sum_{k=1}^{q-1}(t - 1)^{q-1-k}t^{2k}\left(\frac{1}{4}\right)^{k}\right) \sum_{i=0}^{q^{2}-q} b_{i}t^{i}.$  (4.6)

Let

denote the right-hand side of (4.6) and let

$$d_n := a_n - \frac{kn - k + 2}{2^n}$$

 $\sum_{i=1}^{q^2+q-1} c_i t^i$ 

for each integer *n* with  $1 \le n \le q^2 - 1$ . Then (4.6) can be reduced to

$$(t^{q} - t^{q-1} - 1) \sum_{n=1}^{q^{2}-1} d_{n}t^{n} = \sum_{i=1}^{q^{2}+q-1} c_{i}t^{i}.$$
(4.7)

Then by comparing the coefficient of  $t^i$  with  $1 \le i \le q^2 + q - 1$  of the both sides in (4.7), we derive the following relations:

$$\begin{cases} c_j = -d_j, & \text{if } 1 \le j \le q - 1, \\ c_q = -d_1 - d_q, \\ c_{q+j} = d_j - d_{j+1} - d_{q+j}, & \text{if } 1 \le j \le q^2 - q - 1, \\ c_{q^2+j} = d_{q^2-q+j} - d_{q^2-q+j+1}, & \text{if } 0 \le j \le q - 2, \\ c_{q^2+q-1} = d_{q^2-1}, \end{cases}$$

from which we can deduce that

$$\begin{cases} d_{j} = -c_{j}, & \text{if } 1 \leq j \leq q - 1, \\ d_{q} = c_{1} - c_{q}, \\ d_{\ell q+j} = d_{(\ell-1)q+j} - d_{(\ell-1)q+j+1} - c_{\ell q+j}, & \text{if } 1 \leq \ell \leq q - 2 \text{ and } 1 \leq j \leq q - 1, \\ d_{\ell q} = d_{(\ell-1)q} - d_{(\ell-1)q+1} - c_{\ell q}, & \text{if } 2 \leq \ell \leq q - 2, \\ d_{q^{2}-q+j} = \sum_{i=j}^{q-1} c_{q^{2}+i}, & \text{if } 0 \leq j \leq q - 1. \end{cases}$$

$$(4.8)$$

Finally, (4.8) together with the following identity

$$\sum_{a \in \mathbb{F}_q} D_{n,k}(1,a) = d_n + \frac{kn - k + 2}{2^n}$$

shows that the last main result of this paper is true:

AIMS Mathematics

**Theorem 4.3.** Let  $c_i$  be the coefficient of  $t^i$  in the right-hand side of (4.6) with *i* being an integer such that  $1 \le i \le q^2 + q - 1$ . Then we have

$$\begin{split} &\sum_{a \in \mathbb{F}_q} D_{j,k}(1,a) = -c_j + \frac{kj - k + 2}{2^j} \quad if \ 1 \le j \le q - 1, \\ &\sum_{a \in \mathbb{F}_q} D_{q,k}(1,a) = c_1 - c_q - \frac{k - 2}{2}, \\ &\sum_{a \in \mathbb{F}_q} D_{\ell q + j,k}(1,a) = \sum_{a \in \mathbb{F}_q} D_{(\ell - 1)q + j,k}(1,a) - \sum_{a \in \mathbb{F}_q} D_{(\ell - 1)q + j + 1,k}(1,a) - c_{\ell q + j} + \frac{k}{2^{\ell + j}} \\ & if \ 1 \le \ell \le q - 2 \text{ and } 1 \le j \le q - 1, \\ &\sum_{a \in \mathbb{F}_q} D_{\ell q,k}(1,a) = \sum_{a \in \mathbb{F}_q} D_{(\ell - 1)q,k}(1,a) - \sum_{a \in \mathbb{F}_q} D_{(\ell - 1)q + 1,k}(1,a) - c_{\ell q} + \frac{k}{2^{\ell}} \quad if \ 2 \le \ell \le q - 2 \end{split}$$

and

$$\sum_{a \in \mathbb{F}_q} D_{q^2 - q + j, k}(1, a) = \sum_{i=j}^{q-1} c_{q^2 + i} + \frac{kj - k + 2}{2^j} \quad if \ 0 \le j \le q - 1.$$

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### **Conflict of Interest**

The author declares no conflicts of interest in this paper.

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