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*Research article*

## **Optimization and evaluation of resveratrol amorphous solid dispersions with a novel polymeric system**

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**Abstract:** The preparation of amorphous solid dispersions using polymers is a commonly used formulation strategy for enhancing the solubility of poorly water-soluble drugs. However, a single polymer often does not bring significantly enhance the solubility or amorphous stability of a poorly water-soluble drug. We found an application of a unique and novel binary polymeric blend in the preparation of solid dispersions. The main purpose of this study is to optimize and evaluate resveratrol (Res) amorphous solid dispersions with a novel polymeric system of poly (vinyl pyrrolidone) (PVP) and carboxymethyl chitosan (CMCS). The influence of three different release factors, the ratio of CMCS to the polymer mixture (CMCS% =  $X_1$ ), the ratio of Res to the polymer mixture (Res% =  $X_2$ ) and the surfactant (Tween 80 =  $X_3$ ), on the characteristics of released Res at various times ( $Q_5$  and  $Q_{30}$ ) was investigated. The computer optimization and contour plots were used to predict the levels of the independent variables as  $X_1 = 0.17$ ,  $X_2 = 0.10$  and  $X_3 = 2.94$  for maximized responses of  $Q_5$  and  $Q_{30}$ . Fourier transform infrared spectroscopy (FTIR) results revealed that each polymer formed hydrogen bonds with Res. The solid performance and physical stability of the optimized ternary dispersions were

studied with scanning electron microscopy (SEM), powder X-ray diffraction (XRD), modulated differential scanning calorimetry (MDSC) and dissolution testing. SEM, XRD and MDSC analysis demonstrated that the Res was amorphous, and MDSC showed no evidence of phase separation during storage. Dissolution testing indicated a more than fourfold increase in the apparent solubility of the optimized ternary dispersions, which maintained high solubility after 90 days. In our research, we used CMCS as a new carrier in combination with PVP, which not only improved the *in vitro* dissolution of Res but also had better stability.

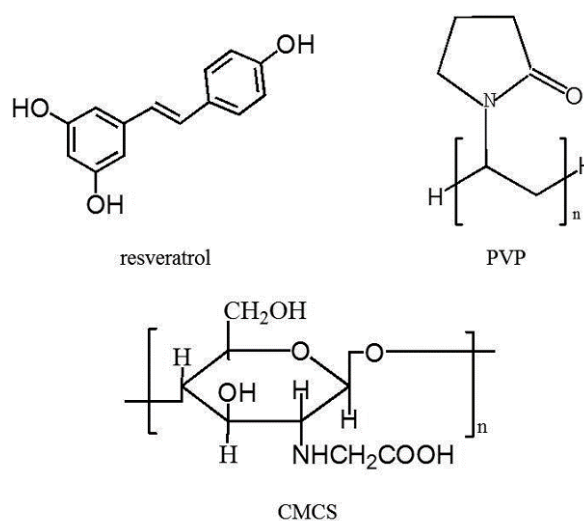
**Keywords:** resveratrol; solid dispersions; PVP; CMCS; Box–Behnken design; stability

## 1. Introduction

The poor water solubility of drugs is one of the main challenges of drug delivery. According to reports, almost 70% of new drugs have water solubility challenges and consequently low oral bioavailability and delivery problems [1]. These drugs are classified as class II according to the biopharmaceutics classification system (BCS) [2]. Many methods are used to improve the solubility of poorly soluble drugs and their bioavailability, such as chemical modification, micronization, solid dispersion (SD), pH adjustment, co-solvency, complexation, and micellar solubilization [3–7]. Among the various approaches, SDs are inexpensive, simple and advantageous [8]. It has been shown to be one of the most successful in improving the solubility and bioavailability of poorly soluble active pharmaceutical ingredients [9].

Resveratrol (Res, Figure 1) is a natural active ingredient produced in certain plants [10]. Numerous clinical trials have revealed that Res could help prevent or treat the main threats to health, such as cancer, hypertension and heart disease [11,12]. These tests clearly demonstrated the safety of Res. However, the poor oral bioavailability of Res was also confirmed [13]. The aqueous solubility of Res is low (30 µg/mL) at the pH of the small intestine (6.8). In contrast, Res is highly capable of infiltrating enterocytes. Therefore, it has important implications for exploiting delivery systems to improve the solubility and bioavailability of Res [14,15]. Hydrophilic carriers can increase drug dissolution due to improved wettability, solubility and dispersibility [16]. In the previous literature, various SDs of Res were reported for improving its dissolution using various carriers [17–19]. However, SDs of a single carrier presented some drawbacks in terms of formulation, physicochemical properties and physical and chemical stabilities of the vehicle and drug [20–22]. An appropriately designed or selected polymer can form a miscible amorphous dispersion with the drug [23]. Therefore, the purpose of this paper is to develop a binary polymeric blend with physicochemical properties and physical and chemical stabilities that meet these requirements. In this study, the use of a polymeric system containing polyvinyl pyrrolidone (PVP) and carboxymethyl chitosan (CMCS) (Figure 1) to improve the dissolution rate of Res has not been reported. CMCS is widely used for biomedical applications because of its good solution, biocompatibility, biodegradability, nontoxicity, and wide availability in Nature. PVP is an amorphous polymer with high water solubility. Both CMCS and PVP contain a carbonyl group (C = O), which forms hydrogen bonds with the hydroxyl group in Res. Hydrogen bonding interactions between polymers and drugs could improve the chemical and physical stability of their SDs [24–26]. Our hypothesis is that CMCS and PVP copolymers can protect the Res from recrystallization by hydrogen bonding interactions and maintain the high solubility of the Res.

The main purpose of this study is to optimize and evaluate amorphous ternary SDs. We adopted a novel polymeric system that includes PVP, CMCS and Res to improve the dissolution rate and stability of Res SDs.



**Figure 1.** Molecular structures of Res, PVP and CMCS.

It is very important for pharmaceutical scientists to design formulations with the smallest number of experiments. A Box–Behnken design was used for the 3-factor and 3-level tests. The optimal process conditions were obtained by regression analysis of response surface methodology (RSM). Box–Behnken design involves the generation of multiple quadratic equations and mapping of the response over the experimental domain to select the optimum formulation [27]. In recent years, many pharmaceutical scientists have used it to design formulations [28–30]. In this study, Box–Behnken design was applied to optimize ternary SDs. Independent variables, including CMCS% ( $X_1$ ), Res% ( $X_2$ ), Tween 80 ( $X_3$ ), and their independent and joint effects on Res dissolution were evaluated at 5 minutes ( $Q_5$ ) and 30 minutes ( $Q_{30}$ ).

## 2. Materials and methods

### 2.1. Materials

Plasdone (poly (vinyl pyrrolidone)) PVPK29/32 was obtained from Ashland Inc. (KY, USA). Carboxymethyl chitosan (CMCS) was purchased from Qingdao Honghai Biotechnology Co., Ltd. (Qingdao, China). Prior to use, PVP and CMCS were dried in a desiccator over powdered phosphorus pentoxide for at least one week. *trans*-Resveratrol (99%, batch number #111535-202003) from the National Institutes for Food and Drug Control (Beijing, China) was used as a standard. Res was purchased from Hainuo Chemical Company (Shanghai, China). Tween 80 was obtained from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). Copper chloride was purchased from Tianda Chemical Company (Tianjing, China). HPLC grade acetonitrile and methanol and analytical grade ethanol and methanol were obtained from Shanghai Macklin Biochemical Co. (Shanghai, China).

## 2.2. Methods

### 2.2.1. Box–Behnken experimental design

Box–Behnken design was performed and statistically analysed using Design Expert software (Version. V8.0.6.1, Stat-Ease Inc., Minneapolis, MN). Single-factor tests were used to ensure that CMCS%, Res% and surfactant were the main factors influencing Res release. To find the optimized amount of carrier used in the SD formulation, independent and dependent variables are listed in Table 1. The polynomial equation was:

$$Y_1 = b_0 + b_1X_1 + b_2X_2 + b_3X_3 + b_{12}X_1X_2 + b_{23}X_2X_3 + b_{13}X_1X_3 + b_{11}X_{21} + b_{22}X_{22} + b_{33}X_{23} \quad (1)$$

where  $Y_i$  is the dependent variable;  $b_0$  is the intercept (arithmetic mean response of 17 runs);  $b_1$  to  $b_{33}$  are the regression coefficients; and  $X_1$ ,  $X_2$  and  $X_3$  are the independent variables that were selected from the preliminary experiments. The main effects of ( $X_1$ ,  $X_2$  and  $X_3$ ) represent the average result of changing one factor at a time from its low to high values. The interaction terms ( $X_{12}$ ,  $X_{23}$  and  $X_{13}$ ) show how the response changes when two factors are simultaneously changed. All the batches were prepared according to the experimental design in Table 2.

**Table 1.** Level and code of variables chosen for Box–Behnken design.

Independent Variables	Level		
	low	medium	high
$X_1$ : CMCS%	1:10	1:5	3:10
$X_2$ : Res%	1:10	1:5	3:10
$X_3$ : Tween 80	2	3	4
Transformed values	-1	0	1
Dependent variables	$Y_1$ = Percentage of Res released in 5 min ( $Q_5$ )		
	$Y_2$ = Percentage of Res released in 30 min ( $Q_{30}$ )		

### 2.2.2. Preparation of SDs

SDs for all 17 batches were prepared by solvent evaporation. Crystalline Res was dissolved in ethyl alcohol, and then PVP was added to the Res solution. CMCS was added to the solution after the PVP was completely dissolved. After all mixtures formed uniform one-phase solutions, the solvent was removed using a rotary evaporator apparatus (RE-52C, Henan, China) by keeping the solution in a water bath (40 °C). The SDs were then dried in a vacuum oven (DZF-6050, Shanghai, China) for 24 h. To reduce the particle size, solid dispersions were ground by a mortar with a pestle and sifted by sieve no. 70. The SDs were stored in a desiccator over powdered phosphorus pentoxide before use.

**Table 2.** Box–Behnken design with transformed values.

Batch	X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>
1	0	0	0
2	0	1	1
3	1	0	1
4	0	0	0
5	0	0	0
6	0	-1	-1
7	1	-1	0
8	-1	0	-1
9	-1	1	0
10	1	1	0
11	1	0	-1
12	-1	0	1
13	0	0	0
14	-1	-1	0
15	0	1	-1
16	0	-1	1
17	0	0	0

### 2.2.3. Examination of hydrogen bonding interactions

FTIR spectra were obtained using a Bruker Vector 22 (Bruker Optik GmbH, Ettlingen, Germany). KBr pellets of each Res alone and SDs were prepared. All spectra were obtained at a resolution of 4 cm<sup>-1</sup>, and 64 scans were added.

### 2.2.4. Scanning electron microscopy (SEM)

To examine the morphology of SDs in the dry state, samples were analysed by SEM (JSM-7800F, JEOL, Japan) at a working distance of 6.2 mm. The particles were observed to determine the surface characteristics.

### 2.2.5. Stability studies

The optimized ternary SDs were placed in weighing bottles without caps and charged for accelerated stability studies for a period of 3 months in ovens set to 40 ± 2.0 °C (668, Dalian, China). A saturated copper chloride solution was used to supply the 69 ± 5% RH. The samples were withdrawn after 90 days and evaluated for their solid-state and *in vitro* Res release.

### 2.2.6. Modulated differential scanning calorimetry (MDSC) measurements

The MDSC study was performed. The MDSC (Q1000, TA Instruments, New Castle, DE, USA) was equipped with a liquid nitrogen cooling system. The samples were heated to 300 °C with modulations of  $\pm 1$  °C/60 s at a 3 °C/min heating rate under purged dry argon gas (50 mL/min) (n = 3).

### 2.2.7. X-ray powder diffraction (XRD)

XRD patterns were obtained using an X'Pert Pro-1 diffractometer (PANalytical Co., Almelo, the Netherlands) with Cu K $\alpha$  radiation ( $\lambda = 1.5418$  Å) at 40 kV and 40 mA. Samples were scanned from 5° to 50° 2 $\theta$  at a scanning rate of 2°/min with a sampling interval of 0.02°.

### 2.2.8. *In vitro* release profile

Dissolution equipment (RC806, Tianjin, China) was used to determine the release rate of Res dissolution according to the paddle method (USPII method). Each quantity of SDs corresponding to 5 mg Res was placed in the apparatus. The test was performed under the condition of a rotation speed of 100 rpm at  $37 \pm 0.5$  °C. The dissolution medium consisted of 250 mL of dilute hydrochloric acid (Aladdin Reagent Co., Ltd), pH 1.2. At predefined time intervals, samples (1 mL) were removed from the dissolution medium, and an equal volume of fresh dissolution medium was added after the sample was withdrawn. Samples (1 mL) were filtered through 0.22  $\mu$ m membranes. The content of Res was analysed by HPLC based on a calibration line of standards of known concentrations. HPLC analysis was performed with a C18 column (200 mm  $\times$  4.6 mm, 5.0  $\mu$ m Kromasil, China) and a UV detector (Waters 2998PAD UV-vis spectrophotometer, USA). The injection volume was 20  $\mu$ L. The samples were measured at a wavelength of 293 nm to detect Res by UV detection.

## 3. Results

### 3.1. Data analysis in the Box–Behnken design

#### 3.1.1. Data analysis for Y<sub>1</sub> (Q<sub>5</sub>) and Y<sub>2</sub> (Q<sub>30</sub>)

The observed values of Q<sub>5</sub> (Y<sub>1</sub>) and Q<sub>30</sub> (Y<sub>2</sub>) for all the batches are shown in Table 3. The Y<sub>1</sub> and Y<sub>2</sub> values from different batches showed a wide range of changes. The results clearly indicated that the Q<sub>5</sub> and Q<sub>30</sub> values were strongly affected by the selected variables. The responses (Y<sub>1</sub> and Y<sub>2</sub>) obtained at various levels of the 3 independent variables (X<sub>1</sub>, X<sub>2</sub>, and X<sub>3</sub>) underwent multiple regression to yield second-order polynomial Equations (2) and (3) (full model), respectively. The equation clearly reflects a wide range of values for the coefficients.

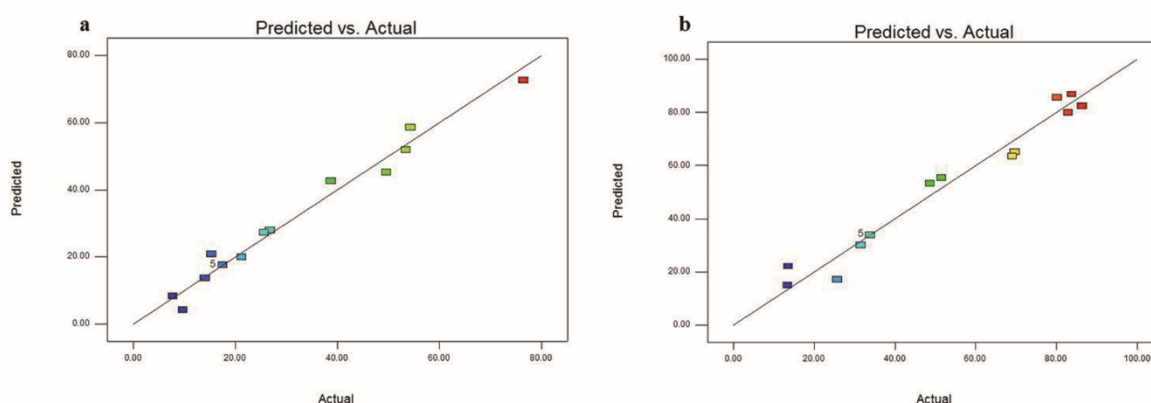
$$Y_1 (Q_5) = 17.50 - 5.50 \times X_1 - 9.47 \times X_2 - 2.79 \times X_3 + 16.79 \times X_1 \times X_2 - 0.81 \times X_1 \times X_3 + 6.20 \times X_2 \times X_3 - 5.36 \times X_1^2 + 28.66 \times X_2^2 - 0.43 \times X_3^2 \quad (2)$$

$$Y_2 (Q_{30}) = 33.83 - 2.54 \times X_1 - 8.47 \times X_2 + 4.99 \times X_3 + 7.70 \times X_1 \times X_2 - 1.42 \times X_1 \times X_3 + 7.21 \times X_2 \times X_3 - 11.02 \times X_1^2 + 44.07 \times X_2^2 - 1.75 \times X_3^2 \quad (3)$$

**Table 3.** Design and results of the Box–Behnken method for screening.

Run	X <sub>1</sub> (g/g)	Factors		Responses	
		X <sub>2</sub> (g/g)	X <sub>3</sub> (mL)	Y <sub>1</sub> (%)	Y <sub>2</sub> (%)
1	0.1	0.2	4	15.32	31.55
2	0.2	0.2	3	17.50	33.83
3	0.2	0.1	4	53.42	86.38
4	0.2	0.2	3	17.50	33.83
5	0.2	0.1	2	54.31	83.81
6	0.1	0.1	3	76.42	80.09
7	0.1	0.3	3	21.22	48.71
8	0.1	0.2	2	14.05	25.72
9	0.3	0.1	3	26.79	69.67
10	0.2	0.2	3	17.50	33.83
11	0.2	0.3	2	25.64	51.51
12	0.2	0.3	4	49.59	82.91
13	0.3	0.2	2	9.73	13.41
14	0.3	0.2	4	7.75	13.57
15	0.2	0.2	3	17.50	33.83
16	0.3	0.3	3	38.76	69.08
17	0.2	0.2	3	17.50	33.83

The responses of the experimental values and predicted values are shown in Figure 2. Quadratic regression models of the ANOVA revealed that the response surface models of the three responses were obvious and appropriate (Table 4). In addition, the summary of the model results indicated that the  $R^2$  values of all the response models were greater than 0.9, which revealed good and reliable relevance between the actual and predicted responses. In addition, the values of the coefficient of variation were low ( $CV = 15.6$  and  $13.52\%$ ), indicating a precise and reliable experiment.



**Figure 2.** Plot of predicted compared with actual responses of % release at (a) 5 min =  $Q_5$  and (b) 30 min =  $Q_{30}$ .

**Table 4.** Model summary statistics of the quadratic response surface.

Responses	Models					
	<i>F</i> Value	Prob > <i>F</i>	R <sup>2</sup>	Adj. R <sup>2</sup>	Adeq. prec.	C. V. (%)
Y <sub>1</sub>	33.33	0.0001	0.9772	0.948	20.205	15.6
Y <sub>2</sub>	25.18	0.0002	0.9700	0.932	14.271	13.52

The interactions between independent variables and the dependent variables, the standardized effects of independent variables with the relative significance of independent variables and the main influence of the independent variables on Q<sub>5</sub> and Q<sub>30</sub> are summarized in Table 5. Among the 3 independent variables, X<sub>2</sub> (Res%) had a prominent effect ( $b_2 = -9.47$  and  $P = 0.0005$ ,  $b_2 = -8.47$  and  $P = 0.0082$ ) on Q<sub>5</sub> and Q<sub>30</sub>. X<sub>1</sub> (CMCS%) moderately affected the results ( $b_1 = 2.69$  and  $P = 0.0096$ ) from Q<sub>5</sub> and minimally affected the results ( $b_1 = -2.54$  and  $P = 0.31$ ) from Q<sub>30</sub>, suggesting that CMCS% has an insignificant effect on Q<sub>30</sub> ( $P > 0.05$ ). X<sub>3</sub> (Tween 80) also had an insignificant effect on both Q<sub>5</sub> and Q<sub>30</sub> ( $P > 0.05$ ). X<sub>1</sub> and X<sub>2</sub> negatively affected the Q<sub>5</sub> and Q<sub>30</sub> results.

**Table 5.** Summary of results of multiple regression analysis for Y<sub>1</sub> and Y<sub>2</sub>.

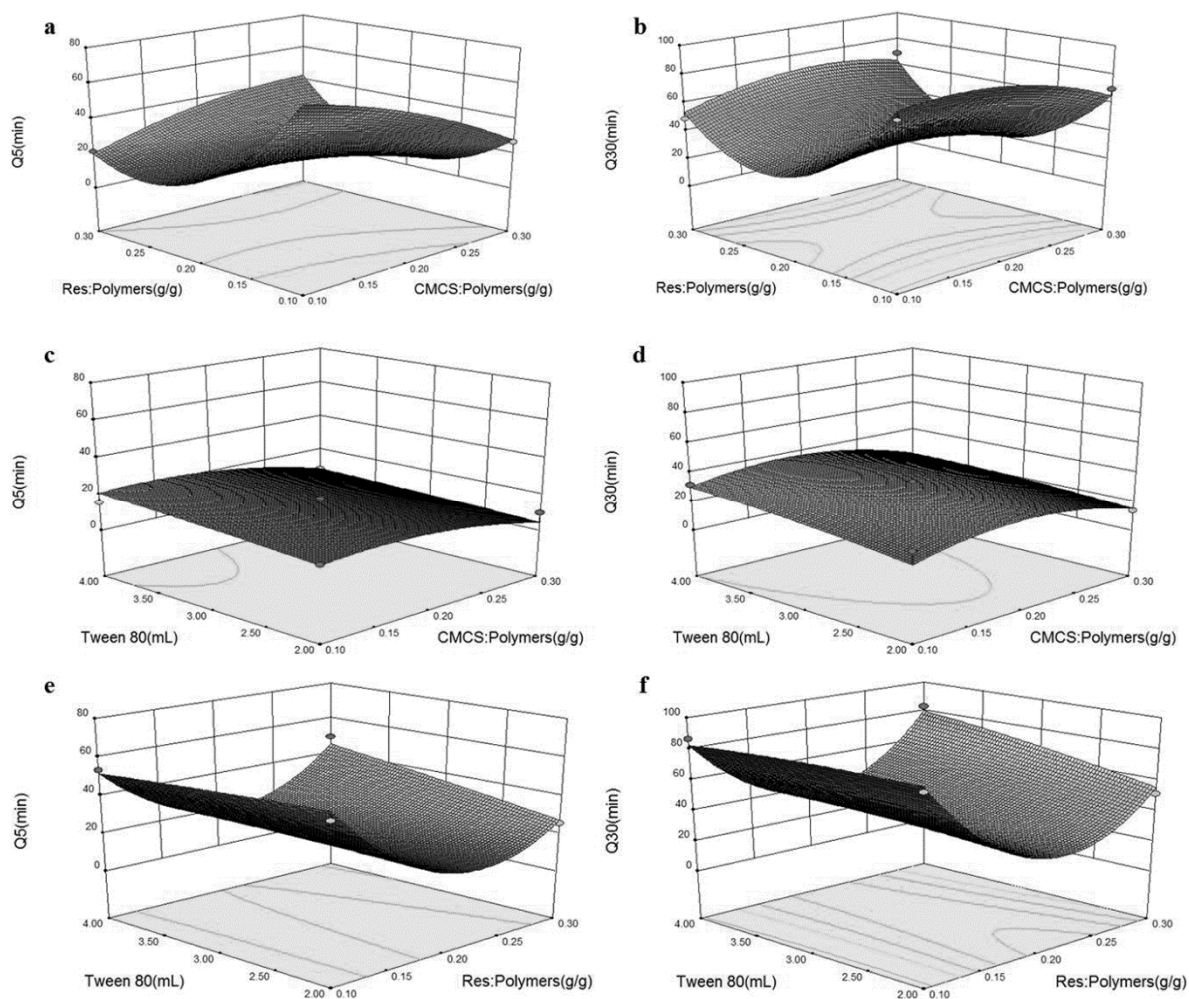
Dependent variables	Y <sub>1</sub> = Q <sub>5</sub>		Y <sub>2</sub> = Q <sub>30</sub>	
	Coefficients	<i>P</i> value	Coefficients	<i>P</i> value
Intercept	17.50	<u>&lt; 0.0001</u>	33.83	<u>0.0002</u>
X <sub>1</sub> (CMCS%)	-5.50	<u>0.0096</u>	-2.54	0.3100
X <sub>2</sub> (Res%)	-9.47	<u>0.0005</u>	-8.47	<u>0.0082</u>
X <sub>3</sub> (Tween 80)	2.79	0.1163	4.99	0.0686
X <sub>1</sub> X <sub>2</sub>	16.79	<u>0.0001</u>	7.70	0.0516
X <sub>2</sub> X <sub>3</sub>	-0.81	0.7233	-1.42	0.6791
X <sub>1</sub> X <sub>3</sub>	6.21	<u>0.0259</u>	7.21	0.0643
X <sub>1</sub> <sup>2</sup>	-5.36	<u>0.0412</u>	-11.02	<u>0.0108</u>
X <sub>2</sub> <sup>2</sup>	28.66	<u>&lt; 0.0001</u>	44.07	<u>&lt; 0.0001</u>
X <sub>3</sub> <sup>2</sup>	-0.43	0.8488	-1.75	0.6010

Note: X<sub>1</sub>X<sub>2</sub>, X<sub>1</sub>X<sub>3</sub>, and X<sub>2</sub>X<sub>3</sub> represent the interactions between Factors X<sub>1</sub>, X<sub>2</sub>, and X<sub>3</sub>; X<sub>1</sub><sup>2</sup>, X<sub>2</sub><sup>2</sup>, and X<sub>3</sub><sup>2</sup> are the squared effects of the factors; the underlined represent significant factors ( $P < 0.05$ ). All values are expressed as the mean ± SD, n = 3.

### 3.1.2. Response surface analysis and contour plots

Three-dimensional response plots showed the behaviour of dissolution (% release at 5 min = Q<sub>5</sub> and 30 min = Q<sub>30</sub>), the main effect, the squared effect (nonlinear) and the interactions between the two independent variables (Figure 3). From the three-dimensional response plots, CMCS% and Res%, Res% and Tween 80 had their individual effects on the three responses. CMCS% and Tween 80, and Res% and Tween 80 had a minimal effect on the three responses. It is well known that X<sub>1</sub> and X<sub>2</sub> negatively affect Q<sub>5</sub> and Q<sub>30</sub>. The three-dimensional response plot clearly shows that at low levels of X<sub>1</sub> and X<sub>2</sub>, Q<sub>30</sub> > 80% can be achieved, but at least a medium level or higher is needed to achieve a higher Q<sub>5</sub> and Q<sub>30</sub> for X<sub>3</sub>.





**Figure 3.** Response surface plots showing the combined effect of (a) CMCS% and Res% on  $Q_5$ , (b) CMCS% and Res% on  $Q_{30}$ , (c) CMCS% and Tween 80 on  $Q_5$ , and (d) CMCS% and Tween 80 on  $Q_{30}$ . (e) Res% and Tween 80 on  $Q_5$ , and (f) Res% and Tween 80 on  $Q_{30}$ .

### 3.1.3. Validation of response surface methodology

The predicted values of the response variables suggested that the prediction error varied between -3.04–12.20% and -0.5–3.99% for  $Y_1$  and  $Y_2$ , respectively (Table 6). Thus, we concluded that Eqs (2) and (3) were valid for predicting  $Q_5$  and  $Q_{30}$ . A correlation coefficient of linear correlation,  $R^2$ , was 0.9772 and 0.9700 for the observed and predicted response variables of percentage Res release at 5 and 30 min, respectively, indicating excellent goodness of fit, as shown in Table 4.

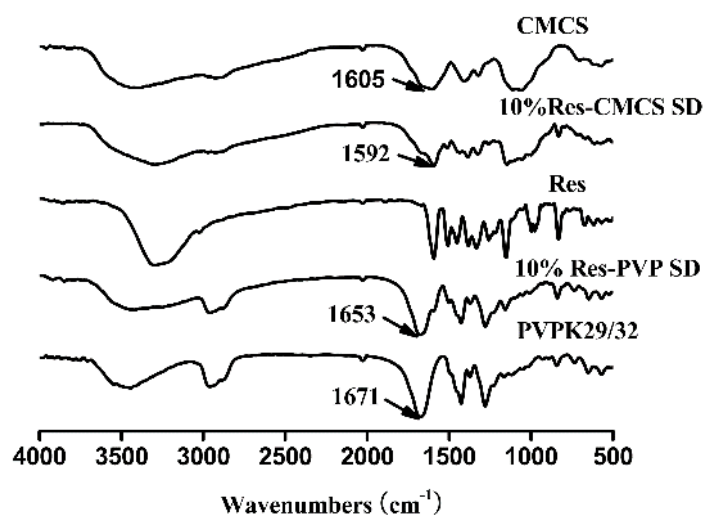
### 3.2. Solid-state characterization of the optimized ternary batch

To verify the occurrence of hydrogen bonding between Res and the polymers, FTIR spectra were obtained (Figure 4). The presence of Res caused a significant shift in the C = O stretching of PVP from  $1671\text{ cm}^{-1}$  to a lower wavenumber of  $1653\text{ cm}^{-1}$  and CMCS (C = O stretching) from  $1605\text{ cm}^{-1}$  to  $1592\text{ cm}^{-1}$ , indicating the absence of hydrogen bonding between Res and the polymers.

**Table 6.** Results of checkpoints and optimum batches for percentage Res release at 5 and 30 min ( $Q_5 = Y_1$  and  $Q_{30} = Y_2$ ) with each  $P$  value ( $t$  test).

Batch	$X_1$	$X_2$	$X_3$	$Y_1$ ( $Q_5 \pm SD$ )	Predicted $Q_5$	% Error	$P$ Value
Check pt-1	0.11	0.12	3.28	$59.40 \pm 1.11$	57.98	2.45	0.157
Check pt-2	0.18	0.28	2.60	$25.01 \pm 0.68$	22.29	12.20	0.020
Check pt-3	0.13	0.13	2.07	$50.13 \pm 0.72$	51.70	-3.04	0.064
Optimized	0.17	0.10	2.94	$61.42 \pm 0.47$	61.64	-0.36	0.509
Batch	$X_1$	$X_2$	$X_3$	$Y_2$ ( $Q_{30} \pm SD$ )	Predicted $Q_{30}$	% Error	$P$ Value
Check pt-1	0.11	0.12	3.28	$71.75 \pm 1.31$	71.67	0.11	0.925
Check pt-2	0.18	0.28	2.60	$49.81 \pm 0.56$	47.90	3.99	0.027
Check pt-3	0.13	0.13	2.07	$63.40 \pm 1.13$	62.47	1.49	0.290
Optimized	0.17	0.10	2.94	$88.38 \pm 0.31$	88.82	-0.50	0.137

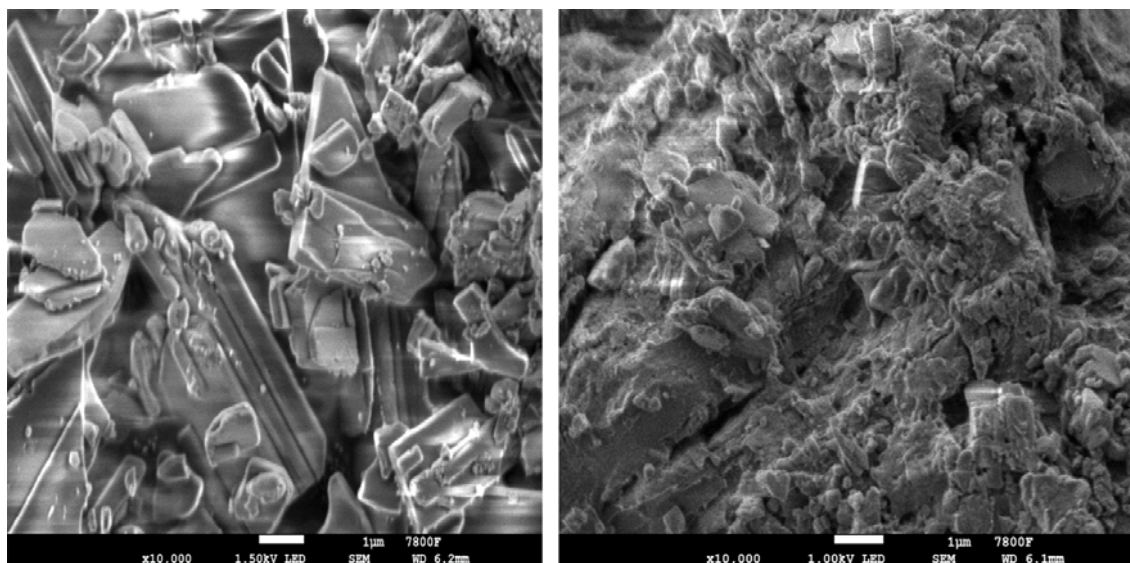
Note: All values are expressed as the mean  $\pm$  SD,  $n = 3$ .



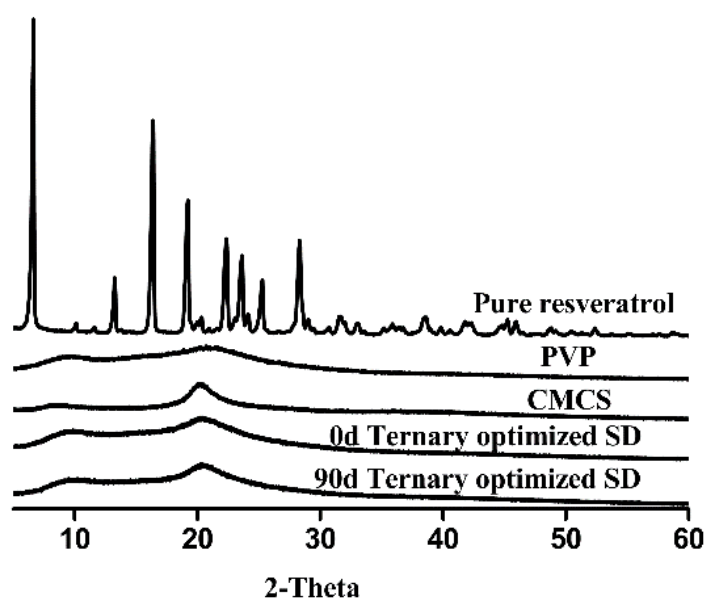
**Figure 4.** Comparison of the infrared spectra of the carbonyl stretching region of PVP, Res-PVP binary SD, pure Res, Res-CMCS binary SD and CMCS.

In the SEM report of the optimized ternary SD (Figure 5), the particles were relatively amorphous in comparison to pure Res because the Res was uniformly dispersed throughout the carrier molecules. Based on the SEM and FTIR data, we concluded that the Res was molecularly dispersed as amorphous nanoparticles in the polymers.

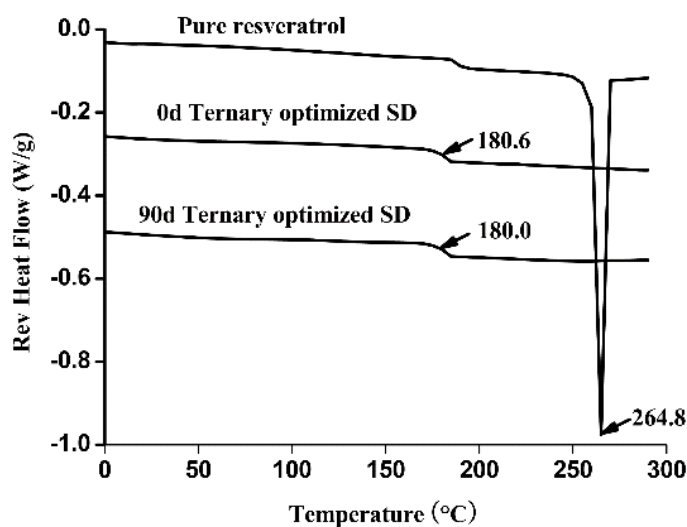
The XRD pattern showed that PVP and CMCS were amorphous chemical compounds (Figure 6). The sharp peaks of pure Res disappeared from the optimized ternary SDs, indicating the amorphous form of Res. The MDSC study indicated that the melting point of Res was 264.8 °C. However, for amorphous SDs, no melting endotherm was observed, suggesting that no Res crystals were present in the SDs. A single glass transition temperature ( $T_g = 180.6$  °C) was observed with the 0-day samples (Figure 7).



**Figure 5.** SEM images of (a) raw Res and (b) ternary optimized SD.



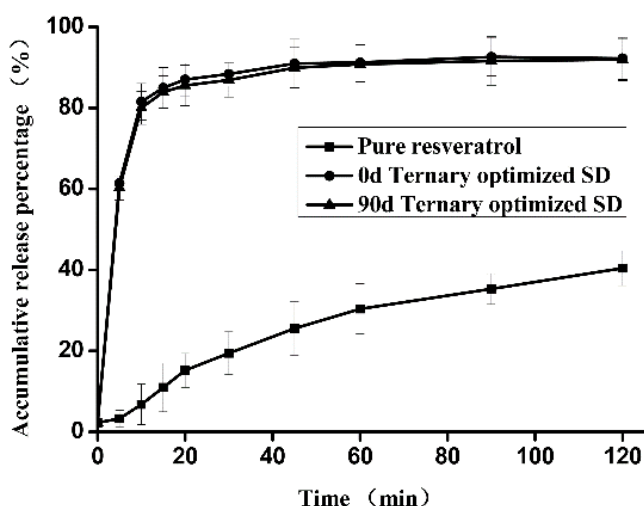
**Figure 6.** X-ray diffraction patterns of pure Res, PVP, CMCS, ternary optimized SD, and ternary optimized SD exposed to 40 °C/69% RH for 90 days.



**Figure 7.** MDSC reversal heat flow scans of pure Res, ternary optimized SD, and ternary optimized SD exposed to 40 °C/69% RH for 90 days.

### 3.3. Release profiles of optimized ternary SDs

A significant improvement in the dissolution rate profile of the optimized ternary SD batch was observed in comparison to pure Res in hydrochloric acid solution at pH 1.2 (Figure 8). Within 30 minutes, the ternary SD batch showed 88.38% release, while the Res showed only 19.42% release, indicating that the particle size decreased, and the lack of crystallinity increased the wettability of the Res in the solid dispersion.



**Figure 8.** *In vitro* release profile of pure Res, ternary optimized SD and ternary optimized SD exposed to 40 °C/69% RH for 90 days.

### 3.4. Stability studies

The XRD pattern demonstrated that the optimized ternary SDs remained amorphous after 3 months (Figure 6). The MDSC results revealed that there was little change in  $T_g$  (180.0 °C) under accelerated conditions ( $69 \pm 5\%$  RH and  $40 \pm 2$  °C) after 3 months (Figure 7). The cumulative release percentages at 5 and 30 min were  $> 60$  and  $86\%$ , respectively, throughout the stability period (Figure 8). From the statistical study (Tukey test), it was clear that there was no significant difference in the accumulative release percentage, as  $P > 0.05$  at the interval of study. Polymers are known to inhibit the recrystallization of drugs and thus maintain supersaturation of the drug in the dissolution fluid. All the results indicate that under accelerated conditions ( $40 \pm 2$  °C and  $69 \pm 5\%$  RH), the optimized ternary SD powder was physicochemically stable over 3 months. In this case, the blend of PVP and CMCS was successful in enhancing and maintaining the supersaturation of the amorphous form of Res. Hence, the optimized ternary SD powder is sufficiently stable according to the regulatory requirements.

## 4. Discussion

This study optimized the dissolution profile of Res by Box–Behnken design. The optimized formulation achieved  $CMCS\% = 0.17$  ( $X_1 = -0.28$ ),  $Res\% = 0.1$  ( $X_2 = -1$ ) and  $Tween\ 80 = 2.94$  ( $X_3 = -0.6$ ). The experimental values of  $Q_5$  and  $Q_{30}$  in all 17 batches and check point batches showed good correspondence with the predicted values, indicating goodness of fit for the models. The dissolution of Res can be predicted by these models under the same dissolution conditions, which provides guidance for optimization of the formulation. In conclusion, CMCS is an enteric polymer that was successfully used in combination with PVP to produce SDs of poorly soluble Res. Ternary optimized SDs were characterized using SEM, FTIR, XRD, and thermal analysis techniques. Ternary SD powder exhibited excellent solubility, dissolution rate and physicochemical stability in comparison with pure Res. If this formulation is scaled up to the manufacturing level, amorphous SDs show more solubility and faster dissolution than their crystalline analogues and provide novel insights into the application of resveratrol. However, many long-term stability, clinical pharmacokinetic studies and preclinical toxicity studies are required before production and commercialization can occur. Over time, amorphous SDs might crystallize, which leads to decreased solubility and a lower dissolution rate of the drug. The use of more than one polymer for formulating SDs might help overcome the storage and stability issues and increase the commercial viability and success of SDs.

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## Conflict of interest

The authors declare that they have no competing interests.

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