



Research article

The existence of sign-changing solutions for Schrödinger-Kirchhoff problems in \mathbb{R}^3

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Abstract: In this paper, we consider the following Kirchhoff-type equation:

$$-\left(a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx\right) \Delta u + u = |u|^{p-1} u, \quad \text{in } \mathbb{R}^3,$$

where $a, b > 0$, $p \in (1, 5)$. By considering a minimization problem on a special constraint set, we prove that the above problem has at least one sign-changing solution for any $p \in (1, 5)$. Our results (especially $p \in (1, 3]$) can be regarded as an improvement on the existing results.

Keywords: Kirchhoff type equation; sign-changing solution; constraint variational method; Pohožaev identity

Mathematics Subject Classification: 35J20, 35J60

1. Introduction

In this paper, we study the existence of sign-changing solution to the following Kirchhoff equation by using a direct method

$$-\left(a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx\right) \Delta u + u = |u|^{p-1} u, \quad \text{in } \mathbb{R}^3, \tag{1.1}$$

where $a, b > 0$, $p \in (1, 5)$. In recent years, problem (1.1) has been extensively researched by many mathematicians. Therefore, there are a large number of results for the existence of nontrivial solutions, positive solutions, ground state solutions, sign-changing solutions, nodal solutions for problem (1.1). Please see [1–6] and the references therein. It is worth noting that Chen, Fu and Wu [4] established the existence of a positive ground state solution to problem (1.1) for any $b > 0$ and $p \in (1, 5)$. However, there is a question: whether problem (1.1) has sign-changing solutions for any $p \in (1, 5)$?

Recently, Wang, Zhang and Cheng [7] established the existence results of sign-changing solutions to the following problem

$$-\left(a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx\right) \Delta u + V(x)u = f(u), \quad \text{in } \mathbb{R}^3, \quad (1.2)$$

where $f(t)$ satisfies the following crucial conditions:

(f1) $\lim_{t \rightarrow \infty} \frac{F(t)}{t^4} = \infty$, where $F(t) = \int_0^t f(s)ds$;

(f2) $\frac{f(t)}{t^3}$ is nondecreasing for $|t| > 0$.

Obviously, when $p \in (1, 3]$, $f(t) = |t|^{p-1}t$ does not satisfy (f1) and (f2). Qian [8] researched the existence of a ground state sign-changing solution to the following problem

$$\begin{cases} -(a - \lambda \int_{\Omega} |\nabla u|^2 dx) \Delta u = |u|^{q-2}u, & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \quad (1.3)$$

where a is a positive constant, $q \in (2, 2^*)$ ($2^* = +\infty$ for $N = 1, 2$, $2^* = \frac{2N}{N-2}$ for $N \geq 3$), $\Omega \subset \mathbb{R}^3$ is a bounded domain and $\lambda > 0$ is a parameter. They mainly obtained that problem (1.3) has at least one sign-changing solution for small enough λ , thanks to truncated technique and constraint variational method. Besides, some similar problems have also been extensively researched. For more relevant results, please refer to [9, 10] and the references therein.

Motivated by the above mentioned results, our result is given in the following.

Theorem 1.1 For any $a, b > 0$ and $p \in (1, 5)$, problem (1.1) has at least one sign-changing solution.

Remark 1.2 When $p \in (3, 5)$, the existence of one sign-changing solution to (1.1) is obtained by [7]. But when $p \in (1, 3]$, it is difficult to prove the existence of sign-changing solutions. The main difficulty lies in proving the functional of problem (1.1) satisfies (PS)-conditions. To overcome this difficulty, we will apply some new tricks. Moreover, $f(t) \triangleq |t|^{p-1}t$ does not satisfy (f1)-(f2) when $p \in (1, 3]$. We must point out that our result holds for any $b > 0$. Therefore, our result can be seen as an improvement and extension of [7, 8]. Our result can also extent to more general $f(u)$.

In this paper, we shall work on the space

$$E = H_r^1(\mathbb{R}^3) \triangleq \{u \in H^1(\mathbb{R}^3) : u(|x|) = u(x)\}$$

with the inner product and norm

$$\langle u, v \rangle = \int_{\mathbb{R}^3} (a \nabla u \nabla v + uv) dx, \quad \|u\| = \langle u, u \rangle^{\frac{1}{2}}.$$

$L^q(\mathbb{R}^3)$ ($1 \leq q < \infty$) denotes Lebesgue space with norm $\|u\|_q = \left(\int_{\mathbb{R}^3} |u|^q dx\right)^{1/q}$. It is well known that the weak solution of problem (1.1) corresponds to the critical point of

$$I(u) = \frac{1}{2} \int_{\mathbb{R}^3} (a |\nabla u|^2 dx + |u|^2) dx + \frac{b}{4} \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2 - \frac{1}{p+1} \int_{\mathbb{R}^3} |u|^{p+1} dx. \quad (1.4)$$

Clearly, $I \in C^1(E, \mathbb{R})$ and we have

$$\langle I'(u), v \rangle = \int_{\mathbb{R}^3} (a \nabla u \nabla v + uv) dx + b \int_{\mathbb{R}^3} |\nabla u|^2 dx \int_{\mathbb{R}^3} \nabla u \nabla v dx - \int_{\mathbb{R}^3} |u|^{p-1} uv dx. \quad (1.5)$$

Setting $u^+ = \max\{u, 0\}$, $u^- = \min\{u, 0\}$, $A(u^+, u^-) = \frac{b}{2} \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \int_{\mathbb{R}^3} |\nabla u^-|^2 dx$. To state our result, we establish the following minimization problem

$$c \triangleq \inf\{I(u) : u \in \mathcal{M}\}, \quad (1.6)$$

where

$$\mathcal{M} \triangleq \left\{ u \in E : u^\pm \neq 0, \frac{1}{2} \langle I'(u), u^+ \rangle + P(u^+) + A(u^+, u^-) = \frac{1}{2} \langle I'(u), u^- \rangle + P(u^-) + A(u^+, u^-) = 0 \right\}, \quad (1.7)$$

$$P(u) = \frac{a}{2} \int_{\mathbb{R}^3} |\nabla u|^2 dx + \frac{3}{2} \int_{\mathbb{R}^3} |u|^2 dx + \frac{b}{2} \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2 - \frac{3}{p+1} \int_{\mathbb{R}^3} |u|^{p+1} dx.$$

Obviously, the set \mathcal{M} is a subset of the following special manifold:

$$\mathcal{N} \triangleq \left\{ u \in E : \frac{1}{2} \langle I'(u), u \rangle + P(u) = 0 \right\}. \quad (1.8)$$

Remark 1.3 Clearly, the manifold \mathcal{M} has not been used in the existing literature. The usual manifold \mathcal{M}_1 has been used in previous literature is a subset of manifold \mathcal{N}_1 , where

$$\mathcal{M}_1 = \{u \in E : u^\pm \neq 0, \langle I'(u), u^+ \rangle = \langle I'(u), u^- \rangle = 0\}, \quad \mathcal{N}_1 = \{u \in E : \langle I'(u), u \rangle = 0\}.$$

As we all know, the manifold \mathcal{N}_1 is a commonly used manifold in the study of positive solutions. But the manifold \mathcal{M}_1 is not enough for us to prove our result when $p \in (1, 3]$. Thus, we need to find an another manifold. For researching positive solutions, one can also use a special manifold \mathcal{N} , which is a combination of the Nehari manifold and Pohožaev manifold for power $p \in (1, 5)$. In order to prove our result, we choose the manifold \mathcal{M} .

2. Preliminaries

Comparing with the 4-superlinear condition in [7], we meet some new difficulties. We need to show that the constraint set \mathcal{M} is nonempty and the minimizing sequence on \mathcal{M} is a (PS)-sequence of I in E by using some new tricks.

Lemma 2.1 *If $p \in (1, 5)$, then $\mathcal{M} \neq \emptyset$.*

Proof. For any $u \in E$ and $u^\pm \neq 0$, we set $u_t \triangleq t^{\frac{1}{2}} u(\frac{x}{t})$. In the following, we shall prove that there are positive constants s_1 and t_1 such that

$$\frac{1}{2} \langle I'(u_{s_1}^+ + u_{t_1}^-), u_{s_1}^+ \rangle + P(u_{s_1}^+) + A(u_{s_1}^+, u_{t_1}^-) = \frac{1}{2} \langle I'(u_{s_1}^+ + u_{t_1}^-), u_{t_1}^- \rangle + P(u_{t_1}^-) + A(u_{s_1}^+, u_{t_1}^-) = 0, \quad (2.1)$$

which implies that $u_{s_1}^+ + u_{t_1}^- \in \mathcal{M}$. Actually, equation (2.1) holds if and only if

$$\begin{cases} r(s, t) \triangleq as^2\alpha(u^+) + s^4[2\beta(u^+) + b\gamma(u^+)] + 2s^2t^2A(u^+, u^-) - \frac{p+7}{2(p+1)}s^{\frac{p+7}{2}}\xi(u^+) = 0, \\ l(s, t) \triangleq at^2\alpha(u^-) + t^4[2\beta(u^-) + b\gamma(u^-)] + 2s^2t^2A(u^+, u^-) - \frac{p+7}{2(p+1)}t^{\frac{p+7}{2}}\xi(u^-) = 0, \end{cases} \quad (2.2)$$

where

$$\alpha(u) \triangleq \int_{\mathbb{R}^3} |\nabla u|^2 dx, \quad \beta(u) \triangleq \int_{\mathbb{R}^3} |u|^2 dx, \quad \gamma(u) \triangleq \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2, \quad \xi(u) \triangleq \int_{\mathbb{R}^3} |u|^{p+1} dx. \quad (2.3)$$

In the other words, we only need to show that there exists $m \in (0, M)$ such that

$$r(m, t) > 0, \quad r(M, t) < 0, \quad \forall t \in [m, M], \quad (2.4)$$

$$l(s, m) > 0, \quad l(s, M) < 0, \quad \forall s \in [m, M], \quad (2.5)$$

where M is a positive constant. Since $p \in (1, 5)$, then $\frac{p+7}{2} > 4$. By (2.2), we can derive that $r(s, t) < 0$ as s enough large, $r(s, t) > 0$ as s enough small. And $l(s, t) < 0$ as t enough large, $l(s, t) > 0$ as t enough small. Consequently, (2.4)-(2.5) hold. Then from the Miranda's Theorem [11], there exist two positive constants s_1 and t_1 such that

$$r(s_1, t_1) = 0, \quad l(s_1, t_1) = 0. \quad (2.6)$$

Hence, (2.1) holds, which shows that $u_{s_1}^+ + u_{t_1}^- \in \mathcal{M}$, i.e., $\mathcal{M} \neq \emptyset$. The proof is completed. \square

Lemma 2.2 *The pair (s_1, t_1) with positive numbers in Lemma 2.1 is unique.*

Proof. In view of Lemma 2.1, there exists a pair (s_1, t_1) such that $u_{s_1}^+ + u_{t_1}^- \in \mathcal{M}$ for any $u \in E$ and $u^\pm \neq 0$. Next, we shall prove the uniqueness of (s_1, t_1) by two steps.

Step 1. If $u \in \mathcal{M}$, then $(s_1, t_1) = (1, 1)$.

Since $u \in \mathcal{M}$, then we have

$$\begin{cases} r(1, 1) \triangleq a\alpha(u^+) + 2\beta(u^+) + b\gamma(u^+) + 2A(u^+, u^-) - \frac{p+7}{2(p+1)}\xi(u^+) = 0, \\ l(1, 1) \triangleq a\alpha(u^-) + 2\beta(u^-) + b\gamma(u^-) + 2A(u^+, u^-) - \frac{p+7}{2(p+1)}\xi(u^-) = 0. \end{cases} \quad (2.7)$$

Assume that $s_1 \leq t_1$. By (2.2), we have

$$\frac{1}{s_1^2} a\alpha(u^+) + 2\beta(u^+) + b\gamma(u^+) + 2A(u^+, u^-) \leq \frac{p+7}{2(p+1)} s_1^{\frac{p-1}{2}} \xi(u^+), \quad (2.8)$$

$$\frac{1}{t_1^2} a\alpha(u^-) + 2\beta(u^-) + b\gamma(u^-) + 2A(u^+, u^-) \geq \frac{p+7}{2(p+1)} t_1^{\frac{p-1}{2}} \xi(u^-). \quad (2.9)$$

It follows from (2.7) and (2.8) that

$$\left(\frac{1}{s_1^2} - 1 \right) a \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \leq \frac{p+7}{2(p+1)} \left[s_1^{\frac{p-1}{2}} - 1 \right] \int_{\mathbb{R}^3} |u^+|^{p+1} dx. \quad (2.10)$$

If $s_1 < 1$, the negative right side of inequality (2.10) contradicts the positive left side. So $1 \leq s_1 \leq t_1$. Moreover, combining (2.7) and (2.9), $t_1 \leq 1$ can be also obtained. Then $(s_1, t_1) = (1, 1)$.

Step 2. If $u \notin \mathcal{M}$, then there exists a unique u_1 such that $u_1^+ + u_1^- \in \mathcal{M}$.

Suppose that there is an another pair (s_2, t_2) such that $u_{s_2}^+ + u_{t_2}^- \in \mathcal{M}$. We set $v_1 \triangleq u_{s_1}^+ + u_{t_1}^-$ and $v_2 \triangleq u_{s_2}^+ + u_{t_2}^-$. By a simple calculation, we have

$$\int_{\mathbb{R}^3} \left[\frac{s_2^{7/2}}{s_1^{7/2}} v_1^+ + \frac{t_2^{7/2}}{t_1^{7/2}} v_1^- \right] dx = s_2^{7/2} \int_{\mathbb{R}^3} u^+ dx + t_2^{7/2} \int_{\mathbb{R}^3} u^- dx = \int_{\mathbb{R}^3} (v_2^+ + v_2^-) dx. \quad (2.11)$$

Thanks to $v_2 \in \mathcal{M}$ and step 1, we deduce that $(s_1, t_1) = (s_2, t_2)$. The proof is completed. \square

Similar to [7], we can prove that $I(u_{s_1}^+ + u_{t_1}^-) = \max_{s,t \geq 0} I(u_s^+ + u_t^-)$. From Lemma 2.2, we consider the minimization problem

$$c_{\mathcal{M}} \triangleq \inf\{I(u) : u \in \mathcal{M}\}. \quad (2.12)$$

Lemma 2.3 $c_{\mathcal{M}}$ is achieved.

Proof. For each $u \in \mathcal{M}$, we have $G(u) \triangleq \frac{1}{2} \langle I'(u), u \rangle + P(u) = 0$. Then for any $p \in (1, 5)$, we have

$$\begin{aligned} I(u) &= I(u) - \frac{1}{4}G(u) \\ &= \frac{1}{4}a \int_{\mathbb{R}^3} |\nabla u|^2 dx + \frac{p-1}{8(p+1)} \int_{\mathbb{R}^3} |u|^{p+1} dx \\ &\geq \frac{1}{4}a \int_{\mathbb{R}^3} |\nabla u|^2 dx > 0. \end{aligned} \quad (2.13)$$

That is $c_{\mathcal{M}} > 0$. Letting $\{u_n\} \subset \mathcal{M}$ such that $I(u_n) \rightarrow c_{\mathcal{M}}$. From (2.13), we know that $\{\|\nabla u_n\|_2\}$ is bounded in E . Since $G(u_n) = 0$, then

$$\begin{aligned} 2 \int_{\mathbb{R}^3} |u_n|^2 dx &= \frac{p+7}{2(p+1)} \int_{\mathbb{R}^3} |u_n|^{p+1} dx - a \int_{\mathbb{R}^3} |\nabla u_n|^2 dx - b \left(\int_{\mathbb{R}^3} |\nabla u_n|^2 dx \right)^2 \\ &\leq \frac{p+7}{2(p+1)} \|u_n\|_{p+1}^{p+1}. \end{aligned} \quad (2.14)$$

From Hölder and Sobolev inequalities, we have

$$\|u_n\|_{p+1}^{p+1} \leq \|u_n\|_2^{(p+1)\vartheta} \|u_n\|_6^{(p+1)(1-\vartheta)} \leq C \|u_n\|_2^{(p+1)\vartheta} \|\nabla u_n\|_2^{(p+1)(1-\vartheta)}, \quad (2.15)$$

where $\frac{1}{p+1} = \frac{\vartheta}{2} + \frac{1-\vartheta}{6}$. Then $(p+1)\vartheta < 2$. According to Young's inequality, we obtain that for any $\varepsilon > 0$, there exists $C_\varepsilon > 0$ such that

$$\frac{p+7}{2(p+1)} \|u_n\|_{p+1}^{p+1} \leq \varepsilon \|u_n\|_2^2 + C_\varepsilon \|\nabla u_n\|_2^{\frac{2(p+1)(1-\vartheta)}{2-(p+1)\vartheta}}. \quad (2.16)$$

Set $\varepsilon = 1$, from (2.14) and (2.16), we have that $\{\|u_n\|_2\}$ is bounded. Hence, $\{u_n\}$ is bounded. Then, there exists u such that $u_n^\pm \rightharpoonup u^\pm$ in E . From (2.13), we can find a constant θ such that $\|u_n^\pm\| > \theta > 0$ for every $n \in \mathbb{N}$.

Since $\{u_n\} \subset \mathcal{M}$, we have that

$$a \int_{\mathbb{R}^3} |\nabla u_n^\pm|^2 dx + 2 \int_{\mathbb{R}^3} |u_n^\pm|^2 dx + b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} |\nabla u_n^\pm|^2 dx = \frac{p+7}{2(p+1)} \int_{\mathbb{R}^3} |u_n^\pm|^{p+1} dx. \quad (2.17)$$

Therefore, we have

$$\theta^2 \leq \|u_n^\pm\|^2 < C_1 \int_{\mathbb{R}^3} |u_n^\pm|^{p+1} dx. \quad (2.18)$$

Then $\int_{\mathbb{R}^3} |u_n^\pm|^{p+1} dx > \frac{\theta^2}{C_1} > 0$. Since the embedding $E \hookrightarrow L^q(\mathbb{R}^3)$ is compact for $2 < q < 6$, (2.18) shows that $u^\pm \neq 0$. Combining the compactness lemma of Strauss [11] and the weak semicontinuity of norm, we obtain

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} |u_n^\pm|^{p+1} dx \rightarrow \int_{\mathbb{R}^3} |u^\pm|^{p+1} dx, \quad (2.19)$$

$$a \int_{\mathbb{R}^3} |\nabla u^\pm|^2 dx + 2 \int_{\mathbb{R}^3} |u^\pm|^2 dx \leq \liminf_{n \rightarrow \infty} \left(a \int_{\mathbb{R}^3} |\nabla u_n^\pm|^2 dx + 2 \int_{\mathbb{R}^3} |u_n^\pm|^2 dx \right) \quad (2.20)$$

and

$$b \int_{\mathbb{R}^3} |\nabla u|^2 dx \int_{\mathbb{R}^3} |\nabla u^\pm|^2 dx \leq \liminf_{n \rightarrow \infty} b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} |\nabla u_n^\pm|^2 dx. \quad (2.21)$$

Then from (2.17) and (2.19)–(2.21), we have that

$$\frac{1}{2} \langle I'(u), u^\pm \rangle + p(u^\pm) + A(u^+ + u^-) \leq \liminf_{n \rightarrow \infty} \left\{ \frac{1}{2} \langle I'(u_n), u_n^\pm \rangle + p(u_n^\pm) + A(u_n^+ + u_n^-) \right\} = 0. \quad (2.22)$$

Thus, there exists (s_u, t_u) such that $u_{s_u}^+ + u_{t_u}^- \in \mathcal{M}$. Suppose that $0 < t_u \leq s_u$, then we obtain

$$\begin{aligned} & a s_u^2 \int_{\mathbb{R}^3} |\nabla u^+|^2 dx + 2 s_u^4 \int_{\mathbb{R}^3} |u^+|^2 dx + b s_u^4 \left(\int_{\mathbb{R}^3} |\nabla u^+|^2 dx \right)^2 + b s_u^4 \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \int_{\mathbb{R}^3} |\nabla u^-|^2 dx \\ & \geq s_u^2 \int_{\mathbb{R}^3} |\nabla u^+|^2 dx + 2 s_u^4 \int_{\mathbb{R}^3} |u^+|^2 dx + b s_u^4 \left(\int_{\mathbb{R}^3} |\nabla u^+|^2 dx \right)^2 + b s_u^2 t_u^2 \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \int_{\mathbb{R}^3} |\nabla u^-|^2 dx \\ & = \frac{p+7}{2(p+1)} s_u^{\frac{p+7}{2}} \int_{\mathbb{R}^3} |u^+|^{p+1} dx. \end{aligned} \quad (2.23)$$

From (2.19) and (2.22), we have

$$a \int_{\mathbb{R}^3} |\nabla u^+|^2 dx + 2 \int_{\mathbb{R}^3} |u^+|^2 dx + b \int_{\mathbb{R}^3} |\nabla u|^2 dx \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \leq \frac{p+7}{2(p+1)} \int_{\mathbb{R}^3} |u^+|^{p+1} dx. \quad (2.24)$$

By (2.23) and (2.24), we obtain

$$a \left(\frac{1}{s_u^2} - 1 \right) \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \geq \frac{p+7}{2(p+1)} \left(s_u^{\frac{p-1}{2}} - 1 \right) \int_{\mathbb{R}^3} |u^+|^{p+1} dx,$$

which shows $s_u \leq 1$. Then $0 < t_u \leq s_u \leq 1$. Setting $\bar{u} = u_{s_u}^+ + u_{t_u}^-$. Therefore, we can deduce that

$$\begin{aligned} c_{\mathcal{M}} & \leq I(\bar{u}) - \frac{1}{4} G(\bar{u}) \\ & = \frac{1}{4} a s_u^2 \int_{\mathbb{R}^3} |\nabla u^+|^2 dx + \frac{p-1}{8(p+1)} s_u^{\frac{p+7}{2}} \int_{\mathbb{R}^3} |u^+|^{p+1} dx + \frac{1}{4} a t_u^2 \int_{\mathbb{R}^3} |\nabla u^-|^2 dx \\ & \quad + \frac{p-1}{8(p+1)} t_u^{\frac{p+7}{2}} \int_{\mathbb{R}^3} |u^-|^{p+1} dx \\ & \leq \frac{1}{4} a \int_{\mathbb{R}^3} |\nabla u^+|^2 dx + \frac{p-1}{8(p+1)} \int_{\mathbb{R}^3} |u^+|^{p+1} dx + \frac{1}{4} a \int_{\mathbb{R}^3} |\nabla u^-|^2 dx + \frac{p-1}{8(p+1)} \int_{\mathbb{R}^3} |u^-|^{p+1} dx \\ & = I(u) - \frac{1}{4} G(u) \\ & \leq \liminf_{n \rightarrow \infty} \left(I(u_n) - \frac{1}{4} G(u_n) \right) = c_{\mathcal{M}}. \end{aligned} \quad (2.25)$$

(2.25) implies that $s_u = t_u = 1$. That is $u = \bar{u}$ and $I(u) = c_{\mathcal{M}}$. The proof is completed. \square

3. Proof of Theorem 1.1

Lemma 3.1. *Assume c_M attained in \mathcal{M} , then u is a critical point of I .*

Proof. Since $u \in \mathcal{M}$, $u^\pm \neq 0$. Then for any fixed $v \in H^1(\mathbb{R}^3)$, there exists $\varepsilon > 0$ such that $(u + wv)^\pm \neq 0$ for all $w \in (-\varepsilon, \varepsilon)$. Arguing by a contradiction, there is a sequence $\{w_i\}_{i=1}^\infty$ such that

$$\lim_{i \rightarrow \infty} w_i = 0, \quad u + w_i v = 0 \text{ a.e. on } \mathbb{R}^3.$$

Letting $i \rightarrow \infty$, we have $u = 0$ a.e. on \mathbb{R}^3 . Which is a contradiction with $u^\pm \neq 0$.

From Lemma 2.1, there exists a unique pair $(s(w), t(w))$ such that $s(w)(u + wv)^+ + t(w)(u + wv)^- \in \mathcal{M}$. Next, we prove some standard properties of $(s(w), t(w))$ as Nehari manifold. For our purpose, we consider the function

$$\varphi(s, t, w) = G((u + wv)_s^+ + (u + wv)_t^-)$$

defined for $(s, t, w) \in (0, +\infty) \times (0, +\infty) \times (-\varepsilon, \varepsilon)$. Since $u \in \mathcal{M}$, we have $\varphi(1, 1, 0) = 0$. Moreover, φ is a C^1 function and

$$\begin{aligned} & \left. \frac{\partial \varphi(s, t, w)}{\partial s} \right|_{(s,t,w)=(1,1,0)} \\ &= -\frac{p^2 + 6p - 7}{4(p+1)} \int_{\mathbb{R}^3} |u^+|^{p+1} dx - 2a \int_{\mathbb{R}^3} |\nabla u^+|^2 dx \\ &\leq 0. \end{aligned}$$

Similarly, we can deduce that $\left. \frac{\partial \varphi(s, t, w)}{\partial t} \right|_{(s,t,w)=(1,1,0)} \leq 0$. From the Implicit Function Theorem, the functions $s(w), t(w)$ are C^1 . And $t(0) = s(0) = 1$. Moreover, $s(w), t(w) \neq 0$ near 0. We define

$$\Upsilon(w) = I\left((u + wv)_{s(w)}^+ + (u + wv)_{t(w)}^-\right).$$

Then we obtain that Υ is differentiable for small w and attains its minimum at $w = 0$. Hence, we derive that

$$\begin{aligned} 0 = \Upsilon'(0) &= \left. \frac{dI((u + wv)_{s(w)}^+ + (u + wv)_{t(w)}^-)}{dw} \right|_{w=0} \\ &= \left. \frac{\partial I((u + wv)_{s(w)}^+ + (u + wv)_{t(w)}^-)}{\partial s} \right|_{(s,t,w)=(1,1,0)} \left. \frac{ds}{dw} \right|_{w=0} + \left. \frac{dI((u + wv)_{s(w)}^+ + (u + wv)_{t(w)}^-)}{dw} \right|_{(s,t,w)=(1,1,0)} \\ &\quad + \left. \frac{\partial I((u + wv)_{s(w)}^+ + (u + wv)_{t(w)}^-)}{\partial t} \right|_{(s,t,w)=(1,1,0)} \left. \frac{dt}{dw} \right|_{w=0} \\ &= r(1, 1)s'(0) + l(1, 1)t'(0) + \langle I'(u), v \rangle \\ &= \langle I'(u), v \rangle. \end{aligned}$$

Since $v \in E$ is arbitrary, we have that $I'(u) = 0$. □

Proof of Theorem 1.1. From Lemma 2.3 and 3.1, there is a $u \in \mathcal{M}$ such that $I(u) = c_M$ and $I'(u) = 0$. Then problem (1.1) has at least one sign-changing solution. The proof is completed. □

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Conflict of interest

The authors declare that they have no competing interests.

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