



Research article

# $\alpha$ -Depth and $\alpha$ -Cohen-Macaulay modules

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**Abstract:** Let  $S$  be a commutative Noetherian ring and  $\alpha$  a proper ideal of  $S$ . We give several bounds of  $\alpha$ -depth of  $S$ -complexes and  $S$ -modules, investigate the behavior of  $\alpha$ -depth and  $\alpha$ -Cohen-Macaulay modules under tensor product with a faithfully flat  $S$ -module. Furthermore, we establish the Foxby equivalence of  $\alpha$ -Cohen-Macaulay  $S$ -modules.

**Keywords:**  $\alpha$ -depth;  $\alpha$ -Cohen-Macaulay  $S$ -module; Foxby equivalence

## 1. Introduction

The theory of Cohen-Macaulay (CM) rings and modules forms a cornerstone of modern commutative algebra, with profound implications in fields such as algebraic geometry, algebraic combinatorics, and algebraic representation theory. A fundamental theorem established by Bruns and Herzog [1] asserts the stability of Cohen-Macaulayness under flat local extensions. More precisely, for a homomorphism of local rings  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$ , a finitely generated  $S$ -module  $M$ , and a finitely generated  $T$ -module  $N$  that is flat as an  $S$ -module,  $M \otimes_S N$  is a CM-module over  $S$  precisely when  $M$  is a CM-module over  $S$ , and the quotient  $N/\mathfrak{m}N$  is a CM-module over  $T$ .

Given a semidualizing  $S$ -module  $D$ , Enochs and Yassemi [2] proved the following category equivalence, known as Foxby equivalence:

$$\mathcal{A}_D(S) \begin{matrix} \xrightarrow{D \otimes_S -} \\ \xleftarrow{\text{Hom}_S(D, -)} \end{matrix} \mathcal{B}_D(S),$$

where  $\mathcal{A}_D(S)$  (resp.  $\mathcal{B}_D(S)$ ) means the Auslander (resp. Bass) class with respect to  $D$ . This equivalence has subsequently been refined and extended to various subcategories of  $\mathcal{A}_D(S)$  and  $\mathcal{B}_D(S)$ ; see, for instance [3–6]. Notably, Beigi et al. [3] examined the behaviour of CM-modules under this equivalence and established the following category equivalence:

$$\text{CM}(S) \cap \mathcal{A}_D(S) \begin{matrix} \xrightarrow{D \otimes_S -} \\ \xleftarrow{\text{Hom}_S(D, -)} \end{matrix} \text{CM}(S) \cap \mathcal{B}_D(S),$$

where  $\mathcal{CM}(S)$  stands for the classes of CM-modules over  $S$ .

Generalizations of CM-modules go in different directions. On one hand, over commutative Noetherian local rings, there are (surjective) Buchsbaum modules, (sequentially) generalized CM-modules, sequentially CM-modules, filtered CM-modules, and so forth (see, [7–9] for details). On the other hand, Mahmood and Azam in [10] gave a generalization over commutative Noetherian (not necessarily local) rings: Let  $S$  be a commutative Noetherian ring and  $\mathfrak{a}$  a proper ideal of  $S$ . As usual, A finitely generated  $S$ -module  $M$  is said to be  $\mathfrak{a}$ -Cohen-Macaulay ( $\mathfrak{a}$ -CM-module) if  $\text{depth}_S(\mathfrak{a}, M) + \dim_S(M/\mathfrak{a}M) = \dim_S M$ . Note that this module exhibits many properties analogous to classical CM-modules. The aim of the paper is to further justify this viewpoint about these two kinds of modules.

Our main objectives are as follows:

In Section 1, we present a derived depth formula for the ideal  $\mathfrak{a}$  under certain conditions and investigate bounds for the  $\mathfrak{a}$ -depth of complexes and modules.

In Section 2, we consider the behaviour of  $\mathfrak{a}$ -depth and  $\mathfrak{a}$ -CM-modules under local ring homomorphisms.

In Section 3, we establish the Foxby equivalence of  $\mathfrak{a}$ -CM-modules.

Throughout this work, unless otherwise stated,  $S$  and  $T$  denote two commutative Noetherian rings which are not necessarily local, and  $\mathfrak{a}$  denotes a proper ideal of  $S$ . We use  $S\text{-Mod}$  (resp.  $S\text{-mod}$ ) to denote the category of all  $S$ -modules (resp. the category of all finitely generated  $S$ -modules) and  $\mathbf{D}(S)$  to denote the derived category of  $S$ -modules. The full subcategories  $\mathbf{D}_-(S)$  and  $\mathbf{D}_+(S)$  consist of  $S$ -complexes  $\mathbf{M}$  such that  $H_l(\mathbf{M}) = 0$  for  $l \gg 0$  and  $l \ll 0$ , respectively. By  $\mathbf{D}^f(S)$ , we denote the full subcategory of  $\mathbf{D}_-(S)$  consisting of  $S$ -complexes  $\mathbf{M}$  with  $H_l(\mathbf{X}) \in S\text{-mod}$  for all  $l \in \mathbb{Z}$ . We begin by recalling some preliminary definitions that will be used in the following sections.

**Associated primes, supports, dimensions, depths.** As usual, we denote by  $\text{Spec}(S)$  the set of all prime ideals of  $S$ , and by  $\mathfrak{B}(\mathfrak{a}) = \{\mathfrak{p} \in \text{Spec}(S) | \mathfrak{a} \subseteq \mathfrak{p}\}$ . For  $\mathfrak{q} \in \text{Spec}(S)$ , set  $\mathfrak{U}(\mathfrak{q}) = \{\mathfrak{p} \in \text{Spec}(S) | \mathfrak{p} \subseteq \mathfrak{q}\}$ .

Let  $X \in S\text{-mod}$ . The *associated prime*  $\text{Ass}_S X$  of  $X$  is defined by

$$\text{Ass}_S X := \{\mathfrak{p} \in \text{Spec}(S) | \exists \text{ a cyclic submodule } Z \text{ of } X, \text{ s.t. } \text{Ann}_S Z = \mathfrak{p}\}.$$

The *support*  $\text{Supp}_S X$  of  $X$  is defined by

$$\text{Supp}_S X := \{\mathfrak{p} \in \text{Spec}(S) | X_{\mathfrak{p}} \neq 0\},$$

where  $X_{\mathfrak{p}}$  means the localization of  $X$  at  $\mathfrak{p}$ .

The *Krull dimension*  $\dim_S X$  of  $X$  is defined by

$$\dim_S X := \sup\{\dim S/\mathfrak{p} | \mathfrak{p} \in \text{Supp}_S X\}.$$

The  $\mathfrak{a}$ -*depth*  $\text{depth}_S(\mathfrak{a}, X)$  of  $X$  is defined by

$$\text{depth}_S(\mathfrak{a}, X) := \inf\{l \in \mathbb{Z} | \text{Ext}_S^l(S/\mathfrak{a}, X) \neq 0\}.$$

When  $(S, \mathfrak{m})$  is a local ring,  $\text{depth}_S(\mathfrak{m}, X)$  is denoted simply by  $\text{depth}_S X$ , called the *depth* of  $X$ .

## 2. $\alpha$ -depth of complexes

In this section, we show the derived depth formula with respect to  $\alpha$  whenever  $S/\alpha$  is semisimple and give several bounds of the  $\alpha$ -depth of complexes and modules. We also investigate the behavior of  $\alpha$ -depth of modules upon tensoring with a faithfully flat  $S$ -module. In what follows, we denote by  $\text{fd}_S M$  (resp.  $\text{fd}_S \mathbf{M}$ ) the flat dimension of an  $S$ -module  $M$  (resp.  $S$ -complex  $\mathbf{M}$ ).

Given an  $S$ -complex  $\mathbf{M}$  over a local ring  $(S, \mathfrak{m})$ , its (classical) *depth* (see [11]) is defined as

$$\text{depth}_S \mathbf{M} = -\sup \text{RHom}_S(S/\mathfrak{m}, \mathbf{M}).$$

**Definition 2.1.** ([12]) The  $\alpha$ -depth  $\text{depth}_S(\alpha, \mathbf{M})$  of an  $S$ -complex  $\mathbf{M}$  is defined by

$$\text{depth}_S(\alpha, \mathbf{M}) = -\sup \text{RHom}_S(S/\alpha, \mathbf{M}).$$

When  $(S, \mathfrak{m})$  is a local ring,  $\text{depth}_S(\mathfrak{m}, \mathbf{M})$  is exactly  $\text{depth}_S \mathbf{M}$ .

Christensen and Foxby [13, Theorem 5.2.2] provide the following classical derived depth formula over local ring  $(S, \mathfrak{m})$ : If  $\mathbf{M}, \mathbf{N} \in \mathbf{D}_{\mathbb{C}}(S)$ , and  $\text{fd}_S \mathbf{N} < \infty$ , then

$$\text{depth}_S(\mathbf{M} \otimes_S^{\mathbb{L}} \mathbf{N}) = \text{depth}_S \mathbf{M} + \text{depth}_S \mathbf{N} - \text{depth}_S S.$$

Take  $\alpha = \mathfrak{m}$  for a local ring  $(S, \mathfrak{m})$ . The following result was proved in [14, Theorem 16.3.1(a)].

**Proposition 2.2.** *Let  $S/\alpha$  be a semisimple ring. If  $\mathbf{M}, \mathbf{N} \in \mathbf{D}_{\mathbb{C}}(S)$ , and  $\text{fd}_S \mathbf{N} < \infty$ , then*

$$\begin{aligned} \text{depth}_S(\alpha, \mathbf{M} \otimes_S^{\mathbb{L}} \mathbf{N}) &= \text{depth}_S(\alpha, \mathbf{M}) - \sup(S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N}) \\ &= \text{depth}_S(\alpha, \mathbf{M}) + \text{depth}_S(\alpha, \mathbf{N}) - \text{depth}_S(\alpha, S). \end{aligned}$$

*Proof.* For  $\mathbf{M}$  and  $\mathbf{N}$ , we have

$$\begin{aligned} \text{depth}_S(\alpha, \mathbf{M} \otimes_S^{\mathbb{L}} \mathbf{N}) &= -\sup \text{RHom}_S(S/\alpha, \mathbf{M} \otimes_S^{\mathbb{L}} \mathbf{N}) \\ &\stackrel{(1)}{=} -\sup(\text{RHom}_S(S/\alpha, \mathbf{M}) \otimes_S^{\mathbb{L}} \mathbf{N}) \\ &= -\sup(\text{RHom}_S(S/\alpha, \mathbf{M}) \otimes_{S/\alpha}^{\mathbb{L}} S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N}) \\ &\stackrel{(2)}{=} -\sup(\text{RHom}_S(S/\alpha, \mathbf{M}) \otimes_{S/\alpha}^{\mathbb{L}} (S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N})) \\ &\stackrel{(3)}{=} -\sup(\text{H}(\text{RHom}_S(S/\alpha, \mathbf{M})) \otimes_{S/\alpha}^{\mathbb{L}} \text{H}(S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N})) \\ &= -(\sup \text{H}(\text{RHom}_S(S/\alpha, \mathbf{M})) + \sup \text{H}(S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N})) \\ &\stackrel{(4)}{=} -(\sup \text{H}(\text{RHom}_S(S/\alpha, \mathbf{M})) + \sup(S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N})) \\ &= \text{depth}_S(\alpha, \mathbf{M}) - \sup(S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N}), \end{aligned}$$

where (1) is by [13, Theorem 4.3.5], (2) is by associativity, and (3) and (4) are by [13, Proposition 2.1.19] because  $S/\alpha$  is semisimple.

Futhermore, taking  $M = S$  to the above equality, one gets

$$\text{depth}_S(\alpha, \mathbf{N}) = \text{depth}_S(\alpha, S) - \sup(S/\alpha \otimes_S^{\mathbb{L}} \mathbf{N}).$$

Now we are done. □

**Remark 2.3.** Let  $\mathbf{M}, \mathbf{N} \in \mathbf{D}_{\square}(S)$ , and  $\text{fd}_S \mathbf{N} < \infty$ .

(1) If  $S$  is a semilocal ring or  $S$  is a semiprimary ring, or  $S$  is a semiperfect ring with Jacobson radical  $J$ , then Proposition 2.2 yields the following equality:

$$\text{depth}_S(J, \mathbf{M} \otimes_S^{\mathbf{L}} \mathbf{N}) = \text{depth}_S(J, \mathbf{M}) + \text{depth}_S(J, \mathbf{N}) - \text{depth}_S(J, S).$$

(2) If  $S$  is an Artinian ring, and  $\mathfrak{a}$  is a prime ideal of  $S$ , then Proposition 2.2 yields the following equality:

$$\text{depth}_S(\mathfrak{a}, \mathbf{M} \otimes_S^{\mathbf{L}} \mathbf{N}) = \text{depth}_S(\mathfrak{a}, \mathbf{M}) + \text{depth}_S(\mathfrak{a}, \mathbf{N}) - \text{depth}_S(\mathfrak{a}, S).$$

Let  $X, Y \in S\text{-Mod}$ . We say that  $X$  and  $Y$  are *Tor-independent* if  $\text{Tor}_S^i(X, Y) = 0$  for any  $i > 0$ . This happens if and only if  $X \otimes_S^{\mathbf{L}} Y \rightarrow X \otimes_S Y$  is a quasi-isomorphism.

**Corollary 2.4.** Let  $S/\mathfrak{a}$  be a semisimple ring and  $X, Y \in S\text{-Mod}$ . If  $X$  and  $Y$  are Tor-independent with  $\text{fd}_S Y < \infty$ , then

$$\text{depth}_S(\mathfrak{a}, X \otimes_S Y) = \text{depth}_S(\mathfrak{a}, X) + \text{depth}_S(\mathfrak{a}, Y) - \text{depth}_S(\mathfrak{a}, S).$$

Let  $\mathbf{M}$  be an  $S$ -complex. Following [13], the *Support* of  $\mathbf{M}$  is

$$\text{Supp}_S \mathbf{M} = \{\mathfrak{p} \in \text{Spec}(S) \mid \mathbf{M}_{\mathfrak{p}} \neq 0\},$$

where  $\mathbf{M}_{\mathfrak{p}}$  means the localization of  $\mathbf{M}$  at  $\mathfrak{p}$ .

The (*Krull*) *dimension* of  $\mathbf{M}$  is

$$\dim_S \mathbf{M} = \sup\{\dim S/\mathfrak{p} - \inf \mathbf{M}_{\mathfrak{p}} \mid \mathfrak{p} \in \text{Supp}_S \mathbf{M}\}.$$

The next two results give bounds of  $\mathfrak{a}$ -depth of complexes.

**Proposition 2.5.** If  $0 \neq \mathbf{M} \in \mathbf{D}^f(S)$ , then

$$\text{depth}_S(\mathfrak{a}, \mathbf{M}) \leq \text{depth}_{S_{\mathfrak{q}}} \mathbf{M}_{\mathfrak{q}} + \dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p}$$

for any  $\mathfrak{p} \in \text{Supp}_S \mathbf{M} \cap \mathfrak{B}(\mathfrak{a})$  and  $\mathfrak{q} \in \mathfrak{U}(\mathfrak{p})$ .

*Proof.* By [14, Lemma 17.6.1],  $\text{depth}_S(\mathfrak{a}, \mathbf{M}) \leq \text{depth}_{S_{\mathfrak{p}}}(\mathfrak{a}_{\mathfrak{p}}, \mathbf{M}_{\mathfrak{p}})$ , it follows that

$$\text{depth}_S(\mathfrak{a}, \mathbf{M}) \leq \text{depth}_{S_{\mathfrak{p}}}(\mathfrak{a}_{\mathfrak{p}}, \mathbf{M}_{\mathfrak{p}}) \leq \text{depth}_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}}.$$

For  $\mathfrak{q} \in \mathfrak{U}(\mathfrak{p})$ , one has

$$\begin{aligned} \text{depth}_S(\mathfrak{a}, \mathbf{M}) &\leq \text{depth}_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}} \\ &\stackrel{(1)}{\leq} \text{depth}_{S_{\mathfrak{q}}}(\mathbf{M}_{\mathfrak{p}})_{\mathfrak{q}} + \dim(S_{\mathfrak{p}}/\mathfrak{q}S_{\mathfrak{p}}) \\ &\stackrel{(2)}{=} \text{depth}_{S_{\mathfrak{q}}} \mathbf{M}_{\mathfrak{q}} + \dim(S_{\mathfrak{p}}/\mathfrak{q}S_{\mathfrak{p}}) \\ &\stackrel{(3)}{\leq} \text{depth}_{S_{\mathfrak{q}}} \mathbf{M}_{\mathfrak{q}} + \dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p}, \end{aligned}$$

where (1) follows [14, E 16.4.1], (2) follows the isomorphism  $(\mathbf{M}_{\mathfrak{p}})_{\mathfrak{q}} \cong \mathbf{M}_{\mathfrak{q}}$ , and (3) follows [14, Proposition 14.2.8]. This shows the desired inequality.  $\square$

**Proposition 2.6.** If  $0 \neq \mathbf{M} \in \mathbf{D}_{\square}^f(S)$ , then

$$\text{depth}_S(\alpha, \mathbf{M}) \leq \dim_S \mathbf{M} - \dim_S S/\mathfrak{p}$$

for all  $\mathfrak{p} \in \text{Supp}_S \mathbf{M} \cap \mathfrak{B}(\alpha)$ . Moreover, if  $(S, \mathfrak{m})$  is a local ring, then  $\text{depth}_S \mathbf{M} \leq \dim_S \mathbf{M}$ .

*Proof.* By [15, Proposition 5.2], one has  $\text{depth}_S(\alpha, \mathbf{M}) \leq \text{depth}_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}}$ ; by [13, Lemma 6.3.6], one gets  $\text{depth}_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}} \leq \dim_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}}$ ; by [13, Lemma 6.3.4], one obtains

$$\dim_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}} \leq \dim_S \mathbf{M} - \dim_S S/\mathfrak{p}.$$

Consequently,

$$\text{depth}_S(\alpha, \mathbf{M}) \leq \text{depth}_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}} \leq \dim_{S_{\mathfrak{p}}} \mathbf{M}_{\mathfrak{p}} \leq \dim_S \mathbf{M} - \dim_S S/\mathfrak{p}.$$

□

Giving  $0 \neq M \in S\text{-mod}$ , it is well known that  $\dim_S S/\mathfrak{q} \leq \dim_S M$ .

**Proposition 2.7.** For  $0 \neq M \in S\text{-mod}$ , one has

$$\text{depth}_S(\alpha, M) \leq \dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p}$$

for every  $\mathfrak{p} \in \text{Supp}_S M \cap \mathfrak{B}(\alpha)$  and  $\mathfrak{q} \in \text{Ass}_S M \cap \mathfrak{U}(\mathfrak{p})$ . Moreover, if  $(S, \mathfrak{m})$  is a local ring, then  $\text{depth}_S M \leq \dim_S S/\mathfrak{q}$  for all  $\mathfrak{q} \in \text{Ass}_S M$ .

*Proof.* One has

$$\begin{aligned} \text{depth}_S(\alpha, M) &\stackrel{(1)}{\leq} \text{depth}_{S_{\mathfrak{p}}} M_{\mathfrak{p}} \\ &\stackrel{(2)}{\leq} \dim_{S_{\mathfrak{p}}} (S_{\mathfrak{p}}/\mathfrak{q}S_{\mathfrak{p}}) \text{ for all } \mathfrak{q} \in \text{Ass}_{S_{\mathfrak{p}}} M_{\mathfrak{p}} \\ &\stackrel{(3)}{\leq} \dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p} \text{ for all } \mathfrak{q} \in \text{Ass}_S M \cap \mathfrak{U}(\mathfrak{p}), \end{aligned}$$

where (1) follows by [15, Proposition 5.2], (2) holds by [1, Proposition 1.2.13], and (3) follows by [13, Lemma 6.3.4]. □

Let  $M \in S\text{-mod}$ . It follows from [12, Theorem 8.4] that  $\text{depth}(\alpha, M)$  is the maximal length of an  $M$ -regular sequence in  $\alpha$ .

**Lemma 2.8.** Let  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$  be a homomorphism of local rings  $M \in S\text{-mod}$ ,  $N \in T\text{-mod}$ , with  $N$  being faithfully flat as an  $S$ -module. Then, the following hold:

(1)  $\text{Hom}_T(T/\alpha T, M \otimes_S N) \neq 0$  if and only if  $\text{Hom}_S(S/\alpha, M) \neq 0$ .

(2) If  $\mathbf{y}$  is a  $N/\mathfrak{m}N$ -regular sequence in  $T$ , then  $\mathbf{y}$  is an  $(M \otimes_S N)$ -regular sequence, and  $N/\mathbf{y}N$  is a faithfully flat  $S$ -module.

*Proof.* (1) It follows from the isomorphism  $\text{Hom}_T(T/\alpha T, M \otimes_S N) \cong \text{Hom}_S(S/\alpha, M) \otimes_S N$  and faithful flatness of  $N$ .

(2) By [1, Lemma 1.2.17], the first statement holds, and  $N/\mathbf{y}N$  is flat over  $S$ . Next, we show that  $N/\mathbf{y}N$  is faithful. Take an  $S$ -module  $L$ . If  $L \in S\text{-mod}$ , then  $N \otimes_S L \in T\text{-mod}$ . Thus, if  $(N \otimes_S L)/\mathbf{y}(N \otimes_S L) \cong N/\mathbf{y}N \otimes_S L = 0$ , then Nakayama's lemma implies that  $N \otimes_S L = 0$ . But  $N$  is faithful, so  $L = 0$ . If  $L \in S\text{-Mod}$  and  $N/\mathbf{y}N \otimes_S L = 0$ . Then,  $L = \bigcup_{i \in I} L_i$ , where each  $L_i$  is a finitely generated submodule of  $L$ . Hence,  $N/\mathbf{y}N \otimes_S L = \bigcup_{i \in I} (N/\mathbf{y}N \otimes_S L_i) = 0$ , which implies that  $N/\mathbf{y}N \otimes_S L_i = 0$  for all  $i \in I$ . Consequently,  $L = 0$ . This shows that  $N/\mathbf{y}N$  is a faithfully flat  $S$ -module. □

**Theorem 2.9.** Let  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$  be a homomorphism of local rings,  $M \in S\text{-mod}$ ,  $N \in T\text{-mod}$ , with  $N$  being faithfully flat as an  $S$ -module. Then,

$$\text{depth}_T(\alpha T, M \otimes_S N) = \text{depth}_S(\alpha, M) + \text{depth}_T(\alpha T, N/\mathfrak{m}N).$$

*Proof.* As [15, Proposition 5.2], one has  $\text{depth}_T(\alpha T, M \otimes_S N) = \text{depth}_S(\alpha, M \otimes_S N)$  and  $\text{depth}_T(\alpha T, N/\mathfrak{m}N) = \text{depth}_S(\alpha, N/\mathfrak{m}N)$ . Set  $\text{depth}_S(\alpha, M) = k$  and  $\text{depth}_S(\alpha, N/\mathfrak{m}N) = l$ . It suffices to show that  $\text{depth}_S(\alpha, M \otimes_S N) = k + l$ . Let  $\mathbf{w} = w_1, \dots, w_k \in \alpha$  be a maximal  $M$ -regular sequence and  $\mathbf{z} = z_1, \dots, z_l \in \alpha$  a maximal  $N/\alpha N$ -regular sequence. Due to Lemma 2.8(2),  $\mathbf{z}$  is a  $(M/\mathbf{w}M \otimes_S N)$ -regular sequence. Set  $\overline{M} = M/\mathbf{w}M$ . Because  $\overline{M} \otimes_S N \cong (M \otimes_S N)/\mathbf{w}(M \otimes_S N)$ ,  $\mathbf{w}, \mathbf{z} \in \alpha$  is an  $(M \otimes_S N)$ -regular sequence. So,  $\text{depth}_S(\alpha, M \otimes_S N) \geq k + l$ . Put  $\overline{N} = N/\mathbf{z}N$ . One has

$$\overline{N}/\mathfrak{m}\overline{N} \cong (N/\mathfrak{m}N)/\mathbf{z}(N/\mathfrak{m}N),$$

$$\overline{M} \otimes_S \overline{N} \cong (M \otimes_S N)/(\mathbf{w}, \mathbf{z})(M \otimes_S N).$$

It follows that

$$\text{Ext}_S^{k+l}(S/\alpha, M \otimes_S N) \cong \text{Hom}_S(S/\alpha, \overline{M} \otimes_S \overline{N}) \cong \text{Hom}_S(S/\alpha, \overline{M}) \otimes_S \overline{N}.$$

By [1, Proposition 1.2.3] and Lemma 2.8(2),  $\text{Ext}_S^{k+l}(S/\alpha, M \otimes_S N) \neq 0$ . Thus,  $\text{depth}_S(\alpha, M \otimes_S N) \leq k + l$ , as desired.  $\square$

By Theorem 2.9, we have the following standard formula of  $\alpha$ -depth of modules:

**Corollary 2.10.** ([1, Proposition 1.2.16 (a)]) Let  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$  be a homomorphism of local rings  $M \in S\text{-mod}$ ,  $N \in T\text{-mod}$ , with  $N$  being faithfully flat as an  $S$ -module. Then,

$$\text{depth}_T(M \otimes_S N) = \text{depth}_S M + \text{depth}_T(N/\mathfrak{m}N).$$

### 3. $\alpha$ -CM-modules

In this section, the behavior of  $\alpha$ -CM-modules over homomorphism of local rings is discovered.

**Definition 3.1.** ([10]) Let  $M \in S\text{-mod}$ . We say that  $M$  is an  $\alpha$ -Cohen-Macaulay module ( $\alpha$ -CM-module) provided that

$$\text{depth}_S(\alpha, M) + \dim_S(M/\alpha M) = \dim_S M.$$

The ring  $S$  is said to be  $\alpha$ -CM if it is an  $\alpha$ -CM-module.

**Remark 3.2.** (1) For any  $M \in S\text{-mod}$ , one has

$$\begin{aligned} \dim_S M &= \sup\{\dim_S S/\mathfrak{p} \mid \mathfrak{p} \in \text{Supp}_S M\} \\ &= \sup\{\dim_S S/\mathfrak{p} \mid \mathfrak{p} \in \text{Supp}_S(M/\alpha M) \cup \text{Supp}_S(\alpha M)\} \\ &= \max\{\dim_S(M/\alpha M), \dim_S(\alpha M)\}. \end{aligned}$$

(i)  $\dim_S(M/\alpha M) \geq \dim_S(\alpha M)$  whenever  $M$  is  $\alpha$ -CM and  $\text{depth}_S(\alpha, M) = 0$ .

(ii)  $\dim_S(M/\alpha M) < \dim_S(\alpha M)$  whenever  $M$  is  $\alpha$ -CM and  $\text{depth}_S(\alpha, M) > 0$ .

(2) Suppose that  $(S, \mathfrak{m})$  is a local ring, and  $M \in S\text{-mod}$ .

(iii) If  $\alpha$  is generated by an  $M$ -regular sequence, then  $M$  is  $\alpha$ -CM by [1, Theorem 2.1.2(c)].

(iv)  $\mathfrak{m}$ -CM-module is exactly the CM-module (see [1, Chapter 2]). In particular, if  $M$  is a CM-module, then  $M$  is an  $\alpha$ -CM-module by [1, Theorem 2.1.2(b)].

**Definition 3.3.** ([16]) Let  $\mathfrak{p} \in \text{Spec}(S)$ . We say that  $\mathfrak{a}$  is *primary ideal* if for  $ab \in S$  with  $a \notin S$ , there exists  $n \geq 1$  such that  $b^n \in \mathfrak{a}$ . In particular, if  $\mathfrak{a}$  is a primary ideal with  $\mathfrak{p} = \sqrt{\mathfrak{a}}$ , then  $\mathfrak{a}$  is called  $\mathfrak{p}$ -primary.

The following result provides a link between  $\alpha$ -CM-modules and  $\mathfrak{p}$ -CM-modules by primary ideals.

**Proposition 3.4.** *Let  $M \in S\text{-mod}$  and  $\mathfrak{a}$  a  $\mathfrak{p}$ -primary ideal of  $S$  with  $\mathfrak{p} \in \text{Supp}_S M$ . Then,  $M$  is  $\alpha$ -CM if and only if it is  $\mathfrak{p}$ -CM.*

*Proof.* By [17, Proposition 4.1],  $\mathfrak{p}$  is the smallest prime ideal containing  $\mathfrak{a}$ . So,

$$\mathfrak{B}(\mathfrak{a}) = \mathfrak{B}(\mathfrak{p}), \quad \text{and} \quad \text{Supp}_S(M/\mathfrak{a}M) = \text{Supp}_S(M/\mathfrak{p}M).$$

Thus,  $\dim_S(M/\mathfrak{a}M) = \dim_S(M/\mathfrak{p}M)$ , and  $\text{depth}_S(\mathfrak{a}, M) = \text{depth}_S(\mathfrak{p}, M)$  by [1, Proposition 1.2.10(b)]. The result then follows.  $\square$

The next result is inspired by an analogous statement for CM-modules (see [1, Theorem 2.1.2]), which is a more general version of ([10, Proposition 2.9, and Theorem 2.11]).

**Proposition 3.5.** *Let  $\mathfrak{a}$  be a  $\mathfrak{p}$ -primary ideal of  $S$  and  $M$  be an  $\alpha$ -CM-module with  $\mathfrak{p} \in \text{Supp}_S M$ . Then,*

- (1)  $\text{depth}_S(\mathfrak{a}, M) = \dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p}$  for all  $\mathfrak{q} \in \text{Ass}_S M \cap \mathfrak{U}(\mathfrak{p})$ .
- (2)  $\dim_S M = \dim_S S/\mathfrak{q}$  for all  $\mathfrak{q} \in \text{Ass}_S M \cap \mathfrak{U}(\mathfrak{p})$ .
- (3)  $\text{depth}_S(\mathfrak{b}, M) = \dim_S M - \dim_S(M/\mathfrak{b}M)$  for all ideals  $\mathfrak{b} \subseteq \mathfrak{a}$ .

*Proof.* (1) By Proposition 2.7,  $\text{depth}_S(\mathfrak{a}, M) \leq \dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p}$  for all  $\mathfrak{q} \in \text{Ass}_S M \cap \mathfrak{U}(\mathfrak{p})$ . Note that  $\mathfrak{p} \in \text{Supp}_S M \cap \mathfrak{B}(\mathfrak{a}) = \text{Supp}_S(M/\mathfrak{a}M) = \text{Supp}_S(M/\mathfrak{p}M)$ . Therefore,  $\dim_S(M/\mathfrak{a}M) = \dim_S S/\mathfrak{p}$ . By  $\text{Ass}_S M \subseteq \text{Supp}_S M$ ,  $\dim_S S/\mathfrak{q} - \dim_S S/\mathfrak{p} \leq \dim_S S/\mathfrak{q} - \dim_S(M/\mathfrak{a}M) \leq \dim_S M - \dim_S(M/\mathfrak{a}M) = \text{depth}_S(\mathfrak{a}, M)$ . This shows the desired equality.

(2) By (1),  $\dim_S(M/\mathfrak{a}M) = \dim_S S/\mathfrak{p}$ . Because  $M$  is an  $\alpha$ -CM-module,  $\dim_S M = \dim_S S/\mathfrak{q}$ .

(3) There are the following two cases: the first one, if  $\text{depth}_S(\mathfrak{b}, M) = 0$ , then  $\text{Hom}_S(S/\mathfrak{b}, M) \neq 0$ . Thus,  $\emptyset \neq \text{Ass}_S \text{Hom}_S(S/\mathfrak{b}, M) \subseteq \text{Supp}_S M \cap \mathfrak{B}(\mathfrak{b})$ . Because  $\mathfrak{p} \in \text{Supp}_S M \cap \mathfrak{B}(\mathfrak{b})$ , there is  $\mathfrak{q} \in \text{Ass}_S \text{Hom}_S(S/\mathfrak{b}, M)$  such that  $\mathfrak{q} \subseteq \mathfrak{p}$ . Hence,  $\mathfrak{q} \in \text{Ass}_S M \cap \mathfrak{U}(\mathfrak{p})$  with  $\mathfrak{b} \subseteq \mathfrak{q}$ . It follows from (2) that  $\dim_S(M/\mathfrak{b}M) \leq \dim_S M = \dim_S S/\mathfrak{q} \leq \dim_S(M/\mathfrak{b}M)$ . The second one: if  $\text{depth}_S(\mathfrak{b}, M) > 0$ , we choose  $x \in \mathfrak{b}$  regular on  $M$ . Then,  $\dim_S(M/xM) = \dim_S M - 1$ , and  $\text{depth}_S(\mathfrak{b}, M/xM) = \text{depth}_S(\mathfrak{b}, M) - 1$ , so the result follows from induction.  $\square$

Let  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$  be a homomorphism of local rings,  $M \in S\text{-mod}$ ,  $N \in T\text{-mod}$ , with  $N$  being flat as an  $S$ -module. A classical result by Bruns and Herzog [1, Theorem 2.1.7] tells us that  $M \otimes_S N$  is a CM-module over  $S$  if and only if  $M$  is a CM-module over  $S$ , and the quotient  $N/\mathfrak{m}N$  is a CM-module over  $T$ . Our main theorem below will investigate the behavior of  $\alpha$ -Cohen-Macaulayness, which improves Mahmood and Azam [10, Theorem 2.7].

**Theorem 3.6.** *Let  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$  be a homomorphism of local rings,  $M \in S\text{-mod}$ ,  $N \in T\text{-mod}$ , with  $N$  being faithfully flat as an  $S$ -module. Then,  $M \otimes_S N$  is  $\alpha_S$ -CM over  $T$  if and only if  $M$  is  $\alpha$ -CM over  $S$ , and  $N/\mathfrak{m}N$  is  $\alpha_S$ -CM over  $T$ .*

*Proof.* Let  $\mathfrak{y} = y_1, \dots, y_k$  be a maximal  $N/\mathfrak{m}N$ -regular sequence in  $\alpha T$ . Because  $(\mathfrak{y}) \subseteq \alpha T$ ,

$$\begin{aligned} T/\alpha T &\cong (T/\alpha T)/\mathbf{y}(T/\alpha T) \\ &\cong (T/\alpha T) \otimes_T (T/(\mathbf{y})). \end{aligned}$$

By Lemma 2.8(2),  $N/\mathbf{y}N$  is flat over  $S$ . Hence, [1, Theorem A.11] implies that

$$\begin{aligned} \dim_T((M \otimes_S N)/\alpha T(M \otimes_S N)) &= \dim_T((T/\alpha T) \otimes_T M \otimes_S N) \\ &= \dim_T(((T/\alpha T) \otimes_T (T/(\mathbf{y})) \otimes_T M \otimes_S N) \\ &= \dim_T(((S/\alpha) \otimes_S M) \otimes_S (S/(\mathbf{y})) \otimes_T N) \\ &= \dim_T((M/\alpha M) \otimes_S (N/\mathbf{y}N)) \\ &= \dim_S(M/\alpha M) + \dim_T(N'/\mathfrak{m}N'), \end{aligned}$$

where  $N' = N/\mathbf{y}N$ . Also,

$$\dim_T(M \otimes_S N) = \dim_S M + \dim_T(N/\mathfrak{m}N),$$

and

$$\dim_T(M/\alpha M \otimes_{S/\alpha} N/\alpha N) = \dim_S M/\alpha M + \dim_T(N/\alpha N)/\mathfrak{m}(N/\alpha N).$$

“If” part. Because  $\mathbf{y}$  is a maximal  $(N/\mathfrak{m}N)$ -regular sequence in  $\alpha T$ , and  $N/\mathfrak{m}N$  is an  $\alpha T$ -CM-module, one has

$$\begin{aligned} \dim_T(N'/\mathfrak{m}N') &= \dim_T(N/\mathfrak{m}N)/\mathbf{y}(N/\mathfrak{m}N) \\ &= \dim_T N/\mathfrak{m}N - k \\ &= \dim_T N/\mathfrak{m}N - \text{depth}_T(\alpha T, N/\mathfrak{m}N) \\ &= \dim_T(N/\mathfrak{m}N)/\alpha T(N/\mathfrak{m}N). \end{aligned}$$

Thus, we have

$$\begin{aligned} &\dim_T(M \otimes_S N) - \text{depth}_T(\alpha T, M \otimes_S N) \\ &\stackrel{(1)}{=} \dim_S M + \dim_T N/\mathfrak{m}N - (\text{depth}_S(\alpha, M) + \text{depth}_T(\alpha T, N/\mathfrak{m}N)) \\ &\stackrel{(2)}{=} (\dim_S M - \text{depth}_S(\alpha, M)) + (\dim_T N/\mathfrak{m}N - \text{depth}_T(\alpha T, N/\mathfrak{m}N)) \\ &\stackrel{(3)}{=} \dim_S M/\alpha M + \dim_T(N/\mathfrak{m}N)/\alpha T(N/\mathfrak{m}N) \\ &\stackrel{(4)}{=} \dim_S M/\alpha M + \dim_T N'/\mathfrak{m}N' \\ &\stackrel{(5)}{=} \dim_T(M \otimes_R N)/\alpha T(M \otimes_R N). \end{aligned}$$

In the sequence, (1) is by the above equalities and Theorem 2.9, (2) is obvious, (3) is by assumption, and (4) and (5) are by the above equalities. Thus,  $M \otimes_S N$  is  $\alpha T$ -CM.

“Only If” part. By assumption, we have

$$\begin{aligned}
& \dim_T(M \otimes_S N) - \dim_T((M \otimes_S N)/\alpha T(M \otimes_S N)) \\
& \stackrel{(6)}{=} \text{depth}_T(\alpha T, M \otimes_S N) \\
& \stackrel{(7)}{=} \text{depth}_S(\alpha, M) + \text{depth}_T(\alpha T, N/\mathfrak{m}N) \\
& \stackrel{(8)}{\leq} \dim_S M - \dim_S M/\alpha M + \text{depth}_T(\alpha T, N/\mathfrak{m}N) \\
& \stackrel{(9)}{\leq} \dim_S M - \dim_S M/\alpha M + (\dim_T N/\mathfrak{m}N - \dim_T(N/\mathfrak{m}N)/\alpha T(N/\mathfrak{m}N)) \\
& \stackrel{(10)}{=} \dim_S M + \dim_T N/\mathfrak{m}N - (\dim_S M/\alpha M + \dim_T(N/\mathfrak{m}N)/\alpha T(N/\mathfrak{m}N)) \\
& \stackrel{(11)}{=} \dim_S M + \dim_T N/\mathfrak{m}N - (\dim_S M/\alpha M + \dim_T(N/\alpha N)/\mathfrak{m}(N/\alpha N)) \\
& \stackrel{(12)}{=} \dim_T(M \otimes_S N) - \dim_T(M/\alpha M \otimes_{S/\alpha} N/\alpha N) \\
& \stackrel{(13)}{=} \dim_T(M \otimes_S N) - \dim_T(M \otimes_S N)/\alpha T(M \otimes_S N) \\
& \stackrel{(14)}{\leq} \dim_S M - \dim_T(M/\alpha M) + \text{depth}_T(\alpha T, N/\mathfrak{m}N).
\end{aligned}$$

Here, (6) follows by assumption, (7) is by Theorem 2.9, (8) and (9) are by Proposition 2.6, (10) is obvious, (11) follows by  $(N/\mathfrak{m}N)/\alpha T(N/\mathfrak{m}N) \cong (N/\alpha N)/\mathfrak{m}(N/\alpha N)$ , (12) is by the above equalities, (13) is by  $M/\alpha M \otimes_{S/\alpha} N/\alpha N \cong (M \otimes_S N)/\alpha T(M \otimes_S N)$ , and (14) is by (6)–(8). It follows that

$$\text{depth}_T(\alpha T, N/\mathfrak{m}N) = \dim_T(N/\mathfrak{m}N) - \dim_T((N/\mathfrak{m}N)/\alpha T(N/\mathfrak{m}N)),$$

and  $\text{depth}_S(\alpha, M) = \dim_S M - \dim_T(M/\alpha M)$ . Thus,  $M$  is  $\alpha$ -CM over  $S$ , and  $N/\mathfrak{m}N$  is  $\alpha S$ -CM over  $T$ .  $\square$

As a consequence of Theorem 3.6, we have the following:

**Corollary 3.7.** *Let  $f : (S, \mathfrak{m}) \rightarrow (T, \mathfrak{n})$  be a faithfully flat homomorphism of local rings. Then,  $T$  is an  $\alpha T$ -CM-ring if and only if  $S$  is an  $\alpha$ -CM-ring, and  $T/\mathfrak{m}T$  is an  $\alpha S$ -CM-ring.*

The  $\alpha$ -Cohen-Macaulayness is stable under specialization.

**Proposition 3.8.** *Let  $(S, \mathfrak{m})$  be a local ring and  $M \in S\text{-mod}$ . Assume that  $\mathfrak{w} = w_1, \dots, w_k$  is an  $M$ -regular sequence in  $\alpha$ . Then,  $M$  is an  $\alpha$ -CM-module over  $S$  if and only if  $M/\mathfrak{w}M$  is an  $\alpha/(\mathfrak{w})$ -CM-module over  $S/(\mathfrak{w})$ .*

*Proof.* Let  $\overline{M} = M/\mathfrak{w}M$ ,  $\overline{S} = S/(\mathfrak{w})$ , and  $\overline{\alpha} = \alpha/(\mathfrak{w})$ . “Only if” part by [10, Theorem 2.10]. “If” part is by the equalities  $\text{depth}_{\overline{S}}(\overline{\alpha}, \overline{M}) = \text{depth}_S(\alpha, M) - k$ ,  $\dim_{\overline{S}} \overline{M} = \dim_S M - k$ , and  $\dim_{\overline{S}}(\overline{M}/\overline{\alpha}\overline{M}) = \dim_S(M/\alpha M)$ .  $\square$

The following proposition provides a height formula of ideal by the  $\mathfrak{p}$ -Cohen-Macaulayness.

**Proposition 3.9.** *Let  $(S, \mathfrak{m})$  be a local ring and  $\mathfrak{p}$  a prime ideal of  $S$ . If  $S$  is a  $\mathfrak{p}$ -CM-ring, then*

$$\text{ht}\mathfrak{p} = \text{ht}\mathfrak{q} + \text{ht}\mathfrak{p}/\mathfrak{q}$$

for any prime ideals  $\mathfrak{q} \subseteq \mathfrak{p}$ .

*Proof.* By [10, Theorem 2.14],  $S_{\mathfrak{p}}$  is a CM-ring. Therefore,  $\text{ht}\mathfrak{p} = \dim S_{\mathfrak{p}} = \text{ht}\mathfrak{q}S_{\mathfrak{p}} + \dim(S_{\mathfrak{p}}/\mathfrak{q}S_{\mathfrak{p}}) = \text{ht}\mathfrak{q} + \text{ht}(\mathfrak{p}/\mathfrak{q})$ , as desired.  $\square$

#### 4. Foxby equivalence

In this section, we establish the Foxby equivalence of  $\alpha$ -CM-modules over  $S$ .

**Definition 4.1.** ([18]) Let  $D \in S\text{-mod}$ .  $D$  is said to be *semidualizing* if the canonical map

$$S \longrightarrow \text{Hom}_S(D, D)$$

is an isomorphism, and

$$\text{Ext}_S^i(D, D) = 0$$

for all  $i \geq 1$ .

In the remainder of this section, let  $D$  be a fixed semidualizing  $S$ -module.

**Definition 4.2.** ([2]) (1) The *Auslander class*  $\mathcal{A}_D(S)$  with respect to  $D$  consists of all  $S$ -modules  $N$  such that the canonical map

$$N \longrightarrow \text{Hom}_S(D, D \otimes_S N)$$

is an isomorphism, and

$$\text{Tor}_i^S(D, N) = 0 = \text{Ext}_S^i(D, D \otimes_S N)$$

for any  $i \geq 1$ .

(2) The *Bass class*  $\mathcal{B}_D(S)$  with respect to  $D$  consists of all  $S$ -modules  $N$  such that the canonical map

$$D \otimes_S \text{Hom}_D(D, N) \longrightarrow N$$

is an isomorphism, and

$$\text{Ext}_S^i(D, N) = 0 = \text{Tor}_i^S(D, \text{Hom}_S(D, N))$$

for any  $i \geq 1$ .

The following lemma gives some characterizations of associated primes and support of modules with respect to semidualizing module, which was already proved in [3, Lemma 3.1] under the condition that  $(S, \mathfrak{m})$  is a local ring.

**Lemma 4.3.** *Let  $M \in S\text{-mod}$ . Then,*

- (1)  $\text{Supp}_S D = \text{Spec}(S)$ .
- (2)  $\text{Supp}_S(D \otimes_S M) = \text{Supp}_S M = \text{Supp}_S \text{Hom}_S(D, M)$ . *Particularly,  $\dim_S(D \otimes_S M) = \dim_S M = \dim_S \text{Hom}_S(D, M)$ .*
- (3)  $C \otimes_S M \neq 0$  *if and only if*  $M \neq 0$  *if and only if*  $\text{Hom}_S(D, M) \neq 0$ .

*Proof.* (1) follows from [19, Lemma 2.5], and (3) holds by (1).

(2) By (1), we have

$$\text{Supp}_S(D \otimes_S M) = \text{Supp}_S D \cap \text{Supp}_S M = \text{Supp}_S M.$$

By (1) and [20, Proposition 10], one obtains that

$$\text{Ass}_S \text{Hom}_S(D, M) = \text{Supp}_S D \cap \text{Ass}_S M = \text{Ass}_S M.$$

Thus,  $\text{Ann}_S M = \text{Ann}_S \text{Hom}_S(D, M)$  and  $\text{Supp}_S M = \text{Supp}_S \text{Hom}_S(D, M)$ . □

The next result provides a dimension formula for  $S$ -modules with respect to  $D$ .

**Lemma 4.4.** *Let  $M \in S\text{-mod}$ . Then,*

$$\dim_S(D \otimes_S M)/\mathfrak{a}(D \otimes_S M) = \dim_S M/\mathfrak{a}M = \dim_S \text{Hom}_S(D, M)/\mathfrak{a}(\text{Hom}_S(D, M)).$$

*Proof.* (1) It follows from Lemma 4.3 that  $\text{Supp}_S(D \otimes_S M) = \text{Supp}_S M = \text{Supp}_S \text{Hom}_S(D, M)$ . Thus,  $\text{Supp}_S(D \otimes_S M) \cap \mathfrak{B}(\mathfrak{a}) = \text{Supp}_S M \cap \mathfrak{B}(\mathfrak{a}) = \text{Supp}_S \text{Hom}_S(D, M) \cap \mathfrak{B}(\mathfrak{a})$ ; that is to say,  $\text{Supp}_S(D \otimes_S M)/\mathfrak{a}(D \otimes_S M) = \text{Supp}_S M/\mathfrak{a}M = \text{Supp}_S \text{Hom}_S(D, M)/\mathfrak{a}(\text{Hom}_S(D, M))$ . Hence,  $\dim_S(D \otimes_S M)/\mathfrak{a}(D \otimes_S M) = \dim_S M/\mathfrak{a}M = \dim_S \text{Hom}_S(D, M)/\mathfrak{a}(\text{Hom}_S(D, M))$ . □

The following lemma was proved in [3, Lemma 3.2] under the condition that  $(S, \mathfrak{m})$  is a local ring.

**Lemma 4.5.** *Let  $M, N \in S\text{-mod}$ . If  $\mathfrak{w} = w_1, \dots, w_k \in \mathfrak{a}$ ,  $M \in \mathcal{A}_D(S)$ ,  $N \in \mathcal{B}_D(S)$ , then*

- (1)  $\text{Ass}_S M = \text{Ass}_S(D \otimes_S M)$ .
- (2)  $\mathfrak{w}$  is an  $M$ -regular sequence if and only if it is a  $D \otimes_S M$ -regular sequence. Particularly,  $\text{depth}_S(\mathfrak{a}, M) = \text{depth}_S(\mathfrak{a}, D \otimes_S M)$ .
- (3)  $\text{Ass}_S N = \text{Ass}_S \text{Hom}_S(D, N)$ .
- (4)  $\mathfrak{w}$  is an  $N$ -regular sequence if and only if it is a  $\text{Hom}_S(D, N)$ -regular sequence. Particularly,  $\text{depth}_S(\mathfrak{a}, N) = \text{depth}_S(\mathfrak{a}, \text{Hom}_S(D, N))$ .

*Proof.* (1) One has

$$\begin{aligned} \text{Ass}_S M &= \text{Ass}_S \text{Hom}_S(D, D \otimes_S M) \\ &= \text{Supp}_S D \cap \text{Ass}_S(D \otimes_S M) \\ &= \text{Ass}_S(D \otimes_S M), \end{aligned}$$

where the first is by  $M \in \mathcal{A}_D(S)$ , the second is by [20, Proposition 10], the third is by Lemma 4.3. Thus,  $\text{Ann}_S M = \text{Ann}_S(D \otimes_S M)$ .

(2) If  $M/\mathfrak{a}M = 0$ , then  $\text{depth}_S(\mathfrak{a}, M) = \infty$ . By Lemma 4.3,  $D \otimes_S M/\mathfrak{a}(D \otimes_S M) = 0$ , and  $\text{depth}_S(\mathfrak{a}, D \otimes_S M) = \infty$ . If  $M/\mathfrak{a}M \neq 0$ , then  $M/\mathfrak{w}M \neq 0$ . By Lemma 4.3,  $D \otimes_S (M/\mathfrak{w}M) \cong (D \otimes_S M)/\mathfrak{w}(D \otimes_S M) \neq 0$ . So, we need enough to prove that  $\mathfrak{w}$  is weak  $M$ -regular sequence if and only if  $\mathfrak{w}$  is a weak  $D \otimes_S M$ -regular sequence. We proceed by induction on  $k$ . If  $k = 1$ , then

$$\begin{aligned} w_1 \text{ is a weak } M\text{-regular element} &\iff w_1 \notin \bigcup_{\mathfrak{p} \in \text{Ass}_S M} \mathfrak{p} \\ &\iff w_1 \notin \bigcup_{\mathfrak{p} \in \text{Ass}_S(D \otimes_S M)} \mathfrak{p} \\ &\iff w_1 \text{ is a weak } D \otimes_S M\text{-regular element,} \end{aligned}$$

where the second is by (1).

Next, we assume that  $k > 1$ , and the result holds for  $k - 1$ . Suppose that  $w_1$  is a weak  $M$ -regular element and it is also a weak  $D \otimes_S M$ -regular element. Set  $\overline{M} := M/w_1M$ . Considering the following exact sequence,

$$0 \longrightarrow M \xrightarrow{w_1} M \longrightarrow \overline{M} \longrightarrow 0,$$

it follows from [18, Proposition 3.1.7 (a)] that  $\overline{M} \in \mathcal{A}_D(S)$ . Using the induction hypothesis,  $w_2, \dots, w_k$  is a weak  $M$ -regular sequence if and only if it is a weak  $D \otimes_S M$ -regular sequence. Note that

$$D \otimes_S \overline{M} \cong (D \otimes_S M) / \mathfrak{w}(D \otimes_S M) \neq 0.$$

Thus,  $w_1, w_2, \dots, w_k \in \mathfrak{a}$  is weak  $M$ -regular sequence iff it is a weak  $D \otimes_S M$ -regular sequence.

From  $N \in \mathcal{B}_D(S)$ , we know that  $N \cong D \otimes_S \text{Hom}_S(D, N)$ , and  $\text{Hom}_S(D, N) \in \mathcal{A}_D(S)$ . So, one can prove (3) and (4) by (1) and (2).  $\square$

Now, we establish Foxby equivalence of  $\alpha$ -CM-modules, which is our main theorem in this section.

**Theorem 4.6.** *Let  $M, N \in S\text{-mod}$ . If  $M \in \mathcal{A}_D(S)$ ,  $N \in \mathcal{B}_D(S)$ , then*

- (1)  $M$  is  $\alpha$ -CM if and only if  $D \otimes_S M$  is  $\alpha$ -CM.
- (2)  $N$  is  $\alpha$ -CM if and only if  $\text{Hom}_S(D, N)$  is  $\alpha$ -CM.

*Proof.* (1) In view of Lemmas 4.3–4.5, one obtains that

$$\begin{aligned} M \text{ is } \alpha\text{-CM} & \\ \iff \text{depth}_S(\mathfrak{a}, M) + \dim_R M / \mathfrak{a}M &= \dim_R M \\ \iff \text{depth}_S(\mathfrak{a}, D \otimes_S M) + \dim_S (D \otimes_S M) / \mathfrak{a}(D \otimes_S M) &= \dim_S (D \otimes_S M) \\ \iff D \otimes_S M \text{ is } \alpha\text{-CM.} & \end{aligned}$$

(2) From Lemmas 4.3–4.5, we see that

$$\begin{aligned} N \text{ is } \alpha\text{-CM} & \\ \iff \text{depth}_S(\mathfrak{a}, N) + \dim_S N / \mathfrak{a}N &= \dim_S N \\ \iff \text{depth}_S(\mathfrak{a}, \text{Hom}_S(D, N)) + \dim_S \text{Hom}_S(D, N) / \mathfrak{a}(\text{Hom}_S(D, N)) &= \dim_S \text{Hom}_S(D, N) \\ \iff \text{Hom}_S(D, N) \text{ is } \alpha\text{-CM.} & \end{aligned}$$

$\square$

**Corollary 4.7.** *There exists an equivalence of categories:*

$$\alpha\text{-CM}(S) \cap \mathcal{A}_D(S) \begin{array}{c} \xrightarrow{D \otimes_S -} \\ \xleftarrow{\text{Hom}_S(D, -)} \end{array} \alpha\text{-CM}(S) \cap \mathcal{B}_D(S),$$

where  $\alpha\text{-CM}(S)$  stands for the class of all  $\alpha$ -CM-modules over  $S$ .

**Remark 4.8.** Let  $(S, \mathfrak{m})$  be a local ring, and take  $\mathfrak{a} = \mathfrak{m}$ . Then, the equivalence in Corollary 4.7 is just the equivalence established in [3, Corollary 4.10].

We end the paper by an example to give an  $\alpha$ -CM ring in which the ideal  $\mathfrak{a}$  is not maximal.

**Example 4.9.** Consider the polynomial ring  $T = \mathcal{K}[x_1, x_2, x_3, y_1, y_2, y_3]$  in which  $\mathcal{K}$  is a field. Let  $\mathfrak{b} = \langle x_1, x_2, x_3 \rangle \cap \langle y_1, y_2, y_3 \rangle$  (resp.  $\mathfrak{a} = \langle x_1, x_2, x_3 \rangle S$ ) be the ideal of  $T$  (resp.  $S$ ), where  $S = T/\mathfrak{b}$ . It follows from [10, Example 2.3(2)] that  $\dim_S S/\mathfrak{a} = 3$ . Then,  $\mathfrak{a}$  is not a maximal ideal of  $S$  by the definition of Krull dimension. However,  $S$  is an  $\alpha$ -CM ring (see [10, Example 2.3(2)]).

## Use of AI tools declaration

The authors declare they have not used Artificial Intelligence (AI) tools in the creation of this article.

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## Conflict of interest

The authors declare there are no conflicts of interest.

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