
Research article

Periodic fractional control in bioprocesses for clean water and ecosystem health

Kareem T. Elgindy^{1,2}, Muneerah AL Nuwairan^{3,*} and Liew Siaw Ching⁴

¹ Department of Mathematics and Sciences, College of Humanities and Sciences, Ajman University, Ajman, P.O. Box 346, United Arab Emirates

² Nonlinear Dynamics Research Center (NDRC), Ajman University, Ajman, P.O. Box 346, United Arab Emirates

³ Department of Mathematics and Statistics, College of Science, King Faisal University, P.O. Box 400, Al-Ahsa 31982, Saudi Arabia.

⁴ Department of Mathematics and Applied Mathematics, School of Mathematics and Physics, Xiamen University Malaysia, Sepang 43900, Malaysia

* **Correspondence:** Email: msalnuwairan@kfu.edu.sa.

Abstract: This paper develops a fractional-order chemostat model for biological water treatment using a Caputo fractional derivative with sliding memory (CFDS) to represent history-dependent microbial dynamics. We pose an optimal control problem that minimizes average pollutant concentration through periodic dilution-rate modulation subject to operational constraints. The analysis reduces the dynamics to a one-dimensional fractional differential equation, establishes existence and uniqueness of an optimal periodic solution, and derives the corresponding bang-bang control via the fractional Pontryagin maximum principle combined with a Fourier–Gegenbauer pseudospectral scheme. Sensitivity results show that the fractional order α , scaling parameter ϑ , and memory length L significantly influence treatment performance. Numerical simulations demonstrate substantial reductions in substrate levels compared with steady-state operation, underscoring the potential of fractional modeling for improving water treatment efficiency.

Keywords: bang-bang control; Caputo fractional derivative; chemostat model; fractional-order control; memory effects; optimal periodic control; water treatment

Mathematics Subject Classification: 34A08, 37N25, 49J15, 92D25

List of acronyms and notations

Acronym/Notation	Definition
CFDS	Caputo Fractional Derivative with Sliding Memory
FD	Fractional Derivative
FDE	Fractional-Order Differential Equation
FG-PS	Fourier–Gegenbauer Pseudospectral
RFOCP	Reduced Fractional-Order Optimal Control Problem
\mathbb{N}	Set of positive integer numbers
f_{av}	Average Value of a Periodic Function f Over One Period
$f_{\varepsilon,av}$	Average Value of a Periodic Function f_ε Over One Period
ξ_{av}^*	Average Value of ξ^* Over One Period
$\Gamma(\cdot)$	The Gamma Function
$E_\alpha(z)$	The One-Parameter Mittag-Leffler Function with $\alpha > 0$, Defined by
	$E_\alpha(z) = \sum_{n=0}^{\infty} \frac{z^n}{\Gamma(n\alpha + 1)}$
${}_L^{\text{MC}}D_t^\alpha f(t)$	The (left-sided) Caputo Fractional Derivative of the Function f with Sliding Memory, Defined by
	${}_L^{\text{MC}}D_t^\alpha f = \frac{1}{\Gamma(1-\alpha)} \int_{t-L}^t (t-\tau)^{-\alpha} f'(\tau) d\tau, \text{ where } \alpha \in (0, 1) \text{ is the fractional order and } L > 0 \text{ is the,}$ sliding memory length
${}_{L+}^{\text{MC}}D_t^\alpha f$	The right-sided Caputo Fractional Derivative of the Function f with Sliding Memory, Defined by
	${}_{L+}^{\text{MC}}D_t^\alpha f = -\frac{1}{\Gamma(1-\alpha)} \int_t^{t+L} (\tau-t)^{-\alpha} f'(\tau) d\tau, \text{ where } \alpha \in (0, 1) \text{ is the fractional order and } L > 0 \text{ is the,}$ sliding memory length
$W_{\text{loc}}^{1,1}([a, b])$	Sobolev space of functions whose first weak derivative exists and is locally integrable over the interval $[a, b]$
AC_T	The absolutely continuous space of T -periodic functions with the norm $\ s\ _{AC} = \ s\ _\infty + \ s'\ _{L^1}$, where $\ s\ _\infty = \sup_{t \in [0, T]} s(t) $ and $\ s'\ _{L^1} = \int_0^T s'(t) dt$

1. Introduction

The chemostat is a fundamental bioreactor in environmental engineering, enabling microbial cultivation for pollutant degradation and forming the backbone of many biological water treatment processes. While chemostats are traditionally operated at steady state, recent studies, using optimal control theory, have shown that periodic modulation of dilution rates in continuously operated chemostats can substantially improve pollutant removal efficiency when aligned with microbial growth kinetics [1–3]. This evolution from early cyclic treatment concepts to modern, optimally designed periodic controls expands the potential for enhancing bioprocess performance, enabling more efficient pollutant removal and supporting cleaner water production and ecosystem protection.

Classical chemostat models rely on integer-order differential equations that assume instantaneous microbial responses and neglect the hereditary effects observed in real biological systems. A growing body of work demonstrates that biological processes often exhibit long-term memory, anomalous diffusion, and multi scale adaptation that are more accurately captured by fractional calculus [4–6]. Fractional models have been successfully applied across physics, engineering, and biology—from viscoelasticity and bioimpedance to predator–prey dynamics and multi-frame imaging [7–9]—and have recently gained traction in environmental applications, including water pollution modeling [2,10]. These developments underscore the relevance of fractional formulations for systems where past states influence present behavior.

Motivated by these observations, we introduce a fractional-order chemostat model that incorporates microbial memory through the Caputo fractional derivative (FD) with sliding memory (CFDS). This

operator captures history-dependent growth and degradation dynamics over a finite window $[t - L, t]$, reflecting realistic microbial adaptation timescales [11, 12]. Unlike classical Caputo or Riemann–Liouville derivatives, the CFDS preserves periodicity, avoids nonphysical dependence on the entire past history, and eliminates the need for fractional initial conditions. Its finite-memory structure also enables efficient numerical treatment via pseudospectral methods [2]. For further details on CFDS properties and applications, see [13–15].

Building on this modeling framework, we study optimal periodic control of a fractional-order chemostat system for continuous biological water treatment. The goal is to minimize the average pollutant concentration over a fixed period while respecting operational constraints on dilution rates and treatment capacity. By employing fractional dynamics, the model captures memory-driven responses that can yield superior pollutant removal compared with integer-order periodic controls and steady-state operation.

This work makes several contributions to bioprocess engineering and fractional optimal control. (i) We develop a fractional-order chemostat model with CFDS memory, extending the integer-order framework of [1] to incorporate biologically realistic hereditary effects. (ii) In addition to the fractional order α , we introduce a dynamic scaling parameter $\vartheta > 0$ that modulates the amplitude of system dynamics through the factor $\vartheta^{1-\alpha}$, allowing independent tuning of memory depth and responsiveness. (iii) We reduce the two-dimensional fractional system to a one-dimensional fractional differential equation using the transformation in [2], yielding analytical simplification and computational efficiency. (iv) We formulate a fractional optimal control problem to minimize average pollutant concentration under periodic dilution-rate control, incorporating fractional dynamics and practical operational constraints. (v) We establish existence of optimal periodic solutions using compactness arguments and Schauder’s fixed-point theorem, and provide uniqueness conditions for specific parameter regimes. (vi) We perform a detailed sensitivity analysis showing how the fractional order α , scaling parameter ϑ , and memory length L influence optimal control performance and pollutant removal, offering actionable guidance for system designers. (vii) Unlike [2], which developed the CFDS-based fractional chemostat model, the present work establishes the corresponding optimal periodic control theory, including existence, uniqueness, and a fractional Pontryagin framework.

The motivation for this study stems from the need for efficient and sustainable water treatment technologies capable of handling variable environmental conditions while minimizing ecological impact. By integrating microbial memory effects through the CFDS, we develop a biologically grounded and practically implementable periodic control strategy for real-world wastewater treatment systems. This work advances the theory and practice of fractional-order optimal control in environmental engineering and provides a pathway toward improved pollutant removal and ecosystem health.

The remainder of the paper is organized as follows. Section 2 reviews essential preliminaries on fractional calculus and the CFDS. Section 3 presents the problem formulation. Section 4 reduces the model to a one-dimensional fractional-order differential equation (FDE), establishes existence and uniqueness, and analyzes computational complexity. Section 5 derives the optimal control strategy using the fractional Pontryagin maximum principle. Section 6 examines memory effects on control and orbital stability. Section 7 provides sensitivity analysis and numerical simulations. Section 8 discusses the biological and practical implications of the results, compares the approach with alternative modeling frameworks, and addresses implementation considerations. Section 9

concludes the paper. The appendices contain supporting theoretical results, including CFDS integral properties (Section A), convexity analysis (Section B), equilibrium stability (Section C), orbital stability (Section D), perturbation analysis (Section E), right-sided CFDS derivation (Section F), numerical optimization techniques (Section G), and the complete solution algorithm (Section H).

2. Preliminaries

This section provides a brief introduction to the key concepts of fractional calculus employed in this paper, with a focus on the Caputo FD and its sliding memory variant (CFDS). Fractional calculus extends traditional integer-order differentiation and integration to noninteger orders, enabling the modeling of systems with memory and hereditary properties [16, 17]. In biological systems like chemostats, these tools capture time-dependent behaviors such as microbial adaptation delays, which integer-order models overlook.

2.1. FDs and integrals

The Riemann-Liouville fractional integral of order $\alpha > 0$ for a function f is defined as

$$I_a^\alpha f(t) = \frac{1}{\Gamma(\alpha)} \int_a^t (t - \tau)^{\alpha-1} f(\tau) d\tau.$$

FDs build upon this, with several definitions available (e.g., Riemann-Liouville, Caputo, Grünwald-Letnikov). The left-sided Caputo FD of order $\alpha \in (n-1, n)$ for $n \in \mathbb{N}$ is

$${}_a^C D_t^\alpha f(t) = I_a^{n-\alpha} f^{(n)}(t) = \frac{1}{\Gamma(n-\alpha)} \int_a^t (t - \tau)^{n-\alpha-1} f^{(n)}(\tau) d\tau.$$

For $0 < \alpha < 1$, this simplifies to

$${}_a^C D_t^\alpha f(t) = \frac{1}{\Gamma(1-\alpha)} \int_a^t (t - \tau)^{-\alpha} f'(\tau) d\tau.$$

The Caputo FD is particularly suited for initial value problems, as it incorporates initial conditions in terms of integer-order derivatives, aligning with physical interpretations like the initial substrate concentrations in bioprocesses. Unlike integer-order derivatives, which are local, Caputo FDs are nonlocal operators that depend on the entire history from a to t , modeling hereditary phenomena in microbial kinetics [5].

2.2. CFDS

Traditional Caputo FDs use a fixed lower limit a (often 0), implying dependence on the entire past history from the initial time. In periodic bioprocesses with cyclic operations, this can conflict with periodicity and bounded historical dependence such as the microbial responses influenced only by recent environmental changes over a finite window L . To address this, we employ the CFDS, a finite-memory variant introduced in [18], and modified later by [13, 14] for improved numerical stability. The left-sided CFDS is

$${}_L^{\text{MC}} D_t^\alpha f(t) = \frac{1}{\Gamma(1-\alpha)} \int_{t-L}^t (t - \tau)^{-\alpha} f'(\tau) d\tau,$$

where $L > 0$ is the sliding memory length (a bounded horizon $[t - L, t]$). This preserves the Caputo kernel but localizes memory to a finite sliding window $[t - L, t]$, making it ideal for modeling systems with hereditary effects over bounded horizons, such as slow microbial adaptation or persistent finite influences in biological tissues and physiological processes [11]. In the context of wastewater treatment, this finite memory captures nutrient or pollutant history over practical timescales (like hours of inflow variability resetting microbial responses), avoiding the unphysical full history recall of classical FDs while aligning with observed nonlocal behaviors in bioprocesses [12].

The CFDS offers several advantages over standard Caputo or Riemann-Liouville FDs: (i) Its sliding window $[t - L, t]$ ensures that for $f \in AC_T$, the integral of the CFDS over $[0, T]$ vanishes (see Lemma 1 in Section A), guaranteeing compatibility with the periodic boundary conditions (3.1h)–(3.1j)—this distinction from the classical Caputo FD is clarified in Remark 3; (ii) the finite window L confines convolutions to bounded intervals, in contrast to the infinite memory in classical definitions, facilitating efficient pseudospectral discretization and reducing computational complexity [13,14]; (iii) it is more biologically plausible, as the sliding memory mechanism localizes hereditary effects (i.e., captures microbial memory over recent time), thereby preventing the unphysical dependence on the entire distant history that characterizes standard Caputo/Riemann–Liouville FDs with fixed lower limits [11,12], whereas the CFDS’s sliding memory aligns with periodicity and preserves this property. For further details on the properties of the CFDS, see [2, 18] and the references therein.

3. Problem statement

In this paper, we address the optimization of a chemostat model for continuous biological water treatment, where we focus on minimizing the average output concentration of pollution under periodic control strategies. Our primary goal is to minimize the average output concentration of the pollutant (substrate), denoted s , over a fixed period T . This translates to the practical goal of reducing pollutant levels in the effluent of a water treatment process. The total amount of water treated during the period T is required to have an average removal rate \bar{D} , calculated by dividing the total treated volume \bar{Q} by the product of the chemostat volume V and the period T , i.e., $\bar{D} = \bar{Q}/(VT)$. This constraint ensures a consistent treatment capacity by explicitly quantifying the total treated volume, modeling practical limitations such as reactor volume or pump rates in wastewater facilities, thereby ensuring operational feasibility. The fractional-order optimal control problem is formulated as follows:

$$\min_D J(D), \quad (3.1a)$$

subject to the integral constraint on the control variable D , the dilution rate

$$D_{av} = \bar{D}, \quad (3.1b)$$

the state and control bounds

$$0 \leq s(t) \leq s_{\text{in}}, \quad (3.1c)$$

$$x(t) > 0, \quad (3.1d)$$

$$D_{\min} \leq D(t) \leq D_{\max}, \quad (3.1e)$$

and the following 2D FDEs expressing the system dynamics:

$${}_L^{\text{MC}}D_t^\alpha s(t) = \vartheta^{1-\alpha} \left[D(t)(s_{in} - s(t)) - \frac{1}{Y} \mu(s(t), x(t)) x(t) \right], \quad (3.1\text{f})$$

$${}_L^{\text{MC}}D_t^\alpha x(t) = \vartheta^{1-\alpha} [\mu(s(t), x(t)) - D(t)] x(t), \quad (3.1\text{g})$$

with the following periodic boundary conditions:

$$s(t) = s(t + T), \quad \forall t \in [0, \infty), \quad (3.1\text{h})$$

$$x(t) = x(t + T), \quad \forall t \in [0, \infty), \quad (3.1\text{i})$$

$$D(t) = D(t + T), \quad \forall t \in [0, \infty). \quad (3.1\text{j})$$

In the above fractional-order optimal control problem, s represents the substrate concentration, x the biomass concentration, D the time-varying dilution rate (control input) with minimum and maximum values D_{\min} and D_{\max} , respectively. $J(D) = s_{\text{av}}$ is the objective functional, which represents the average substrate concentration over the period T , s_{in} is the inlet substrate concentration, $Y > 0$ the yield coefficient, $\mu(s, x)$ the specific growth rate of the microorganisms, and $\vartheta > 0$ is a dynamic scaling parameter that controls the magnitude of the system dynamics and ensures dimensional consistency in the fractional-order equations. The specific growth rate μ is assumed to follow the Contois growth model given by

$$\mu(s, x) = \frac{\mu_{\max} s}{Kx + s}, \quad (3.2)$$

where $\mu_{\max} > 0$ is the maximum growth rate, and $K > 0$ is the saturation constant. The fractional dynamics are modeled using the CFDS. The sliding memory window $[t - L, t]$ introduces a finite memory effect, which captures the influence of past states on current dynamics. This formulation extends the integer-order chemostat model studied in [1] by accounting for memory effects, which can lead to a more realistic representation of microbial growth and substrate degradation. The challenge lies in determining the optimal periodic control D^* that minimizes the average substrate concentration while satisfying the treatment constraint and periodic conditions in this fractional-order context.

3.1. Biological interpretation of CFDS and fractional parameters

The CFDS is biologically motivated, not merely mathematical. In real fractional-order chemostat systems, the following apply

- The microbial memory is finite and local [19, 20]. In fact, bacteria respond to nutrient and stress history over hours to days, not since reactor startup. The CFDS window $[t - L, t]$ in ${}_L^{\text{MC}}D_t^\alpha$ enforces this bounded memory, avoiding unphysical infinite recall.
- Fractional order α quantifies memory strength [11, 21, 22]:
 - $\alpha \rightarrow 1$: Weak memory (short-term memory, fast-growing strains).
 - $\alpha \rightarrow 0$: Strong memory (persistent historical dependence like biofilm populations [23]).

Lower α increases the weight of past states in Eqs (3.1f) and (3.1g), requiring more dynamic optimal periodic control to overcome inertia, as we demonstrate later in Section 7.

- Memory length L reflects ecological timescale; for example, L may equal the hydraulic residence time [24].
- Scaling parameter ϑ controls responsiveness: Larger ϑ amplifies dilution and growth terms. Tuning ϑ enables strain-specific optimal periodic control like, for example, selecting high- ϑ strains for unstable inflows.

This framework predicts how memory-aware optimal periodic control outperforms steady-state by aligning control with microbial timescales, enabling smarter bioprocess engineering.

3.1.1. Biological evidence supporting finite sliding memory

Experimental microbiology provides strong evidence that microbial memory operates over finite physiological timescales rather than over the entire historical trajectory of the system. Regulatory mechanisms such as enzyme induction and repression exhibit characteristic adaptation windows lasting minutes to hours, after which cells reset their metabolic state [25, 26]. Stress–response pathways, including heat–shock and oxidative stress responses, similarly decay over finite horizons once environmental conditions stabilize [27]. Quorum–sensing signals also impose finite memory due to autoinducer degradation and dilution, limiting the temporal range over which past population densities influence current behavior [28].

Recent studies on microbial ecological memory show that environmental history affects population behavior only over bounded timescales before adaptation erases earlier states [19, 29, 30]. In engineered bioreactors, including wastewater treatment systems, microbial responses are governed by finite hydraulic retention times and short–term substrate histories rather than long–term past conditions [31]. These findings support the use of a finite sliding memory window $[t - L, t]$ in the CFDS, whereas classical FDs with infinite memory imply dependence on the entire past since reactor startup, a behavior not supported by microbial physiology or bioprocess observations.

4. Simplification of the fractional-order chemostat model

To facilitate analysis and computation, we can reduce the fractional-order chemostat model, characterized by the 2D FDEs (3.1f) and (3.1g), to a 1D FDE. As shown in [2, Section 2.2], the 2D fractional-order chemostat system can be transformed into a 1D FDE using a transformation that uses periodic boundary conditions and the properties of the CFDS. In particular, the transformation

$$z(t) = Y(s_{\text{in}} - s(t)) - x(t), \quad (4.1)$$

applied to the fractional-order chemostat system (3.1f) and (3.1g) results in the FDE:

$$_L^{\text{MC}}D_t^\alpha z(t) = -\vartheta^{1-\alpha} D(t)z(t), \quad (4.2)$$

with periodic boundary condition $z(t) = z(t + T)$, which follows from $s(t) = s(t + T)$ and $x(t) = x(t + T)$. Using an energy dissipation argument, it can be shown that the FDE (4.2) admits no nontrivial periodic solutions under the specified dynamics and boundary conditions. Consequently, we have $z(t) \equiv 0$, and hence,

$$x(t) = Y(s_{\text{in}} - s(t)). \quad (4.3)$$

Using the relation (4.3), the FDEs (3.1f) and (3.1g) are reduced to the following 1D FDE:

$${}_L^{\text{MC}}D_t^\alpha s(t) = \mathcal{F}(t, s(t)), \quad (4.4)$$

subject to the periodic boundary condition (3.1h), where

$$\mathcal{F}(t, s(t)) = \vartheta^{1-\alpha} [D(t) - \nu(s(t))] (s_{\text{in}} - s(t)), \quad (4.5)$$

and

$$\nu(s(t)) = \mu(s(t), Y(s_{\text{in}} - s(t))) = \frac{\mu_{\max} s(t)}{K Y(s_{\text{in}} - s(t)) + s(t)}. \quad (4.6)$$

Here, $\nu(s(t))$ represents “the substrate-dependent specific growth rate”. This FDE governs the substrate concentration s , with D as the control input, enabling optimization of the objective (3.1a) under constraints (3.1b), (3.1c), (3.1e), (3.1h), and (3.1j). We refer to this reduced fractional-order optimal control problem by the reduced fractional-order optimal control problem (RFOCP).

For a constant dilution rate $D \equiv \bar{D}$ and constant substrate concentration $s \equiv \bar{s}$, the nontrivial equilibrium solution of (4.4) is given by [2, Section 2.3]:

$$\bar{s} = \frac{\bar{D} K Y s_{\text{in}}}{\bar{D} K Y + \mu_{\max} - \bar{D}}, \quad (4.7)$$

provided $\bar{D} < \mu_{\max}$, ensuring $\bar{s} < s_{\text{in}}$ and a positive biomass concentration via Eq (4.3). This equilibrium satisfies $\nu(\bar{s}) = \bar{D}$.

4.1. Computational complexity analysis

The computational complexity of solving the reduced fractional-order chemostat system (4.4) is primarily governed by the evaluation of the CFDS. As established in our previous work [13], the CFDS operator ${}_L^{\text{MC}}D_t^\alpha s(t)$ introduces computational challenges due to its nonlocal nature, requiring integration over the sliding memory window $[t - L, t]$ at each time step.

For a numerical solution discretized over N time steps with step size $\Delta t = T/N$, the memory length L covers $M = \lfloor L/\Delta t \rfloor = \lfloor LN/T \rfloor$ previous steps. For any general time step t_i with $i \geq M$, a naive implementation evaluating the CFDS via direct quadrature summation requires $\mathcal{O}(M)$ operations per time step, as it must integrate over the entire memory window $[t_i - L, t_i]$. Over N total time steps, this results in $\mathcal{O}(NM) = \mathcal{O}(N^2)$ computational complexity in the worst case, when L is comparable to the period T . However, our Fourier-Gegenbauer pseudospectral (FG-PS) method [13] significantly reduces this complexity through several key innovations:

- (i) The CFDS operator ${}_L^{\text{MC}}D_t^\alpha s(t)$ is discretized using the α -th order FG-PS integration matrix (FGPSIM) developed in [13], which serves as a discrete fractional differentiation operator. Due to the convolutional nature of the sliding-memory kernel [13, Theorem 4.1], this matrix exhibits a Toeplitz structure that reduces storage from $\mathcal{O}(N^2)$ to $\mathcal{O}(N)$ and, combined with the periodic nature of the problem, enables matrix–vector products in $\mathcal{O}(N \log N)$ time via fast Fourier transform-based convolution. This significantly improves overall scalability for large-scale and real-time bioprocess optimization.
- (ii) Unlike classical FDs with infinite memory, the CFDS employs a finite memory length L , bounding the effective historical dependence and preventing unbounded computational growth.

(iii) The FG-PS method exhibits spectral convergence [15, Theorem 5.2], meaning that moderate values of N (typically 300–400 in our simulations) suffice for engineering accuracy, keeping computational requirements manageable.

The overall computational complexity of solving the reduced 1D system is dominated by the FG-PS discretization, which requires $\mathcal{O}(N \log N)$ operations for the FD evaluations, plus the cost of solving the resulting nonlinear programming problem.

The reduction from the original 2D system (3.1f) and (3.1g) to the 1D formulation (4.4) provides substantial computational advantages by reducing the number of state variables from two (s and x) to one (s only). This simplification decreases both the dimensionality of the discretized system and the complexity of the resulting nonlinear programming problem, leading to faster computation times and reduced memory requirements compared to solving the full coupled system.

4.2. Existence of solutions to the RFOCP

Define

$$X = \{s \in AC_T \mid 0 \leq s(t) \leq s_{in}\}. \quad (4.8)$$

Then, X is a compact and convex subset of AC_T representing the set of feasible substrate concentrations. We also take the admissible control set to be

$$\mathcal{D} = \{D \in L^\infty([0, T]) \mid D \text{ satisfies (3.1b), (3.1e), and (3.1j)}\}. \quad (4.9)$$

The following theorem uses results from [2] to establish the existence of an optimal periodic control D^* and its corresponding state s^* for the RFOCP.

Theorem 1. *(Existence of optimal periodic control) Suppose that $s(0) \in (0, s_{in})$, $x(0) > 0$, and $D(t) < \mu_{\max}$ for all $t \geq 0$. Then, the RFOCP admits at least one optimal periodic solution $(s^*, D^*) \in X \times \mathcal{D}$ satisfying $s^* < s_{in}$.*

Proof. Notice first that the set \mathcal{D} is nonempty, since $D \equiv \bar{D} \in L^\infty([0, T])$ satisfies (3.1b), (3.1e), and (3.1j). [2, Theorem 2.2] guarantees the existence of a nontrivial, T -periodic Carathéodory solution to the FDE (4.4) with $s < s_{in}$ for any admissible control $D \in \mathcal{D}$. This ensures that the dynamics are well-defined. Since s is absolutely continuous and the control-to-state mapping $\mathcal{T} : \mathcal{D} \rightarrow X$ is continuous (by [2, Lemma 2.3]), the objective functional $J(D)$ is continuous. Notice also that \mathcal{D} is norm-bounded in $L^\infty([0, T])$ by (3.1e) and weakly-* closed, since the integral constraint (3.1b) is weakly-* continuous, and the uniform bounds (3.1e) and periodicity (3.1j) are preserved under weak-* convergence. Thus, by the Banach-Alaoglu theorem, \mathcal{D} is weakly-* compact [32]. Moreover, for any sequence of controls $\{D_n\} \in \mathcal{D}$ converging weakly-* to D , the corresponding solutions $s_n \rightarrow s \in X$ (by compactness of X). By [2, Lemma 2.3], s solves (4.4) for D , as the solution of the Volterra integral equation [2, Eq (22)] converges to the solution of the reduced fractional chemostat equation (4.4). The weak-* compactness of \mathcal{D} , continuity of J , and compactness of X ensure the infimum of (3.1a) is attained at (s^*, D^*) [33]. \square

Remark 1. *The condition $D(t) < \mu_{\max}$ in Theorem 1 ensures the dilution rate does not exceed the maximum growth rate, preventing washout, where biomass is flushed out faster than it grows.*

Having established the existence of optimal periodic controls, we now prove the possible existence of nonconstant optimal periodic controls for the RFOCP. To prove Theorem 2 below, we introduce the following assumption.

Assumption 1. *For sufficiently small ε , there exists a perturbed control $D_\varepsilon(t) = \bar{D} + \varepsilon v(t)$, where v is T -periodic with $v_{av} = 0$, ensuring $D_{\varepsilon,av} = \bar{D}$, with the corresponding state having the form $s_\varepsilon(t) = \bar{s} + \varepsilon z(t)$, with T -periodic z .*

Notice that, due to the well-posedness of the FDE and the continuity of the control-to-state mapping, Assumption 1 is always valid under the conditions of the RFOCP, provided ε is sufficiently small, as established in [2].

While Theorem 1 guarantees the existence of an optimal periodic solution pair (s^*, D^*) for the RFOCP, it does not determine whether the optimal periodic control D^* must be constant or if non-constant solutions are possible. A natural question arises: Are all possible optimal periodic controls necessarily constant, or can nonconstant controls yield better performance? Theorem 2 addresses this critical gap by proving that, under specific conditions, nonconstant optimal periodic controls may indeed exist and can achieve superior performance compared to steady-state solutions. This result underscores the potential advantages of periodic control strategies, particularly when accounting for memory effects and dynamic scaling in fractional-order systems.

Theorem 2. *(Possible existence of nonconstant optimal periodic controls) Let $KY \neq 1, \alpha \in (0, 1)$, and suppose that the conditions of Theorem 1 are satisfied. Then, the RFOCP may admit an optimal periodic solution $(s^*, D^*) \in X \times \mathcal{D}$, where D^* is nonconstant, and the corresponding nonconstant state s^* satisfies $s_{av}^* < \bar{s}$, with the potential to improve upon the steady-state average substrate concentration.*

Proof. By Theorem 1, the RFOCP admits an optimal solution $(s^*, D^*) \in X \times \mathcal{D}$ with $s^* < s_{in}$. Following [1, Lemma 2], consider a T -periodic, measurable function v that is nonzero almost everywhere with $v_{av} = 0$. Define the control $D_\varepsilon(t) = \bar{D} + \varepsilon v(t)$, with $\varepsilon > 0$ small enough that $D_{\min} \leq D_\varepsilon(t) \leq D_{\max}$. Since $v_{av} = 0$, we have $D_{\varepsilon,av} = \bar{D}$, so $D_\varepsilon \in \mathcal{D}$. Define the mapping as

$$\theta(s_0, \varepsilon) = s(T, D_\varepsilon, s_0) - s_0,$$

where $s(t, D_\varepsilon, s_0)$ is the solution to the FDE (4.4) with control D_ε and initial condition $s(0) = s_0$. By [2, Lemma 2.3], the control-to-state mapping $\mathcal{T} : \mathcal{D} \rightarrow X$ is continuous. Since \mathcal{F} is Lipschitz in s [2, Lemma 2.1], the solution s is continuous in s_0 . Thus, $\theta(s_0, \varepsilon)$ is continuous in s_0 and ε . For $\varepsilon = 0$, $D_0 \equiv \bar{D}$, and $s \equiv \bar{s}$, so $\theta(\bar{s}, 0) = 0$. In accordance with Theorem 4 (referenced in Section C), the behavior of $s(t, \bar{D}, s_0)$ can be described as follows:

- If $s_0^- < \bar{s}$, then $s(t, \bar{D}, s_0^-)$ will increase asymptotically towards \bar{s} .
- Conversely, if $s_0^+ > \bar{s}$, then $s(t, \bar{D}, s_0^+)$ will decrease asymptotically towards \bar{s} .

Thus,

$$\theta(s_0^-, 0) > 0, \quad \theta(s_0^+, 0) < 0.$$

For small ε , continuity ensures $\theta(s_0^-, \varepsilon) > 0$, $\theta(s_0^+, \varepsilon) < 0$, so there exists $\bar{s}_0 \in (s_0^-, s_0^+)$ such that $\theta(\bar{s}_0, \varepsilon) = 0$, giving us a T -periodic, nonconstant solution s_ε .

For improvement, suppose that $KY < 1$. In this case, ν is strictly concave, so,

$$\nu(s_\varepsilon(t)) < \nu(\bar{s}) + \nu'(\bar{s})(s_\varepsilon(t) - \bar{s}), \quad \text{for almost everywhere } t, \quad (4.10)$$

where

$$\nu'(s) = \frac{KY\mu_{\max}s_{\text{in}}}{(KY(s_{\text{in}} - s) + s)^2}. \quad (4.11)$$

Taking the time average of both sides of inequality (4.10) gives

$$[\nu(s_\varepsilon)]_{\text{av}} < \nu(\bar{s}) + \nu'(\bar{s})(s_{\varepsilon,\text{av}} - \bar{s}), \quad \text{for almost everywhere } t. \quad (4.12)$$

Rearranging the inequality, we get

$$[\nu(s_\varepsilon)]_{\text{av}} - \nu(\bar{s}) < \nu'(\bar{s})(s_{\varepsilon,\text{av}} - \bar{s}). \quad (4.13)$$

From Assumption 1 and Eq (E.2) in Section E, we have $[\nu(s_\varepsilon)]_{\text{av}} < \bar{D} = \nu(\bar{s})$. Therefore, $[\nu(s_\varepsilon)]_{\text{av}} - \nu(\bar{s}) < 0$. Since ν' is always positive, we have $\nu'(\bar{s}) > 0$. The inequality's negative right-hand side, arising when

$$s_{\varepsilon,\text{av}} < \bar{s}, \quad (4.14)$$

confirms that nonconstant optimal periodic controls can reduce the average substrate concentration below the steady-state level. To support this claim further, notice by Jensen's inequality that

$$\nu(s_{\varepsilon,\text{av}}) > [\nu(s_\varepsilon)]_{\text{av}}. \quad (4.15)$$

However, $[\nu(s_\varepsilon)]_{\text{av}} < \bar{D} = \nu(\bar{s})$ by Eq (E.2), so

$$\nu(s_{\varepsilon,\text{av}}) > [\nu(s_\varepsilon)]_{\text{av}} < \nu(\bar{s}). \quad (4.16)$$

This suggests that $\nu(s_{\varepsilon,\text{av}}) < \nu(\bar{s}) \Leftrightarrow s_{\varepsilon,\text{av}} < \bar{s}$ may take place for some nonconstant, T -periodic states, but it is not guaranteed for all.

Now, suppose that $KY > 1$. In this case, ν is strictly convex, so

$$\nu(s_\varepsilon(t)) > \nu(\bar{s}) + \nu'(\bar{s})(s_\varepsilon(t) - \bar{s}), \quad \text{for almost everywhere } t. \quad (4.17)$$

Take the time average of both sides:

$$[\nu(s_\varepsilon)]_{\text{av}} > \nu(\bar{s}) + \nu'(\bar{s})(s_{\varepsilon,\text{av}} - \bar{s}), \quad \text{for almost everywhere } t. \quad (4.18)$$

Rearrange the inequality:

$$[\nu(s_\varepsilon)]_{\text{av}} - \nu(\bar{s}) > \nu'(\bar{s})(s_{\varepsilon,\text{av}} - \bar{s}). \quad (4.19)$$

From Assumption 1 and Eq (E.3) in Section E, we have $[\nu(s_\varepsilon)]_{\text{av}} > \bar{D} = \nu(\bar{s})$. Therefore, $[\nu(s_\varepsilon)]_{\text{av}} - \nu(\bar{s}) > 0$. Since $\nu'(\bar{s}) > 0$, the fact that a negative value on the right-hand side (which occurs if $s_{\varepsilon,\text{av}} - \bar{s} < 0$) is consistent with the inequality means that $s_{\varepsilon,\text{av}} - \bar{s} < 0$ is a possible outcome, and so Eq (4.14) may take place for some non-constant, T -periodic states. By another similar argument to the former case, notice by Jensen's inequality that

$$\nu(s_{\varepsilon,\text{av}}) < [\nu(s_\varepsilon)]_{\text{av}}. \quad (4.20)$$

However, $[\nu(s_\varepsilon)]_{av} > \bar{D} = \nu(\bar{s})$, by Eq (E.2), so

$$\nu(s_{\varepsilon,av}) < [\nu(s_\varepsilon)]_{av} > \nu(\bar{s}). \quad (4.21)$$

This suggests that for certain nonconstant perturbations ν , we may have $s_{\varepsilon,av} < \bar{s}$, though this improvement is not guaranteed for all possible perturbations.

Suppose now that $KY = 1$. In this case, the substrate-dependent specific growth rate is linear: $\nu(s) = \mu_{\max} s / s_{in}$, with $\nu'(s) = \mu_{\max} / s_{in} > 0$ and $\nu''(s) = 0$. The steady-state \bar{s} satisfies $\nu(\bar{s}) = \bar{D}$, so $\bar{s} = \bar{D}s_{in}/\mu_{\max}$. Using Assumption 1, the perturbation analysis in Section E yields

$$[\nu(s_\varepsilon)]_{av} = D_{\varepsilon,av} = \bar{D} = \nu(\bar{s}).$$

Thus,

$$\frac{\mu_{\max}}{s_{in}} s_{\varepsilon,av} = \frac{\mu_{\max}}{s_{in}} \bar{s} \implies s_{\varepsilon,av} = \bar{s}.$$

This shows that the average substrate concentration under small, nonconstant perturbations equals the steady-state value, implying no improvement over the steady-state. \square

Remark 2. While any nonconstant, admissible solution improves the performance index compared to the steady-state solution for $\alpha = 1$, as proven in [1], such improvement is not guaranteed for $0 < \alpha < 1$. However, under the condition $KY \neq 1$, there may exist nonconstant, admissible solutions that yield an improvement, as demonstrated by Theorem 2.

4.3. Positivity and boundedness of optimal periodic solutions

The following corollary establishes the positivity and boundedness of solutions under mild conditions. Their proofs can be found in [2, Theorem 2.1 and Corollary 2.2].

Corollary 1. Let $D(t)$ be any admissible control for all $t \geq 0$, and suppose that $s(0) \in (0, s_{in})$ and $x(0) > 0$. Then, the optimal periodic solutions of the RFOCP satisfy the following properties:

- (i) The biomass concentration x^* and periodic substrate concentration s^* remain strictly positive for all $t > 0$, i.e., $x^*(t) > 0$ and $s^*(t) > 0$.
- (ii) The periodic substrate concentration s^* satisfies $0 < s^*(t) < s_{in}$ for all $t > 0$.

4.4. Uniqueness of solutions to the RFOCP

The uniqueness of the RFOCP depends on two main factors: The uniqueness of the state solution for a given control input and the convexity properties of the objective function and the system dynamics. In this section, we use conditions from [2] to establish uniqueness.

Theorem 3. (Uniqueness of optimal periodic control) Let $KY \neq 1$, and suppose that the conditions of [2, Theorem 2.3(ii)] hold true. Specifically,

$$s(0) \leq \hat{s} = \frac{s_{in} \sqrt{KY}}{\sqrt{KY} + 1}, \quad (4.22)$$

and either $D(t) \leq \nu(\hat{s})$ for all $t \in [0, T]$ or $\bar{D} \leq \nu(\hat{s})$. Then the optimal solution (D^*, s^*) is unique. Furthermore, both s^* and D^* must be nonconstant, and the strict convexity of J ensures improved performance over the steady-state.

Proof. [2, Theorem 2.3(ii)] ensures a unique nontrivial, T -periodic, Carathéodory solution to (4.4). This establishes that for any given admissible control D , there is a unique corresponding state trajectory s . Thus, the control-to-state mapping $\mathcal{T} : \mathcal{D} \rightarrow X$ is well-defined and single-valued. The uniqueness of the optimal periodic control D^* is closely related to the convexity of the problem. The admissible control set \mathcal{D} is convex, as it is defined by linear constraints. To show that J is strictly convex, consider two distinct controls $D_1, D_2 \in \mathcal{D}$ with corresponding states $s_1 = \mathcal{T}(D_1)$, $s_2 = \mathcal{T}(D_2)$. Let $D_\lambda = \lambda D_1 + (1 - \lambda)D_2$ for $\lambda \in (0, 1)$ with state $s_\lambda = \mathcal{T}(D_\lambda)$. We need to prove that

$$J(D_\lambda) = s_{\lambda, \text{av}} < \lambda s_{1, \text{av}} + (1 - \lambda) s_{2, \text{av}}, \quad (4.23)$$

unless $D_1 = D_2$. Define

$$h(s) = v(s)(s_{\text{in}} - s). \quad (4.24)$$

Theorem 2.3 in [2] shows that h is strictly increasing on $[0, \hat{s}]$, with $h'(\hat{s}) = 0$; moreover, since $D(t) \leq v(\hat{s})$ for all $t \in [0, T]$, then $s \in [0, \hat{s}]$, i.e., it remains in the region where h is increasing. Now, define

$$F(s, D) := D(t)(s_{\text{in}} - s(t)) - h(s(t)), \quad \forall t \in [0, T]. \quad (4.25)$$

Since $D > 0$, we have

$$\frac{\partial F}{\partial s} = -D(t) - h'(s) < 0,$$

so F is strictly decreasing in s . This implies the map $D \mapsto s$ is injective, where each admissible control yields a unique state trajectory. The term $h(s)$ introduces nonlinearity in the dynamics. Consequently, for two distinct, admissible controls D_1 and D_2 , the control

$$D_\lambda = \lambda D_1 + (1 - \lambda)D_2, \quad \text{for some } \lambda \in (0, 1), \quad (4.26)$$

has a corresponding state s_λ that satisfies the nonlinear FDE (4.4), and it cannot be expressed as a convex combination of s_1 and s_2 by Lemma 2. Thus, the control-to-state map is not affine. Since the control-to-state map \mathcal{T} is nonlinear and injective, that does not preserve convex combinations:

$$\mathcal{T}(\lambda D_1 + (1 - \lambda)D_2) \neq \lambda \mathcal{T}(D_1) + (1 - \lambda) \mathcal{T}(D_2),$$

for any $D_1 \neq D_2$ and $\lambda \in (0, 1)$. The cost functional $J(D)$ is a linear operator applied to the state and the composition $J(D) = J \circ \mathcal{T}(D)$ is strictly convex over the convex, admissible control set \mathcal{D} . The optimal control analysis conducted in Section 5 reinforces this conclusion by showing that the Hamiltonian is linear in D and admits no singular arcs—a hallmark of strictly convex problems—and the optimal periodic control is bang-bang. If the composition $J \circ \mathcal{T}$ were not strictly convex, the Hamiltonian could admit non-bang-bang solutions. The exclusive bang-bang behavior thus confirms that the control-to-state map \mathcal{T} enforces “a corner solution”, which is typical of strictly convex optimization problems with linear controls [34]. Therefore,

$$J(D_\lambda) < \lambda J(D_1) + (1 - \lambda) J(D_2),$$

for any $D_1 \neq D_2$ and $\lambda \in (0, 1)$. Hence, there exists a unique minimizer D^* with corresponding unique optimal trajectory s^* . [2, Corollary 2.3] and the equilibrium definition (4.7) confirm that the state solution s must be constant when the control D is constant, as optimal constant controls trivially

maintain steady-state conditions. However, the same corollary also shows that s must be nonconstant when D is nonconstant. By Theorem 2, there may exist nonconstant, admissible solutions that improve upon the steady-state. However, the variability of the unique optimal periodic pair (s^*, D^*) follows from the Pontryagin's maximum principle analysis in Section 5, which rules out singular arcs and ensures that the optimal control must be bang-bang. \square

5. Optimal control analysis

In this section, we derive the optimal control strategy for the RFOCP using the fractional Pontryagin's maximum principle for the CFDS. For more information on the fractional Pontryagin's maximum principle, readers may consult [35, 36].

To derive the necessary conditions of optimality, consider the Hamiltonian of the RFOCP:

$$H(s, p, D) = \frac{1}{T} s(t) + p \vartheta^{1-\alpha} [D(t) - \nu(s(t))] (s_{\text{in}} - s(t)),$$

where p is the co state/adjoint variable. The four Pontryagin's maximum principle conditions are as follows

(i) The system dynamics are recovered from the Hamiltonian:

$${}_{L+}^{\text{MC}} D_t^\alpha s = \frac{\partial H}{\partial p} = \vartheta^{1-\alpha} [D(t) - \nu(s(t))] (s_{\text{in}} - s(t)).$$

(ii) The co state variable evolves according to

$${}_{L+}^{\text{MC}} D_t^\alpha p = -\frac{\partial H}{\partial s} = -\frac{1}{T} + p(t) \vartheta^{1-\alpha} [\nu'(s(t)) (s_{\text{in}} - s(t)) + D(t) - \nu(s(t))],$$

where

$$\nu'(s) = \frac{KY\mu_{\max} s_{\text{in}}}{(KY(s_{\text{in}} - s) + s)^2}.$$

The use of the right-sided CFDS here reflects the backward-in-time nature of the adjoint system.

(iii) For all $t \in [0, T]$, the optimal control D^* must minimize the Hamiltonian:

$$D^*(t) = \arg \min_{D \in [D_{\min}, D_{\max}]} H(s(t), p(t), D(t)).$$

(iv) The transversality condition for the co-state must hold:

$$p(0) = p(T).$$

Notice that the Hamiltonian is linear in D :

$$H(s, p, D) = [p(t) \vartheta^{1-\alpha} (s_{\text{in}} - s(t))] D(t) + \frac{1}{T} s(t) - p(t) \vartheta^{1-\alpha} \nu(s(t)) (s_{\text{in}} - s(t)), \quad (5.1)$$

so we can define the switching function as follows:

$$\phi(t) = p(t) \vartheta^{1-\alpha} (s_{\text{in}} - s(t)).$$

Since $s(t) < s_{\text{in}}$ and $\vartheta^{1-\alpha} > 0$, the Hamiltonian is minimized when

$$D^*(t) = \begin{cases} D_{\max} & \text{if } \phi(t) < 0, \\ D_{\min} & \text{if } \phi(t) > 0, \\ \text{undefined} & \text{if } \phi(t) = 0. \end{cases}$$

If $\phi(t) = 0$, then $p(t) = 0$ (since $s_{\text{in}} - s(t) > 0$). Substituting $p(t) = 0$ into the co-state equation yields

$${}_{L+}^{\text{MC}} D_t^\alpha p = -\frac{1}{T},$$

which gives a contradiction, as the right-sided CFDS of a zero function cannot equal a nonzero constant. Thus, singular arcs are not possible, and the periodic optimal control D^* is bang-bang, switching between D_{\min} and D_{\max} . Due to periodicity, the number of switches per period is even. The switching times are typically computed numerically due to the fractional dynamics.

6. Memory effects on control stability and orbital stability

The fractional order α significantly influences the stability characteristics of the periodic control scheme. As established in Lemma 3, the decay rate λ in the exponential stability $z(t) \sim e^{-\lambda t}$ depends on both α and the memory length L . Lower values of α (stronger memory effects) result in the following

- (i) More frequent control adjustments to overcome system inertia, as evidenced by the increased number of control switches in the optimal periodic solution (demonstrated numerically in Section 7, Figure 5).
- (ii) Improved robustness to high-frequency disturbances due to the smoothing effect of historical dependence. This robustness stems from the fundamental difference between integer-order and fractional-order dynamics. In fact, for integer-order dynamics, the derivative depends only on the instantaneous rate of change with high-frequency noise directly affecting the control decisions; hence, the system may overreact to temporary fluctuations. On the other hand, a single noisy measurement in a fractional-order dynamics has a reduced impact because it is averaged with previous states. The control responds to sustained trends rather than momentary spikes. This reduced sensitivity to abrupt control changes, as the sliding memory window averages past states, enables the system to distinguish between true process changes and temporary disturbances.

The above arguments demonstrate that FDs introduce memory effects that contribute to system stabilization. The damping properties established in Theorem 4 for constant dilution rates provide the foundation for analyzing orbital stability under bang-bang optimal periodic control. As established in Section D, the optimal periodic control D^* is bang-bang, and the piecewise-constant structure enables stability analysis on each time segment where Theorem 4 ensures local exponential decay of perturbations.

The orbital stability framework developed in Section D demonstrates that the Poincaré map \mathcal{P} exhibits contraction properties over each control period. Specifically, Theorem 5 establishes that for sufficiently small initial perturbation δ , the mapping satisfies $|\mathcal{P}(s^*(0) + \delta) - s^*(0)| \leq \rho \|\delta\|$ with contraction factor $\rho < 1$. This analytical result, combined with the finite number of control switches and the memory-dependent damping characterized in Lemma 3, provides a theoretical foundation for the orbital asymptotic stability of the optimal periodic solution s^* .

6.1. Numerical stability verification

Our comprehensive numerical simulations in Section 7 provide empirical validation of the periodic control scheme's stability:

- Figures 1 and 2 demonstrate the consistent convergence to periodic solutions across different discretization levels.
- The sensitivity analysis in Figures 5–9 shows stable system behavior across wide parameter ranges.
- The convergence of multiple initial guesses to either the optimal periodic solution or washout state [2, Figure 2] confirms the existence of stable attractors.
- Figures 11 and 12 demonstrate the current numerical optimization method's robustness to initial guess variations, with perturbed initial conditions converging to the same optimal periodic solution, confirming the reliability of the FG-PS discretization combined with the edge-detection correction technique.

The small residuals in Figure 10 further validate that the numerical solution accurately satisfies the system dynamics, indicating numerical stability of the computational approach.

6.2. Practical stability implications for water treatment

The demonstrated stability of the periodic control scheme has important practical implications:

- (i) The system maintains stable operation despite inflow variations and measurement noise.
- (ii) The bang-bang control strategy remains effective across different microbial populations (characterized by different α values).
- (iii) Stable periodic solutions ensure consistent pollutant removal efficiency over time.

This stability analysis, combined with the local equilibrium stability results in Section C, provides a comprehensive understanding of the system's dynamic behavior under the proposed fractional-order periodic control strategy, ensuring its suitability for real-world water treatment applications.

7. Sensitivity analysis and numerical simulations

This section presents a detailed sensitivity analysis of the RFOCP to elucidate the influence of its key parameters on system performance. One of the primary objectives is to quantify how the fractional order α , the dynamic scaling parameter ϑ , and the memory length L affect the optimal periodic control strategy and the resulting average substrate concentration s_{av} . Understanding these relationships is crucial for translating the theoretical fractional-order framework into practical, tunable control strategies for biological water treatment. To this end, we systematically vary one parameter at a time, holding others constant at their baseline values to isolate its effect on the optimal solution.

To support our findings in this work, consider the test case of the RFOCP with the key parameters summarized in Table 1. Pseudospectral methods have been widely applied to solve FOCPs, offering adaptability to noninteger dynamics [37–39]. The FG-PS method used here is particularly suited for

periodic problems with sliding memory, as it uses Fourier expansions for global approximation while handling discontinuities via edge-detection corrections.

Table 1. Parameter values used in the numerical test problem.

Parameter	Value	Description
s_{in}	8 mg/L	Input substrate concentration
D_{min}	0.02 h ⁻¹	Minimum dilution rate
D_{max}	1.95 h ⁻¹	Maximum dilution rate
μ_{max}	2 h ⁻¹	Maximum growth rate
K	5	Saturation constant
Y	1	Yield coefficient
\bar{D}	0.5 h ⁻¹	Average dilution rate
T	15	Control period
α	0.85	Fractional order
L	5	Sliding memory length
ϑ	0.25h	Dynamic scaling parameter

We shall use this test case as a benchmark for analyzing the influence of CFDS memory effects on the performance of fractional-order periodic control strategies in biological water treatment. This test problem is particularly challenging due to the bang-bang nature of the optimal control, which introduces discontinuities and requires specialized edge-detection techniques for accurate resolution.

All numerical simulations were carried out using MATLAB R2023b, installed on a personal laptop equipped with an AMD Ryzen 7 4800H processor (2.9 GHz, 8 cores/16 threads), 16 GB of RAM, and running Windows 11. The numerical optimization was performed over the full admissible control space \mathcal{D} . No a priori assumption was made about the bang-bang structure of the control. Nevertheless, the optimized solutions consistently exhibited bang-bang behavior in all simulations, in alignment with the theoretical results derived from the Pontryagin's maximum principle analysis in Section 5. This numerical observation further validates the Hamiltonian-based conclusion that singular arcs cannot exist for the RFOCP, and the optimal control must switch between its extremal values. All numerical simulations were performed assuming the periodic boundary condition $s(0) = s(T) = \bar{s}$ holds. This constraint ensures that the substrate concentration (i.e., the pollutant level in wastewater treatment) at the start and end of each periodic cycle matches the steady-state concentration \bar{s} . Biologically, it implies that the microbial environment resets to a baseline state where the substrate-dependent specific growth rate satisfies $\nu(\bar{s}) = \bar{D}$, thereby balancing microbial growth and washout. This setup allows periodic variations in the dilution rate D to exploit dynamic microbial responses for improved performance, particularly in terms of average pollutant level reduction.

The parameter values in Table 1 are selected based on the Contois chemostat model for wastewater treatment [1], with adjustments for numerical demonstration and to satisfy theoretical requirements. For example, the given K and Y values in the table ensures $KY > 1$, which guarantees strict convexity of ν in Theorems 2 and 3. The condition $\bar{D} < \mu_{\text{max}}$ ensures the existence of a non-washout equilibrium, as required for positive biomass persistence in Contois models [2]. Meanwhile, $s_{\text{in}} = 8 \text{ mg/L}$ represents a feasible inlet concentration in lab-scale studies with synthetic wastewater [40]. The dilution rate bounds $D_{\text{min}} = 0.02 \text{ h}^{-1}$ and $D_{\text{max}} = 1.95 \text{ h}^{-1}$ span operational ranges feasible in laboratory bioreactors,

with D_{\max} chosen specifically to satisfy $\bar{D} < \mu_{\max}$ while approaching the maximum growth rate. The Contois saturation constant $K = 5$ (dimensionless) introduces a significant biomass inhibition effect, making the specific growth rate μ dependent on the biomass concentration x . This effectively models the crowding, diffusion limitations, and intensified competition for resources that occur in high-density microbial systems, providing a more realistic representation than noninhibitory models like Monod kinetics. The period $T = 15$ h corresponds to typical hydraulic retention cycles in lab-scale bioreactors and permits observation of multiple control switches within one cycle under bang-bang strategies [1, 40].

The fractional order $\alpha = 0.85$ is selected to reflect moderate microbial memory, consistent with empirical studies that interpret α as a quantitative index of memory strength in biological systems. Specifically, Du et al. [11] (2013) showed that the fractional order α can be estimated from time-series data via least-squares fitting and serves as an index of memory: In their framework, $\alpha \rightarrow 0$ corresponds to weak or short-term memory, whereas $\alpha \rightarrow 1$ reflects strong, persistent memory [11]. In contrast, under the CFDS operator adopted here, the effective direction of this index is reversed: $\alpha \rightarrow 0$ corresponds to stronger persistent memory (greater dynamical inertia), while $\alpha \rightarrow 1$ marks a transition toward memoryless classical behavior. In microbial growth modeling with fractional memory, empirical estimates of the memory parameter vary widely by organism and modeling framework. Amirian et al. [22] (2022) estimated memory parameters in the range $\alpha \in [0.046, 0.440]$ for phytoplankton species under nitrogen starvation, where lower α reflects stronger memory due to internal resource storage dynamics [22]. In contrast, Khalighi et al. [21] (2022) model ecological memory in gut microbial communities using fractional orders close to unity (e.g., $\alpha = 0.90\text{--}0.96$), interpreting memory strength as $1 - \alpha$, so that weak memory corresponds to $\alpha \approx 1$ [21]. Our choice of $\alpha = 0.85$ falls within a biologically plausible regime that balances numerical tractability with moderate memory effects, consistent with systems exhibiting non-negligible but not extreme historical dependence.

The memory length $L = 5$ h is chosen to reflect short-term microbial adaptation processes that unfold over minutes to several hours. Classic enzyme-induction experiments in *E. coli* [25] show rapid induction within minutes and population-level adjustment over about 3 h, while the anticipatory stress-response experiments of [27] demonstrate protective physiological states that persist across multi hour ecological transitions. Together with the fact that lab-scale bioreactors typically operate with hydraulic retention times of a few hours [24, 40], these observations justify selecting a memory window on the order of several hours. This finite window reflects the biological reality that microbial memory is local and finite, not infinite—an assumption explicitly supported by experimental microbiology and engineered bioprocess design [19, 31]. Motivated by the capability of fractional calculus to represent history-dependent processes and power-law decays in biological systems [11, 21, 22], this value of L ensures the model captures ecologically relevant temporal dynamics without overextending the memory horizon.

The scaling parameter $\vartheta = 0.25$ h ensures dimensional homogeneity in the fractional equations (balancing the unit of the CFDS) while modulating the system's dynamic response amplitude [2]. These parameter choices guarantee the existence of positive, bounded solutions (see Corollary 1) and enable the demonstration of up to 40% improvement in pollutant removal efficiency compared to steady-state operation, as we show later in this section.

We solved the RFOCP using the FG-PS method developed by [13, 14] for discretization, followed

by the application of MATLAB's fmincon solver to handle the resulting constrained nonlinear programming problem. The predicted optimal state and control values at a set of N equally spaced collocation points were subsequently corrected by incorporating an advanced edge-detection technique to refine the optimal control profile, based on the methodologies presented in [3, 41]. Finally, the corrected data were interpolated at another set of M equally spaced nodes within the interval $[0, T]$. Comprehensive technical details about the employed numerical methods are available in their original introductory papers [3, 13, 14, 41], and a brief description of our numerical approach for solving the problem is provided in Section G. The complete numerical solution process is systematically outlined in Section H, which details the step-by-step methodology from system discretization through optimization to final numerical solution construction.

Figure 1 illustrates the detailed time evolution of the optimal dilution rate D^* and the corresponding substrate and biomass concentrations, s^* and x^* , respectively, over a full control period under the proposed fractional-order periodic strategy. At the onset of the cycle, D^* follows a bang-bang control pattern with abrupt switches between its extremal values occurring near $t = 3.131$ h and $t = 14.41$ h, rounded to four significant digits. This switching behavior induces strong fluctuations in s^* and x^* .

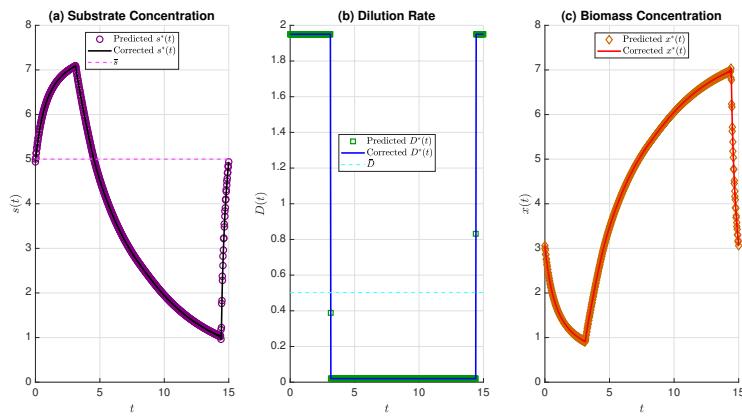


Figure 1. Time evolution (in hours) of (a) the periodic substrate concentration s^* , (b) the optimal periodic control D^* , and (c) the corresponding biomass concentration x^* of the RFOCP. The symbols show the predicted solution values obtained at $N = 300$ equally-spaced collocation points from the numerical optimization, while the corrected solution (solid lines) is computed using a reconstructed bang-bang control law with $M = 400$ interpolation points. Dashed lines indicate the average substrate concentration \bar{s} and average dilution rate \bar{D} , respectively.

Initially, the high dilution rate rapidly introduces fresh substrate, causing s^* to rise. However, x^* decreases sharply because the specific growth rate under Contois kinetics, given by Eq (3.2), becomes temporarily too small to compensate for the elevated outflow rate. To elaborate further, despite $D_{\max} = 1.95 \text{ h}^{-1} < 2 \text{ h}^{-1} = \mu_{\max}$, the effective growth rate $\mu(s^*, x^*)$ depends on the biomass concentration. For instance, at $t = 0$, where $x^*(0) = 3 \text{ mg/L}$ and $s^*(0) = 5 \text{ mg/L}$, we find that $Kx^* + s^* = 20$, yielding $\mu \approx 0.5 \text{ h}^{-1} \ll D_{\max}$. This mismatch causes the biomass to decline despite a theoretically sufficient maximum growth capacity.

As the control progresses, the dilution rate sharply decreases, limiting substrate inflow and enabling

microbial consumption to reduce s^* to nearly 1 mg/L, indicating substantial substrate depletion. This phase supports efficient pollutant degradation while avoiding substrate overload. Toward the end of the cycle, the control switches back to D_{\max} , which helps reintroduce substrate and drives x^* down from its earlier peak of nearly 7 mg/L to its initial value of 3 mg/L, thereby satisfying the periodic boundary condition.

Importantly, the optimal periodic control strategy results in a lower average substrate concentration of $s_{av} \approx 3.622$ mg/L, compared to the steady-state value $\bar{s} = 5$ mg/L, achieving a 27.56% improvement in pollutant removal efficiency. The incorporation of memory effects through fractional-order dynamics improves the system responsiveness by accounting for past states in the evolution of substrate and biomass concentrations. This nonlocal behavior leads to more robust control outcomes, improving stability and performance over time.

Figure 2 shows the trajectories of the approximate optimal periodic solutions obtained at $N = 400$ and $M = 500$. The plots appear visually indistinguishable from Figure 1, which were generated at $N = 300$ and $M = 400$. This strong agreement between solutions at different resolutions indicates that the numerical method has converged and is accurately resolving the system dynamics, including the sharp switching behavior of the bang-bang control.

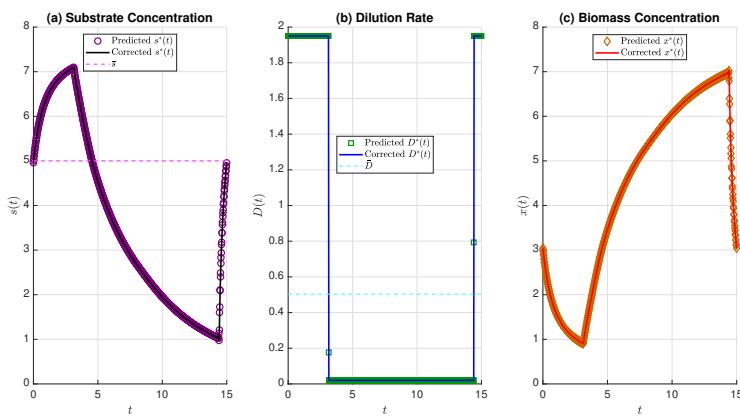


Figure 2. Time evolution (in hours) of (a) the periodic substrate concentration s^* , (b) the optimal periodic control D^* , and (c) the biomass concentration x^* of the RFOCP. The symbols show the predicted solution values obtained at $N = 400$ equally-spaced collocation points from the numerical optimization, while the corrected solution (solid lines) is computed using a reconstructed bang-bang control law with $M = 500$ interpolation points. Dashed lines indicate the average substrate concentration \bar{s} and average dilution rate \bar{D} , respectively.

To further validate the numerical convergence of the FG-PS method, we solved the RFOCP for several values of the collocation parameter $N \in \{100, 200, 300, 400\}$. The primary objective of this analysis was to examine the convergence behavior of the periodic substrate concentration s^* , the optimal periodic control D^* , and the corresponding optimal objective function value $J(D^*)$. For each value of N , the corrected numerical solutions were interpolated onto a common finer grid of $M = 500$ equispaced points to facilitate consistent comparison against a reference solution computed using $N = 400$. Figures 3 and 4 illustrate the convergence and accuracy characteristics of the method. Specifically, Figure 3 demonstrates the algebraic convergence of the periodic substrate

concentration s^* , as reflected by the decay in the L^2 -error norm with increasing N . Figure 4 presents the convergence behavior of the optimal objective function value $J(D^*)$, with the absolute error steadily decreasing as N increases. Remarkably, the switching times agree to full machine precision at about $t = 3.131$ h and $t = 14.41$ h, rounded to four significant digits, across all discretization levels ($N = 100, 200, 300, 400$), demonstrating perfect numerical reproduction of the control structure's temporal features, despite its discontinuous, bang-bang nature. These results confirm that the FG-PS method, equipped with the edge-detection correction technique, produces robust and accurate approximations of the state and control variables, as well as the associated performance index, even in the presence of nonsmooth control profiles. Furthermore, Figure 3 demonstrates an algebraic convergence decay in the L^2 -norm of the errors in s^* with respect to N . This behavior aligns with the expected reduction in global spectral convergence rates due to the discontinuities inherent in the bang-bang control D^* . The consistent reduction in the absolute error of the average substrate concentration s_{av}^* with increasing N further supports the reliability of the method in resolving the system dynamics and the sharp switching behavior of the optimal control.

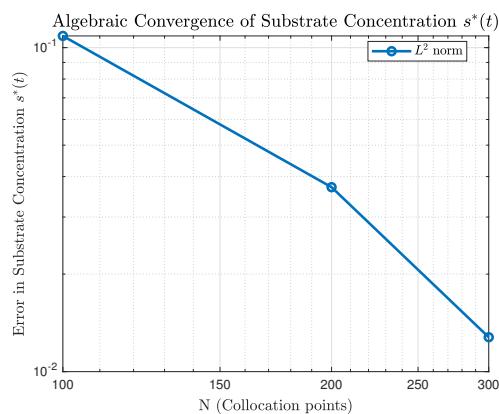


Figure 3. Algebraic convergence of the periodic substrate concentration s^* for the RFOCP. The plot shows the L^2 -error norm in s^* as a function of the number of collocation points N . The reference solution is computed at $N = 400$.

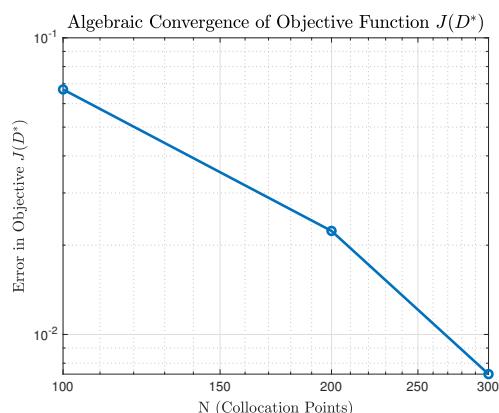


Figure 4. Algebraic convergence of the optimal objective function value $J(D^*)$ for the RFOCP. The absolute error in the computed objective value is shown as a function of the number of collocation points N , with the reference value taken at $N = 400$.

Figures 5 and 6 offer valuable insights into the behavior of the optimal control structure and its corresponding performance as the fractional order α varies. Figure 5 illustrates the switching times ξ_k of the optimal bang-bang control across different values of α in the fractional-order chemostat model. Each plotted symbol represents a distinct switching event, revealing how the number and location of switching points are sensitive to the memory effect introduced by the fractional-order dynamics. A detailed summary of the number and approximate locations of switches, along with the corresponding average substrate concentrations s_{av}^* , is provided in Table 2. The results in this table highlight that while the number of switches remains even, as guaranteed by the Pontryagin's maximum principle analysis, their frequency and positions vary nonlinearly with α , reflecting the nonlocal influence of historical states. A notable trend is observed here where lower values of the fractional order parameter α result in a higher number of control switches in the bang-bang control strategy. This increased switching frequency at lower α can be attributed to the stronger memory effect of the CFDS, which necessitates more frequent adjustments in the dilution rate D^* to maintain optimal substrate concentration. In other words, to counterbalance the inertia introduced by strong memory at low α , the optimal periodic control must respond more frequently, resulting in a higher number of switches to steer the system effectively within the constraints. Complementarily, Figure 6 depicts the average substrate concentration s_{av}^* achieved under the optimal control for varying α . Interestingly, s_{av}^* increases from $\alpha = 0.1$ to $\alpha = 0.3$, peaks at $\alpha = 0.3$, and then shows a monotonic decrease as α increases to 0.4, 0.5, 0.6, 0.7, 0.8, and 0.9. This observation indicates that the performance does not decrease uniformly with increasing α , and intermediate values such as $\alpha = 0.3$ may yield higher average substrate concentrations than expected. Moreover, the results manifest that for all tested fractional orders, there exist nonconstant periodic control strategies that outperform the corresponding steady-state solutions, yielding lower average substrate concentrations and improving pollutant removal efficiency. These findings confirm that tuning the fractional order serves as a powerful lever for improving system performance and that the effectiveness of periodic control relative to steady-state operation depends critically on the degree of memory in the system. Consequently, selecting microbial species with inherently low memory effects (i.e., high fractional order α close to 1) can significantly improve water quality, as such species respond more effectively to time-varying optimal control strategies.

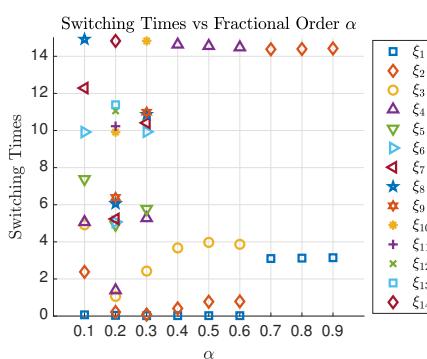


Figure 5. Switching times ξ_k (in hours) of the optimal bang-bang control as a function of the fractional order α in the fractional-order chemostat model, obtained using $N = 300$ and $M = 400$. All other parameter values were taken from Table 1. Each symbol corresponds to a different switching event, illustrating how the control structure changes with the order of the FD.

Table 2. Number and approximate locations of control switches for different values of α .

α	No. of Switches	Approximate Switching Times (ξ_k)	s_{av}^*
0.1	8	0.0676, 2.380, 4.917, 5.068, 7.380, 9.917, 12.29, 14.92	3.839
0.2	14	0.0375, 0.2177, 1.059, 1.389, 4.917, 5.068, 5.233, 6.059, 6.389, 9.917, 10.23, 11.06, 11.39, 14.83	3.980
0.3	10	0.0225, 0.0826, 2.425, 5.278, 5.773, 9.932, 10.41, 10.86, 10.98, 14.83	4.280
0.4	4	0.0225, 0.4129, 3.671, 14.63	4.237
0.5	4	0.0225, 0.7733, 3.971, 14.56	4.104
0.6	4	0.0225, 0.7883, 3.866, 14.48	4.023
0.7	2	3.101, 14.38	3.798
0.8	2	3.123, 14.39	3.687
0.9	2	3.146, 14.42	3.596

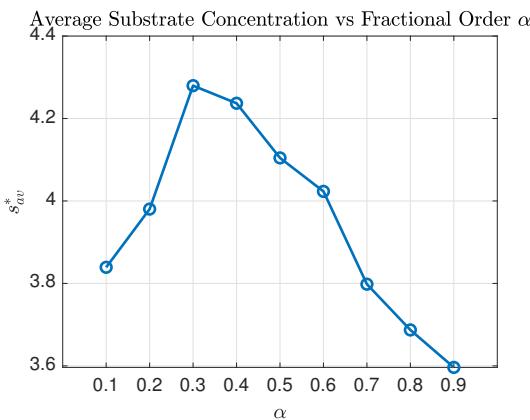


Figure 6. Sensitivity to fractional order α : Average substrate concentration s_{av}^* as a function of the fractional order α for the optimal control of the fractional-order chemostat model, obtained using $N = 300$ and $M = 400$. The plot is generated for $\alpha \in \{0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9\}$. All other parameter values were taken from Table 1. The results are obtained by solving the RFOCP for various values of α using the specified system and optimization parameters.

In Figure 7, the effect of the sliding memory length L on the average substrate concentration s_{av} is analyzed in the context of the RFOCP, where L exclusively influences the CFDS. The figure shows that as L increases from 0.5 to 1.5, s_{av} slightly increases, suggesting a mild degradation in performance when the memory window is too short to capture sufficient historical dynamics. Beyond $L = 1.5$, s_{av} declines consistently with increasing L , indicating improved pollutant removal efficiency as the CFDS incorporates a richer history of the system's state evolution. This trend continues until approximately $L = 10$, after which the curve flattens, implying that the marginal benefit of extending the memory window diminishes. In other words, beyond $L = 10$, the benefits plateau, suggesting a point of diminishing returns where extending the memory window no longer yields significant performance gains. Since L directly affects the memory range of the FD, this behavior highlights the importance of tuning L to balance the cost and accuracy of the approximate FD with the

benefits of nonlocal memory effects. Under the given data, moderate values of L (like around 10) are sufficient to exploit the memory structure effectively for optimal control performance.

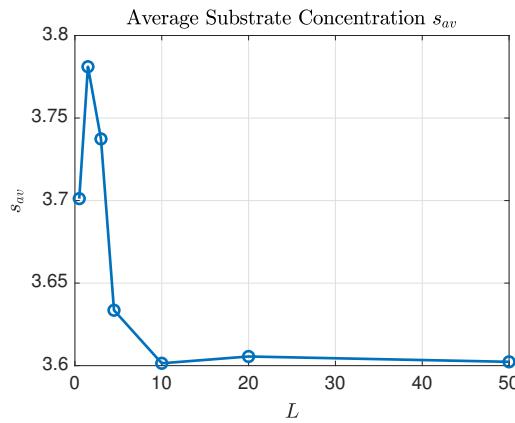


Figure 7. Sensitivity to memory length L : Dependence of the average substrate concentration s_{av} on the sliding memory length L for the RFOCP. The plot is generated for $L \in \{0.5, 1.5, 3, 4.5, 10, 20, 50\}$. All other parameter values were taken from Table 1.

Figure 8 illustrates the impact of the dynamic scaling parameter ϑ on the average substrate concentration s_{av} for biological water treatment. The plot shows a monotonic decrease in s_{av} as ϑ increases, reflecting the scaling effect of $\vartheta^{1-\alpha}$ on the right-hand side of the FDE (4.4) governing the chemostat dynamics. Larger ϑ values amplify the magnitude of the system dynamics, which improves the responsiveness of microbial activity to control inputs, leading to more effective pollutant degradation and lower s_{av} . Conversely, smaller ϑ values reduce the dynamic response, resulting in higher s_{av} due to less effective substrate consumption. This trend highlights the importance of tuning ϑ to optimize the system's dynamic response, complementing the role of the fractional order α , where higher α (weaker memory effects) further improves performance by reducing the influence of historical states, as shown in Figure 6. Notice that the reduction in the minimum average substrate concentration at $\vartheta = 32$, where $s_{av} \approx 3.001 \text{ mg/L}$ compared to steady-state operation $\bar{s} = 5 \text{ mg/L}$, is approximately 39.98%. This nearly 40% reduction is substantial and serves as persuasive evidence that fractional-order control with properly tuned parameters (here $\vartheta = 32$) can significantly outperform steady-state strategies.

Figure 9 shows how s_{av} varies with T in the range from 1 to 20 hours. We clearly see that s_{av} decreases as the control period T increases, reflecting improved pollutant removal efficiency in the bioprocess. This indicates that longer periodic cycles provide microorganisms sufficient time to adapt to changing environmental conditions and dilution regimes, thus improving substrate uptake. In contrast, shorter T values may not permit adequate synchronization between the dilution rate and the slower microbial growth responses governed by Contois kinetics, resulting in suboptimal pollutant degradation. Therefore, tuning T appropriately improves system responsiveness and biological efficiency, underscoring the importance of harmonizing periodic control inputs with the intrinsic adaptation timescales of microbial populations.

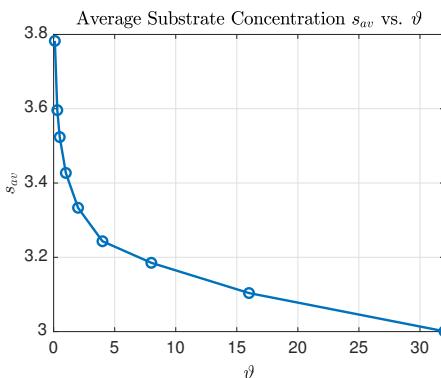


Figure 8. Sensitivity to scaling parameter ϑ : Average substrate concentration s_{av} as a function of the parameter ϑ . The plot is generated for $\vartheta \in \{0.1, 0.3, 0.5, 1, 2, 4, 8, 16, 32\}$. All other parameter values were sourced from Table 1.

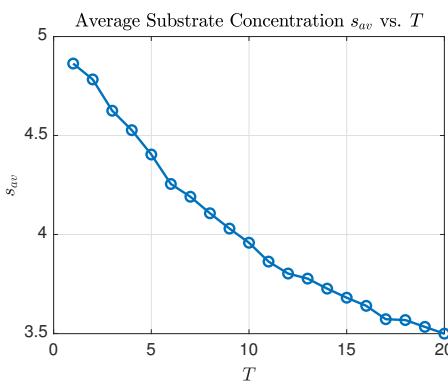


Figure 9. Sensitivity to time horizon T (in hours): Average substrate concentration s_{av} as a function of the time horizon T for $L = 4$. All other parameter values were sourced from Table 1.

Figure 10 displays the residuals associated with the FDEs governing the substrate and biomass concentrations in the 2D fractional-order chemostat system. The figure serves to validate the analytical expression (4.3) by independently solving the 2D fractional-order chemostat system. The consistently small residual values across the entire time interval confirm the high accuracy of the numerical approximations and support the validity of the substrate and biomass dynamics under the optimal dilution control strategy.

To further validate the robustness of our numerical approach, Figures 11 and 12 present the optimal periodic solutions obtained when the initial guesses for the state and control variables are perturbed by 0.1 and 0.2, respectively. Remarkably, both simulations converge to the same optimal solution, achieving an average substrate concentration of $s_{av} \approx 3.622$ mg/L. The resulting periodic substrate concentration, optimal periodic control, and biomass concentration profiles are visually identical to those shown earlier in Figure 1, demonstrating the numerical method's insensitivity to initial guess variations. This consistency confirms the reliability of the FG-PS discretization combined with the edge-detection correction technique. The method's robustness is particularly valuable for practical applications where precise initial conditions may not be known a priori, ensuring that the optimal

periodic control strategy can be reliably computed for real-world bioprocess optimization.

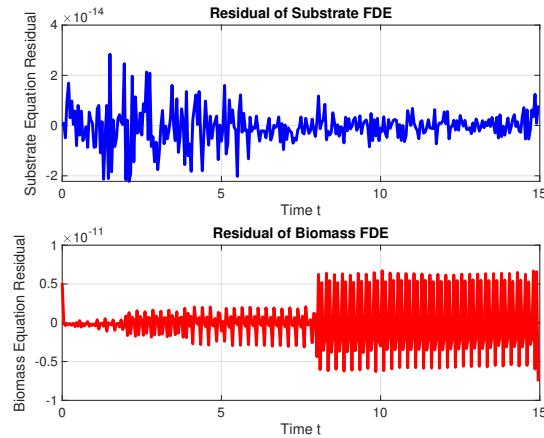


Figure 10. Residuals of the fractional chemostat model equations over time (in hours). *Top:* The residuals of the substrate concentration FDE, representing the difference between the computed CFDS and the model's right-hand side at collocation points. *Bottom:* the residuals of the biomass concentration FDE, computed similarly.

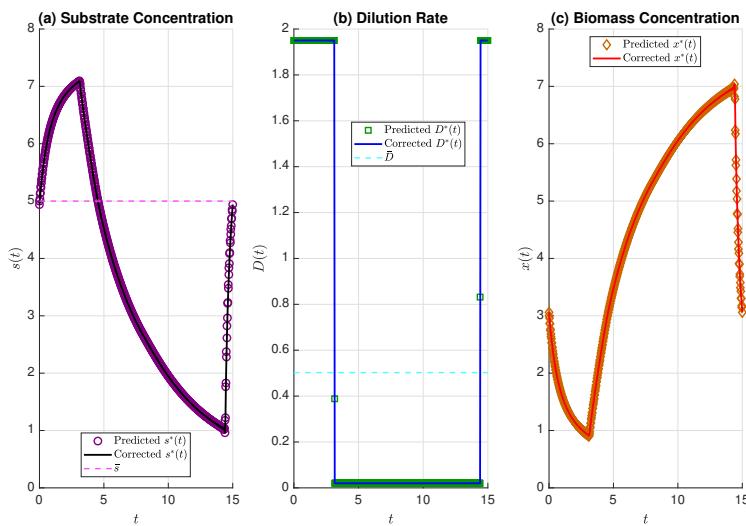


Figure 11. Time evolution (in hours) of (a) the periodic substrate concentration s^* , (b) the optimal periodic control D^* , and (c) the corresponding biomass concentration x^* of the RFOCP. The symbols show the predicted solution values obtained at $N = 300$ equally-spaced collocation points from the numerical optimization, where the initial solution value guesses have been perturbed by 0.1. The corrected solution (solid lines) is computed using a reconstructed bang-bang control law with $M = 400$ interpolation points. Dashed lines indicate the average substrate concentration \bar{s} and average dilution rate \bar{D} , respectively.

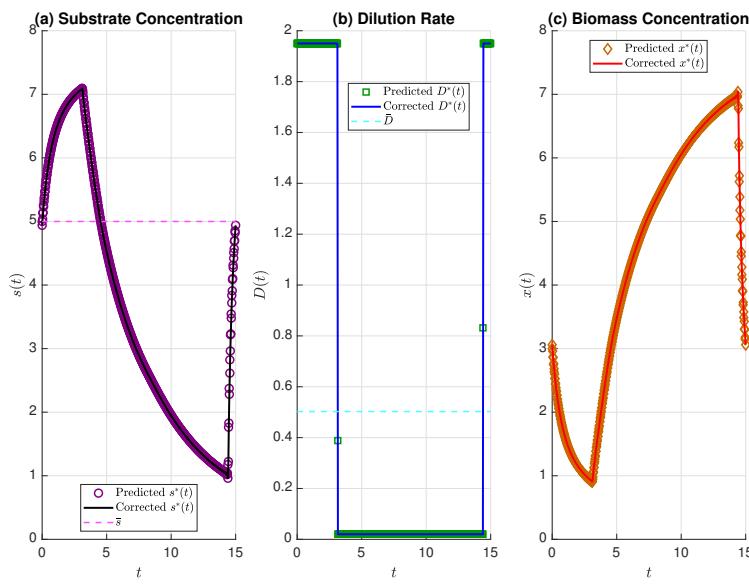


Figure 12. Time evolution (in hours) of (a) the periodic substrate concentration s^* , (b) the optimal periodic control D^* , and (c) the corresponding biomass concentration x^* of the RFOCP. The symbols show the predicted solution values obtained at $N = 300$ equally-spaced collocation points from the numerical optimization, where the initial solution value guesses have been perturbed by 0.2. The corrected solution (solid lines) is computed using a reconstructed bang-bang control law with $M = 400$ interpolation points. Dashed lines indicate the average substrate concentration \bar{s} and average dilution rate \bar{D} , respectively.

8. Discussion

This study has delved into the intricate dynamics of bioprocesses, specifically focusing on the role of fractional-order calculus in modeling microbial memory and its implications for optimal control strategies in chemostat systems. Our findings underscore the critical importance of the fractional-order parameter α , which serves as a quantitative metric for microbial adaptation latency and memory effects. A lower α signifies a microbial population with sluggish adaptive responses, characterized by a strong ‘long-term memory’ of past nutrient levels and environmental conditions. This biological inertia necessitates highly dynamic and frequent adjustments of the optimal dilution rate to maintain effective pollutant degradation and biomass stability, as evidenced by our numerical simulations in Section 7. Conversely, a higher α indicates a more agile microbial community that adapts rapidly to environmental fluctuations, allowing the optimal periodic control scheme to achieve efficient substrate removal with fewer interventions. This observed inverse relationship between α and the control switching frequency highlights a fundamental trade-off: A high switching frequency at low α acts as a compensatory mechanism for inherent biological sluggishness, while fewer switches at high α reflect a system that is intrinsically more responsive and requires minimal external intervention to sustain performance. These insights are crucial for designing robust and efficient bioprocesses, suggesting that systems populated by slow-adapting microorganisms demand more complex and energy-intensive control strategies. Conversely, the selection or engineering of faster-adapting strains (i.e., those

exhibiting higher α values) could significantly simplify control demands, leading to more cost-effective and streamlined system designs. The profound connection between the fractional-order α and control switching frequency strongly emphasizes the necessity of integrating memory effects into both the theoretical modeling and practical optimization of real-world bioprocesses.

Complementing the role of the fractional order α , our results reveal that the dynamic scaling parameter ϑ also plays a pivotal role in optimizing system behavior. Specifically, increasing ϑ intensifies the system's sensitivity to control inputs, accelerating pollutant degradation and enabling sharper, more effective bang-bang control responses. Numerical simulations confirm that properly tuned ϑ values can lead to reductions in average substrate concentration of up to 40%, far outperforming steady-state control strategies. Thus, ϑ serves as a critical design parameter in boosting the effectiveness of fractional-order control schemes.

Complementing these findings, our results indicate that the sliding memory length L plays an important role in utilizing historical system behavior to improve bioprocess performance. As L increases, the CFDS incorporates a broader temporal window, capturing persistent microbial dynamics and adaptation latency with greater accuracy. Numerical experiments show that moderate to large values of L consistently yield lower average substrate concentrations, improving pollutant removal efficiency. Careful tuning of L allows engineers to balance memory-driven responsiveness with practical implementation constraints, making it a key design parameter in fractional-order control systems.

Compared to existing integer-order methods (e.g., [1]), our fractional-order approach captures memory effects, leading to up to 40% better pollutant removal by accounting for historical states in microbial dynamics. Relative to other traditional fractional control schemes, the CFDS preserves periodicity, reduces computational burden (finite window vs. full history), and enables efficient pseudospectral discretization for periodic problems, improving accuracy and scalability for real-time water treatment applications.

While this study focuses on fractional-order deterministic modeling, it is valuable to contextualize our approach relative to other modern modeling frameworks. (i) Stochastic models: Unlike stochastic approaches that incorporate random fluctuations in microbial growth or environmental conditions [42], our fractional-order deterministic framework captures systematic memory effects through the CFDS. Stochastic models excel at quantifying uncertainty but may require extensive statistical data, whereas our approach provides a deterministic characterization of memory-driven dynamics that complements stochastic analysis. (ii) Hybrid systems: Hybrid models combining continuous dynamics with discrete events (see [43]) may capture operational switches in water treatment plants. Our fractional-order framework offers an alternative by modeling continuous memory effects without requiring explicit discrete state transitions, providing a different perspective on the system dynamics. (iii) Machine learning approaches: Data-driven methods like neural networks or reinforcement learning may capture complex patterns from operational data [44] but may lack interpretable physical and biological insights. Our model maintains biological interpretability through established microbial growth kinetics while sustaining them with fractional calculus, bridging first-principles modeling with data-informed memory effects. The fractional-order approach presented here particularly excels in capturing hereditary effects and long-range temporal dependencies, which are challenging to represent in conventional integer-order models and may require complex memory architectures in machine learning approaches.

The key steps and outcomes of the proposed framework are illustrated in the flowchart shown in Figure 13.

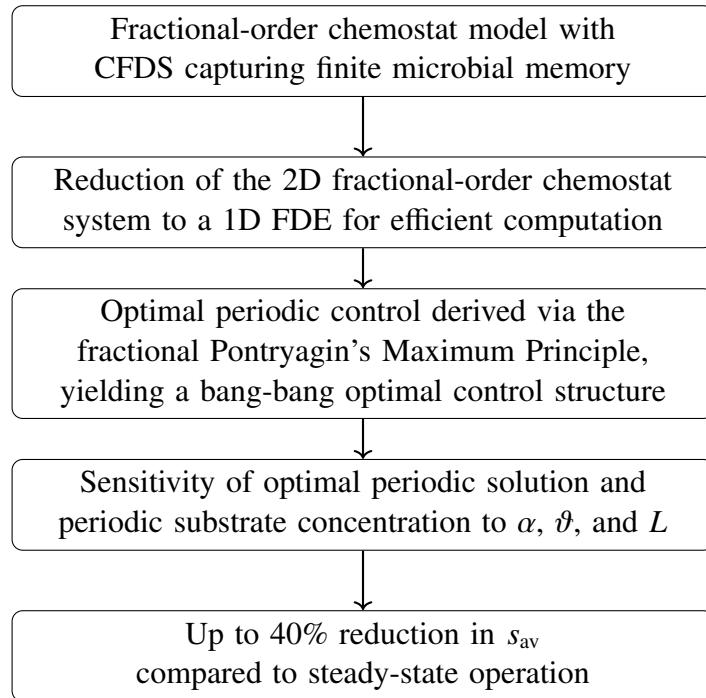


Figure 13. Compact flowchart summarizing the main conclusions of the study.

8.1. Practical implementation considerations

The bang–bang structure derived from the fractional Pontryagin’s maximum principle should be understood as an idealized characterization of the optimal operating regimes rather than as a prescription for instantaneous switching. In full-scale wastewater treatment facilities, dilution and flow adjustments are executed through variable-speed pumps and flow-control valves that inherently operate within bounded actuation ranges [45, 46]. Consequently, practical implementations approximate theoretically optimal profiles using piecewise-constant or smoothly ramped adjustments with limited switching frequency, consistent with standard supervisory control strategies in activated sludge systems. Revollar et al. [47] emphasize that open-loop, ON–OFF, and proportional–integral (PI) control loops are routinely employed in such systems; although these strategies involve discrete mode transitions, they incorporate actuator ramping and operational constraints. This supports our interpretation of the bang–bang solution as a structural guideline: It identifies intervals during which the system should operate near its lower or upper dilution limits, while real facilities implement smoothed or scheduled transitions rather than instantaneous switching.

A similar pattern is observed in aeration control. Amand et al. [48] report that full-scale activated sludge plants commonly employ intermittent and mode-switching aeration strategies for energy optimization, including alternating aeration, zone-based on/off control, and supervisory switching of blowers and valves. These approaches constitute established forms of switching control that are structurally analogous to the bang–bang profiles predicted by optimal control theory. Vrecko et

al. [49] further demonstrate the practical use of feedforward–feedback switching controllers that rely on piecewise-constant control actions rather than continuously varying inputs.

The theoretical foundation for such strategies is well established. Olsson et al. [45] provide a comprehensive overview of instrumentation, control, and automation in wastewater treatment, noting that supervisory control layers routinely coordinate multiple operating modes and rely on discrete transitions between process regimes (e.g., aeration, recirculation, and flow redistribution). The IWA benchmark simulation models [50, 51] offer a flexible evaluation framework that supports the implementation and assessment of switching-type strategies—including step-feed, step-recycling, and supervisory mode-switching controllers. Taken together, these industrial practices and modeling frameworks demonstrate that switching-type control is both common and operationally feasible. Thus, the bang–bang solution obtained from the fractional Pontryagin’s maximum principle provides a practically meaningful structural guideline for identifying optimal dilution regimes, while plant-friendly implementations can approximate this behavior using smoothed or scheduled transitions with well-documented precedent in real facilities [52, 53].

9. Conclusions

Beyond these fundamental insights, this work makes several significant contributions to bioprocess engineering and fractional calculus. (i) By adopting the fractional-order chemostat model with CFDS from [2] as the dynamical foundation of the RFOCP, we have developed a complete optimal control formulation for the system (3.1f) and (3.1g) subject to the treatment constraint (3.1b), the periodic boundary conditions (3.1h)–(3.1j), and the objective $J(D) = s_{av}$. This formulation integrates the CFDS dynamics (4.4) with the operational bounds (3.1c)–(3.1e) and extends periodic chemostat optimization to fractional-order systems, filling a gap in the existing literature. (ii) We have incorporated into the optimal control analysis the reduction of the 2D fractional system (3.1f) and (3.1g) to the 1D FDE (4.4) originally established in [2] via the transformation (4.1) and the identity (4.3) and have shown that it preserves all admissible T -periodic trajectories. This reduction is essential for deriving the fractional Pontryagin necessary conditions, proving the bang–bang structure of the optimal controls, and enabling efficient FG–PS discretization, which would be analytically and computationally intractable on the full 2D system. (iii) We have established the existence of optimal periodic solutions (s^*, D^*) for the RFOCP by exploiting compactness of the admissible sets, continuity of the control-to-state map, and Schauder-type fixed-point arguments. We have also provided conditions that ensure uniqueness under specific parameter regimes (e.g., $KY \neq 1$ together with the hypotheses of Theorem 3). These results strengthen the theoretical foundations of fractional optimal periodic control. (iv) By optimizing periodic dilution strategies in this fractional-order setting and exploiting the explicit finite-memory representation induced by ${}_L^{\text{MC}}D_t^\alpha(\cdot)$, this work provides actionable insights for enhancing water-treatment efficiency. (v) We have conducted a detailed sensitivity analysis demonstrating how the fractional order α , scaling parameter ϑ , and memory length L govern the structure of the optimal control and the resulting pollutant removal efficiency (Section 7).

In conclusion, this work represents a significant step towards a more nuanced understanding and effective control of bioprocesses by integrating the concept of microbial memory through fractional-order calculus. The theoretical advancements and practical implications presented herein lay a robust foundation for future research aimed at developing more sustainable and efficient biotechnological

solutions for environmental and industrial challenges. Future work could explore time-varying upper bounds on state and control functions to model dynamic constraints in real-world bioprocesses such as varying inlet concentrations or seasonal environmental factors, as well as extensions to multi-compartment systems and more general fractional optimal control frameworks. Additionally, chaos analysis could provide valuable insights into the nonlinear dynamics of fractional-order chemostat systems under extreme parameter regimes or external disturbances, potentially revealing bifurcation behaviors and chaotic regimes that may inform operational boundaries for practical implementations. Furthermore, one may explore time-varying fractional orders $\alpha(t)$ to model adaptive microbial memory effects, where the fractional order varies based on environmental conditions or operational phases.

Model validation, limitations, and future experimental work

While the proposed fractional-order chemostat model offers substantial theoretical and numerical advances in representing memory-driven bioprocess dynamics, we fully acknowledge the need for experimental validation. The present study is intentionally focused on developing the underlying fractional-order framework and demonstrating its capabilities through high-fidelity simulations. Model parameters are drawn from realistic ranges reported in the bioprocess and wastewater-engineering literature [1, 40] and adjusted where necessary to support numerical stability and theoretical analysis.

A natural next step is a companion experimental study in which the fractional-order chemostat model is evaluated against laboratory or pilot-scale data from an operating wastewater treatment system. Such a study would involve measuring inlet and outlet substrate concentrations, biomass density, and time-varying dilution-rate profiles, and comparing these observations with the model's predicted periodic trajectories. This empirical validation would provide critical support for the CFDS-based fractional formulation, particularly its ability to capture long-term memory, finite-horizon adaptation, and nonlocal microbial responses.

Aligning model predictions with real operational data—especially under periodic dilution regimes—would significantly strengthen the practical relevance of the proposed fractional optimal control strategies. Ultimately, experimental confirmation would reinforce the model's applicability to real wastewater treatment processes and its potential to guide the design of more efficient, memory-aware control policies for clean water production and ecosystem protection.

Author contributions

Kareem T. Elgindy: Conceptualization, methodology, formal analysis, software, investigation, visualization, writing original draft, project administration; Muneerah Al Nuwairan: Formal analysis, review & editing, funding acquisition; Liew Siaw Ching: Formal analysis, review & editing. All authors have read and approved the final version of the manuscript for publication.

Use of Generative-AI tools declaration

The authors declare they have not used Artificial Intelligence (AI) tools in the creation of this article.

Funding

This work was supported by the Deanship of Scientific Research, Vice Presidency for Graduate Studies and Scientific Research, King Faisal University, Saudi Arabia [Grant No. KFU260158].

Acknowledgments

The authors acknowledge the Deanship of Scientific Research at King Faisal University for the financial support.

Conflict of interest

The authors declare that they have no competing interests.

References

1. T. Bayen, A. Rapaport, F. Z. Tani, Improvement of performances of the chemostat used for continuous biological water treatment with periodic controls, *Automatica*, **121** (2020), 109199. <https://doi.org/10.1016/j.automatica.2020.109199>
2. K. T. Elgindy, Sustainable water treatment through fractional-order chemostat modeling with sliding memory and periodic boundary conditions: A mathematical framework for clean water and sanitation, *Fractal Fract.*, **10** (2026), 1–25. <https://doi.org/10.3390/fractfract10010004>
3. K. T. Elgindy, New optimal periodic control policy for the optimal periodic performance of a chemostat using a Fourier–Gegenbauer-based predictor-corrector method, *J. Process Contr.*, **127** (2023), 102995. <https://doi.org/10.1016/j.jprocont.2023.102995>
4. V. E. Tarasov, *Fractional dynamics: Applications of fractional calculus to dynamics of particles, fields and media*, New York: Springer Science & Business Media, 2011. <https://doi.org/10.1007/978-3-642-14003-7>
5. R. Magin, Fractional calculus in bioengineering, part 1, *Crit. Rev. Biomed. Eng.*, **32** (2004), 1–104. <https://doi.org/10.1615/CritRevBiomedEng.v32.i1.10>
6. R. Caponetto, G. Dongola, L. Fortuna, I. Petras, *Fractional order systems: Modeling and control applications*, New Jersey: World Scientific, **72** (2010). <https://doi.org/10.1142/7709>
7. V. V. Kulish, J. L. Lage, Application of fractional calculus to fluid mechanics, *J. Fluid. Eng.*, **124** (2002), 803–806. <https://doi.org/10.1115/1.1478062>
8. B. Ghanbari, H. Günerhan, H. Srivastava, An application of the Atangana-Baleanu fractional derivative in mathematical biology: A three-species predator-prey model, *Chaos Soliton. Fract.*, **138** (2020), 109910. <https://doi.org/10.1016/j.chaos.2020.109910>
9. A. Charkaoui, A. B. Loghfyry, A novel multi-frame image super-resolution model based on regularized nonlinear diffusion with Caputo time fractional derivative, *Commun. Nonlinear Sci.*, **139** (2024), 108280. <https://doi.org/10.1016/j.cnsns.2024.108280>

10. L. Loudahi, A. Ali, J. Yuan, J. Ahmad, L. G. Amin, Y. Wei, Fractal-fractional analysis of a water pollution model using fractional derivatives, *Fractal Fract.*, **9** (2025), 321. <https://doi.org/10.3390/fractfract9050321>

11. M. Du, Z. Wang, H. Hu, Measuring memory with the order of fractional derivative, *Sci. Rep.*, **3** (2013), 3431. <https://doi.org/10.1038/srep03431>

12. C. Ionescu, A. Lopes, D. Copot, J. T. Machado, J. H. Bates, The role of fractional calculus in modeling biological phenomena: A review, *Commun. Nonlinear Sci.*, **51** (2017), 141–159. <https://doi.org/10.1016/j.cnsns.2017.04.001>

13. K. T. Elgindy, Fourier–Gegenbauer pseudospectral method for solving periodic fractional optimal control problems, *Math. Comput. Simul.*, **225** (2024), 148–164. <https://doi.org/10.1016/j.matcom.2024.05.003>

14. K. T. Elgindy, Fourier–Gegenbauer pseudospectral method for solving periodic higher-order fractional optimal control problems, *Int. J. Comput. Meth.*, **21** (2024), 2450015. <https://doi.org/10.1142/S0219876224500154>

15. K. T. Elgindy, Fourier–Gegenbauer pseudospectral method for solving time-dependent one-dimensional fractional partial differential equations with variable coefficients and periodic solutions, *Math. Comput. Simul.*, **218** (2024), 544–555. <https://doi.org/10.1016/j.matcom.2023.11.034>

16. I. Podlubny, *Fractional differential equations: An introduction to fractional derivatives, fractional differential equations, to methods of their solution and some of their applications*, San Diego: Academic Press, **198** (1998).

17. A. Kilbas, Theory and applications of fractional differential equations, *North-Holland Math. Stud.*, **204** (2006).

18. S. Bourafa, M. S. Abdelouahab, R. Lozi, On periodic solutions of fractional-order differential systems with a fixed length of sliding memory, *J. Innov. Appl. Math. Comput. Sci.*, **1** (2021), 64–78. [10.58205/jiamcs.v1i1.6](https://doi.org/10.58205/jiamcs.v1i1.6)

19. C. S. Gokhale, S. Giaimo, P. Remigi, Memory shapes microbial populations, *PLoS Comput. Biol.*, **17** (2021), e1009431. <https://doi.org/10.1371/journal.pcbi.1009431>

20. R. F. Romm, N. Yedidi, O. Gefen, N. K. Nagar, L. Aroeti, I. Ronin, et al., Uncovering phenotypic inheritance from single cells with Microcolony-seq, *Cell*, **188** (2025), 5313–5331. <https://doi.org/10.1016/j.cell.2025.08.001>

21. M. Khalighi, G. S. Klein, D. Gonze, K. Faust, L. Lahti, Quantifying the impact of ecological memory on the dynamics of interacting communities, *PLoS Comput. Biol.*, **18** (2022), e1009396. <https://doi.org/10.1371/journal.pcbi.1009396>

22. M. M. Amirian, A. J. Irwin, Z. V. Finkel, Extending the monod model of microbial growth with memory, *Front. Mar. Sci.*, **9** (2022), 963734. <https://doi.org/10.3389/fmars.2022.963734>

23. K. P. Rumbaugh, K. Sauer, Biofilm dispersion, *Nat. Rev. Microbiol.*, **18** (2020), 571–586. <https://doi.org/10.1038/s41579-020-0385-0>

24. M. Raboni, V. Torretta, G. Urbini, Influence of strong diurnal variations in sewage quality on the performance of biological denitrification in small community wastewater treatment plants (WWTPs), *Sustainability*, **5** (2013), 3679–3689. <https://doi.org/10.3390/su5093679>

25. A. Novick, M. Weiner, Enzyme induction as an all-or-none phenomenon, *P. Natl. Acad. Sci. USA*, **43** (1957), 553–566. <https://doi.org/10.1073/pnas.43.7.553>

26. G. Lambert, E. Kussell, Memory and fitness optimization of bacteria under fluctuating environments, *PLoS Genet.*, **10** (2014), e1004556. <https://doi.org/10.1371/journal.pgen.1004556>

27. A. Mitchell, G. H. Romano, B. Groisman, A. Yona, E. Dekel, M. Kupiec, et al., Adaptive prediction of environmental changes by microorganisms, *Nature*, **460** (2009), 220–224. <https://doi.org/10.1038/nature08112>

28. M. B. Miller, B. L. Bassler, Quorum sensing in bacteria, *Annu. Rev. Microbiol.*, **55** (2001), 165–199. <https://doi.org/10.1146/annurev.micro.55.1.165>

29. S. Renes, Shaped by the past: Resilience and ecological memory in microbial communities, *Acta Univ. Agric. Sueciae*, 2021.

30. M. Vass, S. Langenheder, The legacy of the past: Effects of historical processes on microbial metacommunities, *Aquat. Microb. Ecol.*, **79** (2017), 13–19. <https://doi.org/10.3354/ame01816>

31. G. H. Chen, M. C. van Loosdrecht, G. A. Ekama, D. Brdjanovic, *Biological wastewater treatment: Principles, modeling and design*, London: IWA publishing, 2020. <https://doi.org/10.2166/9781789060362>

32. F. Clarke, *Functional analysis, calculus of variations and optimal control*, London: Springer, **264** (2013). <https://doi.org/10.1007/978-1-4471-4820-3>

33. N. Ahmed, S. Wang, *Optimal control: Existence theory*, In: Optimal Control of Dynamic Systems Driven by Vector Measures: Theory and Applications, Cham: Springer, 2021, 109–149. https://doi.org/10.1007/978-3-030-82139-5_4

34. D. Liberzon, *Calculus of variations and optimal control theory: A concise introduction*, Princeton: Princeton University Press, 2011.

35. O. P. Agrawal, A general formulation and solution scheme for fractional optimal control problems, *Nonlinear Dynam.*, **38** (2004), 323–337. <https://doi.org/10.1007/s11071-004-3764-6>

36. R. Kamocki, Pontryagin maximum principle for fractional ordinary optimal control problems, *Math. Method. Appl. Sci.*, **37** (2014), 1668–1686. <https://doi.org/10.1002/mma.2928>

37. M. Sahabi, A. Y. Cherati, Fractional pseudospectral schemes with applications to fractional optimal control problems, *J. Math.*, **2024** (2024), 9917116. <https://doi.org/10.1155/jom/9917116>

38. Y. Yang, M. N. Skandari, J. Zhang, A pseudospectral method for continuous-time nonlinear fractional programming, *Filomat*, **38** (2024), 1947–1961. <https://doi.org/10.2298/FIL2406947Y>

39. M. S. Ali, M. Shamsi, H. K. Arab, D. F. Torres, F. Bozorgnia, A space–time pseudospectral discretization method for solving diffusion optimal control problems with two-sided fractional derivatives, *J. Vib. Control*, **25** (2019), 1080–1095. <https://doi.org/10.1177/107754631881119>

40. C. L. Grady Jr, G. T. Daigger, N. G. Love, C. D. Filipe, *Biological wastewater treatment*, 3 Eds., New York: CRC press, 2011. <https://doi.org/10.1201/b13775>

41. K. T. Elgindy, Optimal periodic control of unmanned aerial vehicles based on Fourier integral pseudospectral and edge-detection methods, *Unmanned Syst.*, 2024, 1–19. <https://doi.org/10.1142/S230138502550075X>

42. P. W. Smeets, *Stochastic modelling of drinking water treatment in quantitative microbial risk assessment*, London: IWA Publishing, 2010.

43. R. Goebel, R. G. Sanfelice, A. R. Teel, *Hybrid dynamical systems: Modeling, stability, and robustness*, Princeton University Press, 2012.

44. M. Lowe, R. Qin, X. Mao, A review on machine learning, artificial intelligence, and smart technology in water treatment and monitoring, *Water*, **14** (2022), 1384. <https://doi.org/10.3390/w14091384>

45. G. Olsson, B. Newell, *Wastewater treatment systems: Modelling, diagnosis and control*, London: IWA Publishing, 2005.

46. K. V. Gernaey, M. C. van Loosdrecht, M. Henze, M. Lind, S. B. Jørgensen, Activated sludge wastewater treatment plant modelling and simulation: state of the art, *Environ. Modell. Softw.*, **19** (2004), 763–783. <https://doi.org/10.1016/j.envsoft.2003.03.005>

47. S. Revollar, R. Vilanova, P. Vega, M. Francisco, M. Meneses, Wastewater treatment plant operation: Simple control schemes with a holistic perspective, *Sustainability*, **12** (2020), 768. <https://doi.org/10.3390/su12030768>

48. L. Åmand, G. Olsson, B. Carlsson, Aeration control—a review, *Water Sci. Technol.*, **67** (2013), 2374–2398. <https://doi.org/10.2166/wst.2013.139>

49. D. Vrecko, N. Hvala, A. Stare, O. Burica, M. Strazdar, M. Levstek, Improvement of ammonia removal in activated sludge process with feedforward-feedback aeration controllers, *Water Sci. Technol.*, **53** (2006), 125–132. <https://doi.org/10.2166/wst.2006.098>

50. U. Jeppsson, M. N. Pons, The cost benchmark simulation model—current state and future perspective, *Control Eng. Pract.*, **12** (2004), 299–304. <https://doi.org/10.1016/j.conengprac.2003.07.001>

51. U. Jeppsson, M. N. Pons, I. Nopens, J. Alex, J. Copp, K. V. Gernaey, et al., Benchmark simulation model no. 2: general protocol and exploratory case studies, *Water Sci. Technol.*, **56** (2007), 67–78. <https://doi.org/10.2166/wst.2007.604>

52. I. Santín, C. Pedret, R. Vilanova, Applying variable dissolved oxygen set point in a two level hierarchical control structure to a wastewater treatment process, *J. Process Control*, **28** (2015), 40–55. <https://doi.org/10.1016/j.jprocont.2015.02.005>

53. J. Guerrero, A. Guisasola, J. A. Baeza, The nature of the carbon source rules the competition between PAO and denitrifiers in systems for simultaneous biological nitrogen and phosphorus removal, *Water Res.*, **45** (2011), 4793–4802. <https://doi.org/10.1016/j.watres.2011.06.019>

54. H. K. Khalil, J. W. Grizzle, *Nonlinear systems*, 3 Eds., Upper Saddle River: Prentice Hall, 2002.

55. C. Li, F. Zhang, A survey on the stability of fractional differential equations, *Eur. Phys. J.-Spec. Top.*, **193** (2011), 27–47. <https://doi.org/10.1140/epjst/e2011-01379-1>

56. J. Guckenheimer, P. Holmes, *Nonlinear oscillations, dynamical systems, and bifurcations of vector fields*, New York: Springer Science & Business Media, **42** (2013). <https://doi.org/10.1007/978-1-4612-1140-2>

Appendix

A. Lemma on the integral of the CFDS

Lemma 1. *Let $s \in AC_T$. Then, for any period $T > 0$, memory length $L > 0$, and fractional order $\alpha \in (0, 1]$, the integral of the CFDS over one period vanishes:*

$$\int_0^T {}_L^{MC}D_t^\alpha s(t) dt = 0. \quad (\text{A.1})$$

Proof. We consider two cases:

Case 1. $\alpha \in (0, 1)$. Since s is T -periodic and absolutely continuous, its derivative s' exists almost everywhere, is Lebesgue integrable, and is also T -periodic. The CFDS is given by

$${}_L^{MC}D_t^\alpha s(t) = \frac{1}{\Gamma(1-\alpha)} \int_{t-L}^t (t-\tau)^{-\alpha} s'(\tau) d\tau.$$

Substitute $u = t - \tau$:

$$\int_{t-L}^t (t-\tau)^{-\alpha} s'(\tau) d\tau = \int_0^L u^{-\alpha} s'(t-u) du.$$

If $t - u < 0$, we exploit the periodicity of s' : For any $u \in [0, L]$, there exists an integer k such that $t - u + kT \in [0, T]$, and thus $s'(t - u) = s'(t - u + kT)$. Now, interchange the integrals:

$$\int_0^T \int_0^L u^{-\alpha} s'(t-u) du dt = \int_0^L u^{-\alpha} \left(\int_0^T s'(t-u) dt \right) du.$$

The inner integral evaluates to

$$\int_0^T s'(t-u) dt = s(T-u) - s(-u) = 0,$$

where the last equality follows from s being T -periodic. Thus, the original integral vanishes.

Case 2. $\alpha = 1$. The CFDS reduces to the ordinary derivative:

$${}_L^{MC}D_t^1 s(t) = s'(t),$$

and the integral becomes

$$\int_0^T s'(t) dt = s(T) - s(0) = 0,$$

again by periodicity. This completes the proof. \square

To further verify Lemma 1 numerically, we computed $\int_0^T {}_L^{\text{MC}}D_t^\alpha s(t) dt$ numerically for the test problem studied in Section 7 using $N = 300$ and the data in Table 1. The approximation was based on [3, Formula (4.7)]:

$$\int_0^T {}_L^{\text{MC}}D_t^\alpha s(t) dt \approx \frac{T}{N} \sum_{i=0}^{N-1} {}_L^{\text{MC}}D_{t_i}^\alpha s(t),$$

where t_0, t_1, \dots, t_{N-1} are the collocation points. The computed value was about 2.055×10^{-16} , which aligns perfectly with Lemma 1, and confirms that the integral of the CFDS over one period vanishes as theoretically predicted.

Remark 3. *The vanishing of the integral of the CFDS over one period for periodic absolutely continuous functions is analogous to the classical result for standard derivatives, where $\int_0^T s'(t) dt = 0$ for any T -periodic differentiable function s . However, this property does not generally hold for other FD definitions. For instance, the Riemann-Liouville FD of periodic functions typically does not satisfy this zero-integral property due to its distinct kernel and nonlocal memory properties, which differ from those of the CFDS and do not preserve periodicity in the same way. Similarly, the classical Caputo FD, while sharing the same kernel as the CFDS, differs in its integration domain, using a fixed initial point rather than the sliding memory window of the CFDS. This difference in integration domains disrupts the zero-integral property for periodic functions in the classical Caputo case, whereas the CFDS's sliding memory aligns with periodicity to maintain this property.*

B. Lemma on state convexity

Lemma 2. *(Nonconvexity of state trajectories) Let $KY \neq 1$, and suppose that s_1 and s_2 are two distinct periodic solutions of the FDE (4.4) corresponding to distinct controls D_1 and D_2 in \mathcal{D} , respectively. Then for any $\lambda \in (0, 1)$, the convex combination $s_\lambda = \lambda s_1 + (1 - \lambda) s_2$ cannot be a solution of (4.4), corresponding to $D_\lambda = \lambda D_1 + (1 - \lambda) D_2$.*

Proof. We integrate the FDE (4.4) over $[0, T]$. By Lemma 1,

$$\int_0^T {}_L^{\text{MC}}D_t^\alpha s(t) dt = 0,$$

so

$$\int_0^T [D(t) - \nu(s(t))] (s_{\text{in}} - s(t)) dt = 0,$$

implying the “integral balance equation”:

$$[D(s_{\text{in}} - s)]_{\text{av}} = [\nu(s)(s_{\text{in}} - s)]_{\text{av}}. \quad (\text{B.1})$$

For the steady-state, this simplifies into

$$\bar{D}(s_{\text{in}} - \bar{s}) = \nu(\bar{s})(s_{\text{in}} - \bar{s}). \quad (\text{B.2})$$

Substitute s_1 and s_2 into the integral identity (B.1):

$$\int_0^T \nu(s_i(t))(s_{\text{in}} - s_i(t)) dt = \int_0^T D_i(t)(s_{\text{in}} - s_i(t)) dt, \quad i = 1, 2. \quad (\text{B.3})$$

Assume, for contradiction, that $s_\lambda(t) = \lambda s_1(t) + (1 - \lambda)s_2(t)$ for some $\lambda \in (0, 1)$. From the dynamics and the convex combination of controls, the following must hold:

$$\begin{aligned} \int_0^T \nu(s_\lambda(t))(s_{\text{in}} - s_\lambda(t)) dt &= \int_0^T D_\lambda(t)(s_{\text{in}} - s_\lambda(t)) dt \\ &= \lambda \int_0^T D_1(t)(s_{\text{in}} - s_\lambda(t)) dt + (1 - \lambda) \int_0^T D_2(t)(s_{\text{in}} - s_\lambda(t)) dt. \end{aligned} \quad (\text{B.4})$$

The condition $KY \neq 1$ ensures ν is strictly convex/concave on $[0, s_{\text{in}}]$, as

$$\nu''(s) = \frac{2KY\mu_{\max}s_{\text{in}}(KY - 1)}{[KY(s_{\text{in}} - s) + s]^3} \neq 0.$$

So, by Jensen's inequality and the assumption that $s_1(t) \neq s_2(t)$ on a set of positive measure, we have

$$\nu(s_\lambda(t)) \neq \lambda\nu(s_1(t)) + (1 - \lambda)\nu(s_2(t)) \quad \text{for almost everywhere } t.$$

Multiplying both sides by $s_{\text{in}} - s_\lambda(t) > 0$ and integrating, taking into account identities (B.3), gives

$$\begin{aligned} \int_0^T \nu(s_\lambda(t))(s_{\text{in}} - s_\lambda(t)) dt &\neq \lambda \int_0^T \nu(s_1(t))(s_{\text{in}} - s_\lambda(t)) dt + (1 - \lambda) \int_0^T \nu(s_2(t))(s_{\text{in}} - s_\lambda(t)) dt \\ &= \lambda \int_0^T D_1(t)(s_{\text{in}} - s_\lambda(t)) dt + (1 - \lambda) \int_0^T D_2(t)(s_{\text{in}} - s_\lambda(t)) dt \\ &= \int_0^T D_\lambda(t)(s_{\text{in}} - s_\lambda(t)) dt, \end{aligned}$$

which contradicts identity (B.4). Hence, s_λ cannot be a solution corresponding to D_λ . \square

C. Local stability of the equilibrium

Lemma 3. *Let $\alpha \in (0, 1)$ and $L > 0$, and consider the following FDE:*

$${}_L^{\text{MC}}D_t^\alpha z(t) = -kz(t), \quad k > 0.$$

Then, the solution to this FDE can be expressed in the exponential form $z(t) = z(0)e^{-\lambda t}$ for some $\lambda > 0$.

Proof. Substituting $z(t) = z(0)e^{-\lambda t}$ into the definition of the CFDS yields

$${}_L^{\text{MC}}D_t^\alpha z(t) = \frac{1}{\Gamma(1 - \alpha)} \int_{t-L}^t (t - \tau)^{-\alpha} (-\lambda z(0)e^{-\lambda \tau}) d\tau.$$

Changing variables via $u = t - \tau$ gives

$${}_L^{\text{MC}}D_t^\alpha z(t) = -\frac{\lambda z(0)e^{-\lambda t}}{\Gamma(1 - \alpha)} \int_0^L u^{-\alpha} e^{\lambda u} du.$$

Equating this with the right-hand side of the differential equation,

$$-kz(t) = -kz(0)e^{-\lambda t},$$

yields

$$\lambda \int_0^L u^{-\alpha} e^{\lambda u} du = k \Gamma(1 - \alpha). \quad (\text{C.1})$$

Since $k \Gamma(1 - \alpha) > 0$, any solution λ to Eq (C.1) must be strictly positive. Now, it remains to verify whether Eq (C.1) admits a solution or not. To this end, define

$$\psi(\lambda) := \lambda \int_0^L u^{-\alpha} e^{\lambda u} du,$$

so Eq (C.1) reads as

$$\psi(\lambda) = k \Gamma(1 - \alpha). \quad (\text{C.2})$$

Notice that ψ is continuous for all $\lambda \in \mathbb{R}$ since the integrand is continuous in both $u \in (0, L]$ and λ . When $\lambda > 0$ increases, $e^{\lambda u}$ increases, so ψ is strictly increasing on $(0, \infty)$. Also,

$$\lim_{\lambda \rightarrow 0^+} \psi(\lambda) = 0, \quad \lim_{\lambda \rightarrow \infty} \psi(\lambda) = \infty,$$

because $e^{\lambda u}$ dominates the integral. Since ψ is continuous, strictly increasing, and spans the interval $(0, \infty)$, Eq (C.2) has a unique solution $\lambda > 0$ for any given $k > 0$, $\alpha \in (0, 1)$, and $L > 0$ by the intermediate value theorem. \square

Theorem 4. *Consider the fractional-order chemostat system governed by the FDE (4.4) with $\bar{D} < \mu_{\max}$ being the constant dilution rate. Let \bar{s} given by (4.7) be the equilibrium satisfying $\nu(\bar{s}) = \bar{D}$. Then the equilibrium \bar{s} is locally asymptotically stable, with perturbations $z(t) = s(t) - \bar{s}$ decaying as $z(t) \sim e^{-\lambda t}$ for some $\lambda > 0$. Furthermore, for the initial condition $s(0) = s_0^- < \bar{s}$, the solution s approaches \bar{s} monotonically from below, does not reach \bar{s} in finite time, and cannot satisfy the periodic boundary condition (3.1h) for any $T > 0$. Similarly, for $s(0) = s_0^+ > \bar{s}$, s approaches \bar{s} monotonically from above, does not reach \bar{s} in finite time, and cannot satisfy (3.1h) for any $T > 0$.*

Proof. To analyze the local stability of \bar{s} , we linearize the FDE (4.4) around the equilibrium. Define the perturbation $z(t) = s(t) - \bar{s}$. Since \bar{s} is constant, ${}_{\bar{L}}^{\text{MC}} D_t^\alpha s(t) = {}_{\bar{L}}^{\text{MC}} D_t^\alpha z(t)$. Define f as

$$f(s(t)) = [\bar{D} - \nu(s(t))](s_{\text{in}} - s(t)).$$

Expand $\nu(s(t)) = \nu(\bar{s} + z(t))$ around \bar{s} :

$$\nu(s(t)) \approx \nu(\bar{s}) + \nu'(\bar{s})z(t).$$

Since $\nu(\bar{s}) = \bar{D}$, we have

$$\bar{D} - \nu(s(t)) \approx -\nu'(\bar{s})z(t),$$

so

$$f(s(t)) \approx [-\nu'(\bar{s})z(t)][(s_{\text{in}} - \bar{s}) - z(t)] \approx -\nu'(\bar{s})(s_{\text{in}} - \bar{s})z(t),$$

neglecting higher-order terms. Thus, the linearized FDE is

$${}_{\bar{L}}^{\text{MC}} D_t^\alpha z(t) = -kz(t), \quad k = \vartheta^{1-\alpha} \nu'(\bar{s})(s_{\text{in}} - \bar{s}) > 0,$$

with the solution

$$z(t) = z(0)e^{-\lambda t},$$

for some $\lambda > 0$, by Lemma 3. Now, consider the two cases for the initial condition:

Case 1. $s(0) = s_0^- < \bar{s}$. Here, $z(0) = s_0^- - \bar{s} < 0$, so $z(t) < 0$, and $s(t) = \bar{s} + z(t) < \bar{s}$. Since

$$\nu'(s) = \frac{KY\mu_{\max}s_{\text{in}}}{(KY(s_{\text{in}} - s) + s)^2} > 0,$$

then ν is strictly increasing on $s([0, T])$. Therefore, $\nu(s(t)) < \nu(\bar{s}) = \bar{D}$, so $\bar{D} - \nu(s(t)) > 0$, implying ${}_L^{\text{MC}}D_t^\alpha s(t) > 0$. This shows that s is monotonically increasing toward \bar{s} . The decay $|z(t)| \sim |z(0)|e^{-\lambda t}$ ensures $z(t) \neq 0$ for finite t , so $s(t) \neq \bar{s}$. For periodicity, (3.1h) requires $s(T) = s(0)$:

$$s(T) = \bar{s} + (s_0^- - \bar{s})e^{-\lambda t}.$$

Since $e^{-\lambda t} \in (0, 1)$ and $s_0^- - \bar{s} < 0$, $|z(T)| = |s_0^- - \bar{s}|e^{-\lambda t} < |s_0^- - \bar{s}| = |z(0)|$, so $z(T) = (s_0^- - \bar{s})e^{-\lambda t} > s_0^- - \bar{s} = z(0)$, implying $s(T) > s_0^-$ and violating $s(T) = s(0)$.

Case 2. $s(0) = s_0^+ > \bar{s}$. Here, $z(0) = s_0^+ - \bar{s} > 0$, so $z(t) > 0$, and $s(t) = \bar{s} + z(t) > \bar{s}$. Since $\nu(s(t)) > \nu(\bar{s}) = \bar{D}$, then $\bar{D} - \nu(s(t)) < 0$, so we have ${}_L^{\text{MC}}D_t^\alpha s(t) < 0$, implying $s(t)$ is monotonically decreasing toward \bar{s} . The decay $z(t) \sim z(0)e^{-\lambda t}$ ensures $z(t) \neq 0$ for finite t , so $s(t) \neq \bar{s}$. For periodicity,

$$s(T) = \bar{s} + (s_0^+ - \bar{s})e^{-\lambda t}.$$

Since $e^{-\lambda t} \in (0, 1)$, $s(T) < s_0^+$, violating $s(T) = s(0)$.

In both cases, $|z(t)| \rightarrow 0$ as $t \rightarrow \infty$, confirming \bar{s} is locally asymptotically stable. The exponential decay $e^{-\lambda t}$ prevents $s(t)$ from reaching \bar{s} in finite time, and monotonicity prevents periodicity unless $s(0) = \bar{s}$, where $s(t) \equiv \bar{s}$. \square

D. Orbital stability of the optimal periodic solution

Although Theorem 4 establishes local asymptotic stability of equilibria under constant dilution rates, the optimal periodic control D^* derived in Section 5 is nonconstant and bang-bang, switching abruptly between D_{\min} and D_{\max} at finite times ξ_k , $k = 1, \dots, 2m$, for some $m \in \mathbb{N}$. This structure implies that between switching events, the system evolves under constant dilution rate dynamics. On each interval $[\xi_k, \xi_{k+1})$, the RFOCP dynamics reduces to

$${}_L^{\text{MC}}D_t^\alpha s(t) = \vartheta^{1-\alpha}[D_i - \nu(s(t))](s_{\text{in}} - s(t)), \quad D_i \in \{D_{\min}, D_{\max}\}. \quad (\text{D.1})$$

Let \bar{s}_i satisfy $\nu(\bar{s}_i) = D_i$. Then, Theorem 4 guarantees that perturbations $z(t) = s(t) - \bar{s}_i$ decay exponentially:

$$|z(t)| \leq Ce^{-\lambda t}, \quad \lambda > 0, \quad (\text{D.2})$$

on each segment, for some λ depending on α , L , and system parameters.

However, the optimal periodic solution s^* is not an equilibrium—it is a T -periodic trajectory that transiently approaches or departs from \bar{s}_i in a controlled manner. To analyze stability of $s^*(t)$, consider a perturbed trajectory $s(t; s_0)$ with initial condition $s(0) = s^*(0) + \delta$: $|\delta|$ small, evolving under the same

switching control $D^*(t)$, and define the perturbation $z(t) = s(t; s_0) - s^*(t)$. On each constant-control segment, z satisfies the linearized FDE:

$${}_L^{\text{MC}}D_t^\alpha z(t) = \frac{\partial \mathcal{F}}{\partial s}(t, s^*(t)) \cdot z(t) + O(|z|^2), \quad (\text{D.3})$$

where

$$\mathcal{F}(t, s) = \vartheta^{1-\alpha}[D^*(t) - \nu(s)](s_{\text{in}} - s). \quad (\text{D.4})$$

The linear coefficient is negative due to local stability of \bar{s}_i and convexity of ν (for $KY > 1$), ensuring contraction: $|z(t)|$ decreases on each segment.

Now, define the Poincaré map as

$$\mathcal{P} : s(0) \mapsto s(T; s(0)),$$

where $s(t; s_0)$ is the solution of the RFOCP dynamics:

$${}_L^{\text{MC}}D_t^\alpha s(t) = \vartheta^{1-\alpha}[D^*(t) - \nu(s(t))](s_{\text{in}} - s(t)),$$

with fixed optimal periodic control $D^*(t)$ (bang-bang, T -periodic) and initial condition $s(0) = s_0$. Since the optimal periodic solution $s^*(t)$ satisfies $s^*(t+T) = s^*(t)$ for all t , it follows that

$$s^*(T) = s^*(0).$$

By the definition of the solution flow under $D^*(t)$,

$$s^*(T) = s(T; s^*(0)).$$

Thus,

$$\mathcal{P}(s^*(0)) = s^*(0),$$

so $s^*(0)$ is a fixed point of \mathcal{P} . We now prove that \mathcal{P} is a contraction mapping in a neighborhood of $s^*(0)$, which implies orbital asymptotic stability of the optimal periodic solution s^* .

Proof of contraction mapping

The optimal control $D^*(t)$ is bang-bang, switching between D_{\min} and D_{\max} at finitely many times $0 \leq \xi_1 < \xi_2 < \dots < \xi_{2m} < T$, with $\xi_{2m+1} := T + \xi_1$, and $D^* \equiv D_k \in \{D_{\min}, D_{\max}\}$ on each interval $I_k := [\xi_k, \xi_{k+1})$, $k = 1, \dots, 2m$. Let $\Delta t_k := \xi_{k+1} - \xi_k > 0$, so $\sum_{k=1}^{2m} \Delta t_k = T$. On each I_k , the system evolves under constant dilution rate D_k , and has the local equilibrium

$$\bar{s}_k \in (0, s_{\text{in}}) : \quad \nu(\bar{s}_k) = D_k.$$

By Theorem 4, perturbations around \bar{s}_k decay exponentially:

$$|z(t)| \leq C_k e^{-\lambda_k(t-\xi_k)}, \quad t \in I_k,$$

for any trajectory starting near \bar{s}_k , with

$$\lambda_k = \lambda(\alpha, L, \vartheta, \nu'(\bar{s}_k), s_{\text{in}} - \bar{s}_k) > 0,$$

and $C_k > 0$ (a constant depending only on the system parameters and the segment length). Consider now the two initial conditions:

(i) $s_1(0) = s^*(0)$, where s_1 evolves to $s_1(T) = s^*(T) = s^*(0)$.
(ii) $s_2(0) = s^*(0) + \delta$, where s_2 evolves to $s_2(T) = \mathcal{P}(s^*(0) + \delta)$.

Define the error trajectory:

$$z(t) := s_2(t) - s_1(t) = s(t; s^*(0) + \delta) - s^*(t) : \quad z(0) = \delta.$$

On I_k , both $s_1(t) = s^*(t)$ and $s_2(t)$ evolve under the same constant control D_k . The error $z(t)$ satisfies the linearized FDE (D.3) with \mathcal{F} as defined by (D.4). Since $s^*(t) \in (0, s_{in})$ for all $t \in [0, T]$, the periodic reference trajectory lies strictly inside the open interval $(0, s_{in})$, and thus its image $\{s^*(t) : t \in [0, T]\}$ is contained in the compact interval $[0, s_{in}]$. Moreover, on each sub-interval I_k , the optimal control is constant: $D^*(t) \equiv D_k$. Finally, the kinetic function $v \in C^2([0, s_{in}])$. Under these assumptions, a bound on $\partial\mathcal{F}/\partial s$ evaluated along the reference trajectory can be established by the following theorem:

Theorem 5. *Let s^* be a continuous T -periodic solution of the system (4.4) with $v \in C^2([0, s_{in}])$, $\alpha \in (0, 1)$, and $\vartheta > 0$. For each subinterval $I_k \subset [0, T]$ with length $\Delta t_k > 0$, let*

$$\bar{s}_k := \frac{1}{\Delta t_k} \int_{I_k} s^*(t) dt,$$

be the temporal average of $s^*(t)$ over I_k . Then,

$$\left| \frac{\partial \mathcal{F}}{\partial s} (t, s^*(t)) + v'(\bar{s}_k)(s_{in} - \bar{s}_k) \right| \leq M_k \|s^*(t) - \bar{s}_k\|_\infty, \quad \forall t \in I_k,$$

where

$$M_k := \sup_{s \in [0, s_{in}]} |v''(s)| < \infty,$$

and

$$\|s^* - \bar{s}_k\|_\infty := \sup_{t \in I_k} |s^*(t) - \bar{s}_k|.$$

Proof. Evaluating the partial derivative of \mathcal{F} with respect to s along the reference trajectory s^* gives

$$\frac{\partial \mathcal{F}}{\partial s} (t, s^*(t)) = -\vartheta^{1-\alpha} [v'(s^*(t))(s_{in} - s^*(t)) + D(t) - v(s^*(t))].$$

On each subinterval $I_k = [\xi_k, \xi_{k+1}]$, approximate $s^*(t) \approx \bar{s}_k$ and $D(t) \approx D_k$, and define

$$\kappa_k := v'(\bar{s}_k)(s_{in} - \bar{s}_k) + D_k - v(\bar{s}_k) > 0,$$

so that the linearization error is $\frac{\partial \mathcal{F}}{\partial s} (t, s^*(t)) + \vartheta^{1-\alpha} \kappa_k$. Define the auxiliary function as

$$h(t, s) := v'(s)(s_{in} - s) + D(t) - v(s),$$

so the error becomes

$$\vartheta^{1-\alpha} (h(t, \bar{s}_k) - h(t, s^*(t))).$$

Assume $D \in C([0, T])$ and $v \in C^2([0, s_{\text{in}}])$. Then,

$$\frac{\partial h}{\partial s}(t, s) = v''(s)(s_{\text{in}} - s) - 2v'(s).$$

Thus,

$$\left| \frac{\partial h}{\partial s}(t, s) \right| \leq M_k s_{\text{in}} + 2 \sup_{s \in [0, s_{\text{in}}]} |v'(s)| =: C_k < \infty,$$

uniformly on I_k . By the mean value theorem, for each $t \in I_k$, there exists ξ_t between $s^*(t)$ and \bar{s}_k such that

$$h(t, s^*(t)) - h(t, \bar{s}_k) = \frac{\partial h}{\partial s}(t, \xi_t)(s^*(t) - \bar{s}_k).$$

Thus,

$$|h(t, s^*(t)) - h(t, \bar{s}_k)| \leq C_k |s^*(t) - \bar{s}_k| \leq C_k \|s^* - \bar{s}_k\|_{L^\infty(I_k)}.$$

Therefore,

$$\left| \frac{\partial \mathcal{F}}{\partial s}(t, s^*(t)) + \vartheta^{1-\alpha} \kappa_k \right| \leq \vartheta^{1-\alpha} C_k \|s^* - \bar{s}_k\|_{L^\infty(I_k)},$$

where the uniform deviation

$$\|s^* - \bar{s}_k\|_{L^\infty(I_k)} := \sup_{t \in I_k} |s^*(t) - \bar{s}_k|$$

is finite since s^* is continuous on the compact interval I_k . Over all $2m$ subintervals,

$$\max_{k=1, \dots, 2m} \|s^* - \bar{s}_k\|_{L^\infty(I_k)}$$

is bounded by a constant depending only on the reference orbit s^* , independent of perturbations. We can absorb $\vartheta^{1-\alpha} C_k$ and this maximum deviation into a new bounded constant M_k (independent of t and perturbations) to obtain

$$\left| \frac{\partial \mathcal{F}}{\partial s}(t, s^*(t)) + \vartheta^{1-\alpha} \kappa_k \right| \leq M_k \|z\|_{L^\infty(I_k)},$$

for $z(t) = s(t) - s^*(t)$. Thus, for sufficiently small $\|z\|$, the nonlinear perturbation is negligible, and

$${}_L^{\text{MC}} D_t^\alpha z(t) \approx -\vartheta^{1-\alpha} \kappa_k z(t), \quad \kappa_k > 0.$$

By Lemma 3, the solution satisfies

$$|z(t)| \leq |z(\xi_k)| e^{-\lambda_k(t-\xi_k)}, \quad t \in I_k,$$

with $\lambda_k > 0$ such that $e^{-\lambda_k \Delta t_k} < 1$. Since $|z(0)| = |\delta|$, we have, after segment I_1 (at $t = \xi_2$),

$$|z(\xi_2)| \leq |z(\xi_1)| e^{-\lambda_1 \Delta t_1} \leq |\delta| e^{-\lambda_1 \Delta t_1}.$$

After segment I_2 (at $t = \xi_3$), we have

$$|z(\xi_3)| \leq |z(\xi_2)| e^{-\lambda_2 \Delta t_2} \leq |\delta| e^{-\lambda_1 \Delta t_1 - \lambda_2 \Delta t_2}.$$

After all $2m$ segments (at $t = T$):

$$|z(T)| \leq |\delta| \prod_{k=1}^{2m} e^{-\lambda_k \Delta t_k} = \rho |\delta|,$$

where

$$\rho := \exp\left(-\sum_{k=1}^{2m} \lambda_k \Delta t_k\right) < 1,$$

since $\lambda_k, \Delta t_k > 0$. Thus,

$$|\mathcal{P}(s^*(0) + \delta) - s^*(T)| = |z(T)| \leq \rho |\delta|.$$

Apply \mathcal{P} iteratively, and define the sequence of perturbations $(\delta_n)_{n=0}^{\infty}$, where each δ_n represents the deviation from the optimal periodic orbit after n complete periods:

$$\begin{aligned} \delta_0 &:= \delta, \\ \delta_1 &:= \mathcal{P}(s^*(0) + \delta) - s^*(T), \\ &\vdots \\ \delta_{n+1} &:= \mathcal{P}^{n+1}(s^*(0) + \delta) - s^*(T) = \mathcal{P}(\mathcal{P}^n(s^*(0) + \delta)) - s^*(T), \end{aligned}$$

for $n \geq 0$. Then,

$$|\delta_n| \leq \rho^n |\delta| \rightarrow 0, \quad \text{as } n \rightarrow \infty,$$

since $\rho < 1$. This shows that any trajectory starting sufficiently close to the optimal periodic solution at $t = 0$ returns closer to the orbit after each period T and converges to the periodic orbit as $n \rightarrow \infty$. This establishes orbital asymptotic stability of the optimal periodic solution s^* . \square

For a comprehensive exposition on stability analysis of fractional differential equations, Poincaré map analysis, and contraction arguments, the reader may consult [54–56].

Remark 4. Numerical observations (Figure 5) suggest that lower α values, corresponding to stronger memory effects, may be associated with increased damping characteristics that require more frequent control switching to overcome system inertia. While Lemma 3 guarantees the existence of a positive damping coefficient λ_k for each $\alpha \in (0, 1)$, the precise functional relationship between α and λ_k remains an open analytical question, though our simulations indicate that stronger memory generally improves system robustness at the cost of requiring more aggressive control intervention.

E. Perturbation analysis

This section analyzes the relationship between v_{av} and the average dilution rate D_{av} for a T -periodic solution s of the FDE (4.4) when $\alpha \in (0, 1)$ and $s(t) \in [0, s_{\text{in}}]$. We use a perturbation approach around the steady-state to show that $v_{\text{av}} < D_{\text{av}}$ is only possible for nonconstant solutions with small perturbations when $KY < 1$.

Consider the steady-state where $D(t) = \bar{D} = v(\bar{s})$, $s(t) = \bar{s}$. Perturb the control and state variables as

$$D_{\varepsilon}(t) = \bar{D} + \varepsilon v(t) : v_{\text{av}} = 0, \quad s_{\varepsilon}(t) = \bar{s} + \varepsilon z(t),$$

where $\varepsilon > 0$ is sufficiently small, and both v and z are T -periodic. The FDE becomes

$${}_L^{\text{MC}}D_t^\alpha(\bar{s} + \varepsilon z(t)) = \vartheta^{1-\alpha}[\bar{D} + \varepsilon v(t) - v(\bar{s} + \varepsilon z(t))](s_{\text{in}} - \bar{s} - \varepsilon z(t)).$$

Since ${}_L^{\text{MC}}D_t^\alpha \bar{s} = 0$ and $v(\bar{s}) = \bar{D}$, expand $v(s)$:

$$v(\bar{s} + \varepsilon z(t)) \approx v(\bar{s}) + \varepsilon v'(\bar{s})z(t) + \frac{\varepsilon^2}{2}v''(\bar{s})z^2(t).$$

For the linear approximation, neglect $O(\varepsilon^2)$ terms:

$$\varepsilon {}_L^{\text{MC}}D_t^\alpha z(t) \approx \vartheta^{1-\alpha}[\varepsilon v(t) - \varepsilon v'(\bar{s})z(t)](s_{\text{in}} - \bar{s}).$$

Integrate over $[0, T]$, noting that $\int_0^T {}_L^{\text{MC}}D_t^\alpha z(t) dt = 0$ by Lemma 1:

$$0 = \vartheta^{1-\alpha}\varepsilon(s_{\text{in}} - \bar{s}) \int_0^T [v(t) - v'(\bar{s})z(t)] dt. \quad (\text{E.1})$$

Since $v_{\text{av}} = 0$, Eq (E.1) implies

$$-v'(\bar{s}) \int_0^T z(t) dt = 0 \implies z_{\text{av}} = 0,$$

since $v' > 0$. Compute the difference:

$$D_\varepsilon(t) - v(s_\varepsilon(t)) \approx \varepsilon v(t) - \varepsilon v'(\bar{s})z(t).$$

Therefore,

$$D_{\varepsilon, \text{av}} - [v(s_\varepsilon)]_{\text{av}} = \frac{1}{T} \int_0^T [D_\varepsilon(t) - v(s_\varepsilon(t))] dt \approx \varepsilon v_{\text{av}} - \varepsilon v'(\bar{s})z_{\text{av}} = 0.$$

Thus, to first order, $D_{\varepsilon, \text{av}} \approx [v(s_\varepsilon)]_{\text{av}}$. Include the second-order term:

$$D_\varepsilon(t) - v(s_\varepsilon(t)) \approx \varepsilon v(t) - \varepsilon v'(\bar{s})z(t) - \frac{\varepsilon^2}{2}v''(\bar{s})z^2(t).$$

Integrate:

$$D_{\varepsilon, \text{av}} - [v(s_\varepsilon)]_{\text{av}} \approx -\frac{\varepsilon^2}{2}v''(\bar{s})\left(z^2\right)_{\text{av}}.$$

If $KY < 1$, the function v is strictly concave on the interval $s \in [0, s_{\text{in}}]$, implying that $v''(\bar{s}) < 0$. For a nonconstant function z , it follows that $\left(z^2\right)_{\text{av}} > 0$. Consequently,

$$D_{\varepsilon, \text{av}} - [v(s_\varepsilon)]_{\text{av}} > 0,$$

which implies that

$$[v(s_\varepsilon)]_{\text{av}} < D_{\varepsilon, \text{av}} = \bar{D}. \quad (\text{E.2})$$

Conversely, if $KY \geq 1$, the function v is convex on $s \in [0, s_{\text{in}}]$, such that $v''(\bar{s}) \geq 0$, with strict inequality when $KY > 1$. Therefore,

$$D_{\varepsilon, \text{av}} - [v(s_\varepsilon)]_{\text{av}} \leq 0,$$

indicating that

$$[v(s_\varepsilon)]_{\text{av}} \geq D_{\varepsilon, \text{av}} = \bar{D}, \quad (\text{E.3})$$

with equality holding when $KY = 1$.

This perturbation analysis demonstrates that, for small, nonconstant perturbations around the steady state, the average value of v over one cycle is less than the average dilution rate \bar{D} if and only if $KY < 1$.

Remark 5. *The above second-order perturbation analysis describes the behavior for small-amplitude periodic perturbations around the steady state. For large-amplitude periodic solutions—such as the bang-bang controls typically arising in the optimal solution—higher-order nonlinear effects can reverse the sign of the improvement, allowing $s_{\text{av}} < \bar{s}$ even when $KY > 1$, as confirmed by the numerical results in Section 7.*

F. Derivation of the right-sided CFDS

This appendix presents the formulation and justification of the right-sided CFDS of order $0 < \alpha < 1$, denoted by ${}_{L+}^{\text{MC}}D_t^\alpha f$, which is particularly useful for modeling forward-looking memory effects in fractional-order dynamical systems. The right-sided CFDS is the forward-time analog of the left-sided CFDS ${}_{L-}^{\text{MC}}D_t^\alpha f$ used mainly in this paper.

Let $L > 0$, and suppose $f \in W_{\text{loc}}^{1,1}([t, t+L])$. The right-sided CFDS is defined as

$${}_{L+}^{\text{MC}}D_t^\alpha f := -\frac{1}{\Gamma(1-\alpha)} \int_t^{t+L} \frac{f'(\tau)}{(\tau-t)^\alpha} d\tau. \quad (\text{F.1})$$

This operator is well-defined almost everywhere, since the kernel $(\tau-t)^{-\alpha} \in L^q(t, t+L)$ for all $q < 1/\alpha$, and the integrability of $f' \in L_{\text{loc}}^1([t, t+L])$ ensures the convergence of the integral. This operator definition is the finite-memory version of the classical right-sided Caputo FD of f on the interval $[t, b]$, given by

$${}^C D_{b-}^\alpha f(t) := -\frac{1}{\Gamma(1-\alpha)} \int_t^b \frac{f'(\tau)}{(\tau-t)^\alpha} d\tau, \quad (\text{F.2})$$

by replacing the upper limit b with $t+L$. Notice that as $L \rightarrow b-t$, the sliding memory version recovers the classical right-sided Caputo FD:

$$\lim_{L \rightarrow b-t} {}_{L+}^{\text{MC}}D_t^\alpha f(t) = {}^C D_t^\alpha f(t). \quad (\text{F.3})$$

Moreover, as $\alpha \rightarrow 1^-$, we recover the classical first-order derivative with a negative sign:

$$\lim_{\alpha \rightarrow 1^-} {}_{L+}^{\text{MC}}D_t^\alpha f(t) = -f'(t). \quad (\text{F.4})$$

G. Numerical optimization techniques for solving the RFOCP

The continuous RFOCP is transformed into a finite-dimensional nonlinear programming problem through discretization using the FG-PS method [13, 14]. This method is particularly well-suited for problems with periodic solutions. The time domain $[0, T]$ is discretized into N equispaced collocation points $t_j = jT/N$ for $j = 0, \dots, N-1$. Collocation points can be chosen from various

distributions (e.g., Chebyshev, Legendre, or equispaced). Here, equispaced points are selected due to their compatibility with Fourier expansions in the FG-PS method, enabling efficient fast Fourier transform-based computations and natural handling of periodic boundary conditions. The state variables and control inputs are approximated by their values at these collocation points. The FD term is handled using a FG-PS-based integration matrix, which is precomputed. This matrix transforms the FDE (4.4) into a system of algebraic equations. The discretized RFOCP is solved as a constrained nonlinear programming problem. The objective function to be minimized is the average substrate concentration s_{av} , which is directly computed from the discretized substrate values. The constraints include the dynamic equations of the system, the average dilution rate constraint, and the bounds on the state and control variables. The MATLAB `fmincon` function is employed as the optimization solver, configured to use the sqp algorithm. This choice is motivated by sqp's effectiveness in solving constrained nonlinear optimization problems, particularly when the objective function is continuous and the formulation includes general nonlinear constraints and bound constraints. In this context, it provides high accuracy and robust constraint satisfaction, making it well-suited for the discretized RFOCP.

G.1. Edge-detection control correction

After obtaining a predicted optimal control profile from `fmincon`, the MATLAB code applies an edge-detection method to refine the control, particularly for bang-bang type controls which are characterized by abrupt switches between their minimum and maximum values. This correction is crucial because numerical optimization methods, especially those based on pseudospectral collocation, can introduce Gibbs phenomenon artifacts around discontinuities, leading to poor representations of true bang-bang controls. The method employed here is based on the principles outlined in [3] and further upgraded in [41].

The core idea of the edge-detection method is to accurately identify the switching points in the optimal control signal and then reconstruct a bang-bang control based on these detected points. This approach exploits the fact that the Gibbs phenomenon, while a numerical artifact, provides a strong indicator of the location of discontinuities through its characteristic overshoots and undershoots. As stated in [41], quoting [3]:

‘While Gibbs phenomenon is generally considered a demon that needs to be cast out, we shall demonstrate later that it is rather ‘a blessing’, in view of the current work, that can be constructively used to set up a robust adaptive algorithm. In particular, the over- and undershoots developed near a discontinuity in the event of a Gibbs phenomenon provide an excellent means of detecting one’.

The MATLAB code implements this correction in the following steps:

Step 1. The predicted optimal control, D^* , is used to compute its Fourier coefficients, which capture the global spectral information of D , including potential jump discontinuities.

Step 2. An edge-detection solver is invoked to estimate the discontinuity locations and reconstruct an approximate bang-bang control. This function analyzes the Fourier interpolant constructed from the coefficients and evaluates where significant changes in the pseudospectral profile occur.

Step 3. Based on the estimated discontinuities and the approximated control, a corrected bang-bang control D^* is generated. This reconstruction effectively eliminates Gibbs oscillations and yields a physically meaningful bang-bang structure.

Step 4. The reconstructed optimal control values at the same collocation set of N equispaced is then used as inputs to compute the corrected substrate concentration s^* by solving the discretized FDE (4.4) using MATLAB's `fsolve` solver, with the predicted substrate concentration values provided as initial guesses, closely following the predictor-corrector framework in [3].

This two-stage approach—predicted pseudospectral optimization followed by edge detection and reconstruction—yields a robust and accurate method for solving the RFOCP that admits bang-bang solutions. It addresses the deficiencies of conventional pseudospectral methods in resolving discontinuities.

Remark 6. *Unlike the correction stage in the Fourier-Gegenbauer predictor-corrector method developed in [3], which requires collocation at shifted Gegenbauer-Gauss points to enable the use of barycentric shifted Gegenbauer quadratures, the present approach offers greater flexibility. Specifically, the current correction stage permits collocation at the same equally spaced points used in the prediction stage, as the FG-PS method can approximate the CFDS at those equispaced collocation points within the solution domain. In contrast, the use of shifted Gegenbauer quadratures in [3] confines integration to the solution values at the shifted Gegenbauer-Gauss nodes.*

H. Solution algorithm

The following algorithm provides a comprehensive framework for solving the fractional-order optimal control problem, beginning with the original 2D system and proceeding through numerical optimization.

Algorithm 1 Solution algorithm for the fractional-order chemostat system

Require: Original 2D fractional-order chemostat system: eqs (3.1f)–(3.1g) with constraints (3.1b)–(3.1e), (3.1h)–(3.1j).

Ensure: System parameters satisfy existence conditions (Theorem 1).

Phase 1: System reduction.

- 1: Apply Transformation (4.1).
- 2: Derive the 1D FDE (4.2).
- 3: Obtain the biomass relation (4.3).
- 4: Reduce to the 1D RFOCP dynamics (4.4).

Phase 2: Numerical solution.

- 5: Discretize the time domain $[0, T]$ into N equispaced collocation points.
- 6: Approximate the CFDS using FG-PS integration matrix.
- 7: Formulate and solve the constrained nonlinear programming using `fmincon` with SQP algorithm.
- 8: Apply edge-detection correction for bang-bang control reconstruction.
- 9: Interpolate solutions to M points for high-resolution visualization.
- 10: **return** Optimal solution (s^*, x^*, D^*) and performance metric $J(D^*)$.



AIMS Press

© 2026 the Author(s), licensee AIMS Press. This is an open access article distributed under the terms of the Creative Commons Attribution License (<https://creativecommons.org/licenses/by/4.0>)